## PROCUREMENT DOCUMENT

# Request for Proposals Consulting Services

For

## DESIGN AND SUPERVISION CONSULTANT (DSC), TANSEN MUNICIPALTY

RFP No: NP-DUDBC-216353-CS-QCBS

Issued By: Tansen Municipality Office of Municipal Executive Lumbini Province, Palpa

Issued on:- 3rd January 2022

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SELECTION OF CONSULTANTS

# Request for Proposals Consulting Services

## **Procurement of:**

Design and Supervision Consultant (DSC), Tansen

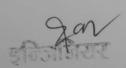
RFP No: NP-DUDBC-216353-CS-QCBS

Consulting Services for: Design and Supervision Consultant (DSC), Tansen

Client: Tansen Municipality

Country: Nepal

**Issued on:** 3<sup>rd</sup> January 2022

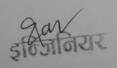






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## **PART I**

Section 1. Request for Proposal Letter

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## Request for Proposal Letter

## **Consulting Services**

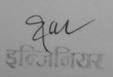
Name of Assignment: Design and Supervision Consultant (DSC), Tansen RFP Reference No.: NP-DUDBC-216353-CS-QCBS

Credit No.: IDA-6778-NP

Country: Nepal

Date:3rd January 2022

- I. AVIYAAN Consulting (P) Ltd., Ekata Marg, New Baneshwor, Kathmandu in Joint Venture with CEMECA Consultant (P) Ltd., Thapagaon, Kathmandu and Moonlight Civil Solution Pvt. Ltd., Madhyapur Thimi, Bhaktapur
- II. BDA Nepal P. Ltd., Baluwatar, Kathmandu in Joint Venture with Research Engineers Consultant, Shantinagar, Kathmandu
- III. Building Design Authority (P) Ltd., Kamaladi, Kathmandu in Joint Venture with Spiral Grid Solutions Pvt. Ltd., Bhimsengola-3, Kathmandu and Geocom International Pvt. Ltd., Dhobighat, Lalitpur
- IV. GOEC Nepal P. Ltd., Buddhanagar, Kathmandu in Joint Venture with Cosmopolitan Consultant & Technical Education Center P. Ltd. (C2TECH), Kaushaltar, Bhaktapur and Garima International Design Associates Nepal P. Ltd. (GIDAN), Kaushaltar, Bhaktapur
- V. ITECO Nepal (P) Ltd., Shri Panchakanya Marga, Min Bhawan, New Baneshwor, Kathmandu in Joint Venture with Naya Rastriya Engineering Consultancy Pvt. Ltd., Khumaltar, Lalitpur, Unique Engineering Consultancy Pvt. Ltd., Jwagal, Lalitpur, Technocrat Consultancy, Handigaaun, Kathmandu and Bench Mark Consultants and Lab Pvt. Ltd, Lalitpur
- VI. Plush Engineers and Architects (P) Ltd., Sanepa, Lalitpur in Joint Venture with B. N. Consultancy Pvt. Ltd., Sanepa, Lalitpur and Soil Test (P.) Ltd., Sukedhara, Kathmandu
- VII. SILT Consultants (P.) Ltd., Ratopul, Goushala, Kathmandu in Joint Venture with A Not Architecture N. Architects (P.) Ltd., Satdobato, Lalitpur and Inclusive Consultant Pvt. Ltd., Sitapaila, Kathmandu
- VIII. TAEC Consult P. Ltd., Bidyapati Marg (Street), Shankhamul, Kathmandu in Joint Venture with Tech Studio of Engineering, Dillibazar, Kathmandu







#### Dear All,

- 1. The Government of Nepal, Ministry of Urban Development, Department of Urban Development and Building Construction (hereinafter called "Borrower") has received financing from the International Development Association (IDA (the "Bank") in the form of a"credit"] (hereinafter called credit toward the cost of NepalUrban Governance and Infrastructure Project(NUGIP). Tansen Municipality, an implementing agency / Client, intends to apply a portion of the proceeds of this credit to eligible payments under the contract for which this Request for Proposals is issued. Payments by the Bank will be made only at the request of the Tansen Municipality through PCO, NUGIP and upon approval by the Bank, and will be subject, in all respects, to the terms and conditions of the financing<sup>1</sup> agreement. The financing agreement prohibits a withdrawal from the loan/credit account for the purpose of any payment to persons or entities, or for any import of goods, if such payment or import, to the knowledge of the Bank, is prohibited by a decision of the United Nations Security council taken under Chapter VII of the Charter of the United Nations. No party other than the Borrower shall derive any rights from the /financing agreement or have any claims to the proceeds of the loan/credit.For this contract, the Borrower shall process the payments using the Direct Payment disbursement method, as defined in the World Bank's Disbursement Guidelines for Investment Project Financing.
- 2. The Client now invites proposals to provide the following consulting services (hereinafter called "Services"): Design and Supervision Consultant. More details on the Services are provided in the Terms of Reference (Section 7).
- 3. This Request for Proposals (RFP) has been addressed to the following shortlisted Consultants:
  - I. AVIYAAN Consulting (P) Ltd., Ekata Marg, New Baneshwor, Kathmandu in Joint Venture with CEMECA Consultant (P) Ltd., Thapagaon, Kathmandu and Moonlight Civil Solution Pvt. Ltd., Madhyapur Thimi, Bhaktapur
  - II. BDA Nepal P. Ltd., Baluwatar, Kathmandu in Joint Venture with Research Engineers Consultant, Shantinagar, Kathmandu
  - III. Building Design Authority (P) Ltd., Kamaladi, Kathmandu in Joint Venture with Spiral Grid Solutions Pvt. Ltd., Bhimsengola-3, Kathmandu and Geocom International Pvt. Ltd., Dhobighat, Lalitpur

<sup>&</sup>lt;sup>1</sup>[ "loan agreement" term is used for IBRD loans; "financing agreement" is used for IDA credits; and "grant agreement" is used for Recipient-Executed Trust Funds administered by IBRD or IDA]

- IV. GOEC Nepal P. Ltd., Buddhanagar, Kathmandu in Joint Venture with Cosmopolitan Consultant & Technical Education Center P. Ltd. (C2TECH), Kaushaltar, Bhaktapur and Garima International Design Associates Nepal P. Ltd. (GIDAN), Kaushaltar, Bhaktapur
- V. ITECO Nepal (P) Ltd., Shri Panchakanya Marga, Min Bhawan, New Baneshwor, Kathmandu in Joint Venture with Naya Rastriya Engineering Consultancy Pvt. Ltd., Khumaltar, Lalitpur, Unique Engineering Consultancy Pvt. Ltd., Jwagal, Lalitpur, Technocrat Consultancy, Handigaaun, Kathmandu and Bench Mark Consultants and Lab Pvt. Ltd, Lalitpur
- VI. Plush Engineers and Architects (P) Ltd., Sanepa, Lalitpur in Joint Venture with B. N. Consultancy Pvt. Ltd., Sanepa, Lalitpur and Soil Test (P.) Ltd., Sukedhara, Kathmandu
- VII. SILT Consultants (P.) Ltd., Ratopul, Goushala, Kathmandu in Joint Venture with A Not Architecture N. Architects (P.) Ltd., Satdobato, Lalitpur and Inclusive Consultant Pvt. Ltd., Sitapaila, Kathmandu
- VIII. TAEC Consult P. Ltd., Bidyapati Marg (Street), Shankhamul, Kathmandu in Joint Venture with Tech Studio of Engineering, Dillibazar, Kathmandu
- 4. It is not permissible to transfer this RFP to any other firm.
- 5. A firm will be selected under *Quality and Cost Based Selection (QCBS)* procedures and in a Full Technical Proposal (FTP) format as described in this RFP, in accordance with the Bank's "Procurement Regulations for IPF Borrowers" July 2016 Revised November 2020 ("Procurement Regulations"), which can be found at the following website: www.worldbank.org

The RFP includes the following documents:

Section 1 – Request for Proposals Letter

Section 2 - Instructions to Consultants and Data Sheet

Section 3 - Technical Proposal (FTP) - Standard Forms

Section 4 - Financial Proposal - Standard Forms

Section 5 – Eligible Countries

Section 6 - Fraud and Corruption

Section 7 - Terms of Reference

Section 8 - Standard Forms of Contract Time-Based

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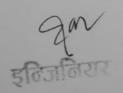
- 6. Please inform us by 10 January 2022, in writing at Tansen Municipality, Nepal Urban Governance and Infrastructure Project, Palpa by facsimile (+977-075-520909, 520109, 520893), or by E-mail at infra.tansenmun@gmail.com:
  - (a) that you have received this Request for Proposals; and
  - (b) whether you intend to submit a proposal alone or intend to enhance your experience by requesting permission to associate with other firm(s) (if permissible under Section 2, Instructions to Consultants (ITC), Data Sheet 14.1.1).
- 7. Attention is drawn to the Procurement Regulations requiring the Borrower to disclose information on the successful Consultant's beneficial ownership, as part of the Contract Award Notice, using the Beneficial Ownership Disclosure Form as included in the Request for Proposals.
- 8. Details on the proposal's submission date, time and address are provided in ITC 17.7 and ITC 17.9.

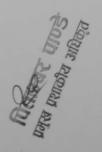
Yours sincerely,

#### **Chief Administrative Officer**

Office of Municipal Executive, Nepal Urban Governance and Infrastructure Project, Tansen Municipality, Lumbini Province, Palpa

Tel:+977-075-520909, 520109, 520893 E-mail: infra.tansenmun@gmail.com

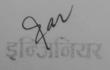




## Section 2. Instructions to Consultants and Data Sheet

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## Instructions to Consultants

#### A. General Provisions

#### 1. Definitions

- (a) "Affiliate(s)" means an individual or an entity that directly or indirectly controls, is controlled by, or is under common control with the Consultant.
- (b) "Applicable Law" means the laws and any other instruments having the force of law in the Client's country, or in such other country as may be specified in the Data Sheet, as they may be issued and in force from time to time.
- (c) "Bank" means the International Bank for Reconstruction and Development (IBRD) or the International Development Association (IDA).
- (d) "Borrower" means the Government, Government agency or other entity that signs the [loan/financing/grant<sup>2</sup>] agreement with the Bank.
- (e) "Client" means the implementing agency that signs the Contract for the Services with the selected Consultant.
- (f) "Client's Personnel "is as defined in Clause GCC 1.1(e).
- (g) "Consultant" means a legally-established professional consulting firm or an entity that may provide or provides the Services to the Client under the Contract.
- (h) "Contract" means a legally binding written agreement signed between the Client and the Consultant and includes all the attached documents listed in its Clause 1 (the General Conditions of Contract (GCC), the Special Conditions of Contract (SCC), and the Appendices).
- (i) "Contractor "is as defined in Clause GCC 1.1.(h).
- (j) "Contractor's Personnel "is as defined in Clause GCC

<sup>&</sup>lt;sup>2</sup>["loan agreement" term is used for IBRD loans; "financing agreement" is used for IDA credits; and "grant agreement" is used for Recipient-Executed Trust Funds administered by IBRD or IDA]



1.1(i).

- (k) "Data Sheet" means an integral part of the Instructions to Consultants (ITC) Section 2 that is used to reflect specific country and assignment conditions to supplement, but not to over-write, the provisions of the ITC.
- (l) "Day" means a calendar day, unless otherwise specified as "Business Day". A Business Day is any day that is an official working day of the Borrower. It excludes the Borrower's official public holidays.
- (m) "ES" means environmental and social (including Sexual Exploitation and Abuse (SEA) and Sexual Harassment (SH)).
- (n) "Experts" means, collectively, Key Experts, Non-Key Experts, or any other personnel of the Consultant, Sub-consultant or Joint Venture member(s).
- (o) "Government" means the government of the Client's country.
- (p) "in writing" means communicated in written form (e.g. by mail, e-mail, fax, including, if specified in the Data Sheet, distributed or received through the electronic-procurement system used by the Client) with proof of receipt;
- (q) "Joint Venture (JV)" means an association with or without a legal personality distinct from that of its members, of more than one Consultant where one member has the authority to conduct all business for and on behalf of any and all the members of the JV, and where the members of the JV are jointly and severally liable to the Client for the performance of the Contract.
- (r) "Key Expert(s)" means an individual professional whose skills, qualifications, knowledge and experience are critical to the performance of the Services under the Contract and whose CV is taken into account in the technical evaluation of the Consultant's proposal.
- (s) "ITC" (this Section 2 of the RFP) means the Instructions to Consultants that provides the shortlisted Consultants with all information needed to



prepare their Proposals.

- (t) "Non-Key Expert(s)" means an individual professional provided by the Consultant or its Subconsultant and who is assigned to perform the Services or any part thereof under the Contract and whose CVs are not evaluated individually.
- (u) "Proposal" means the Technical Proposal and the Financial Proposal of the Consultant.
- (v) "RFP" means the Request for Proposals to be prepared by the Client for the selection of Consultants, based on the SPD - RFP.
- (w) "Services" means the work to be performed by the Consultant pursuant to the Contract.
- (x) "Sexual Exploitation and Abuse" "(SEA)"\* means the following:

**Sexual Exploitation** is defined as any actual or attempted abuse of position of vulnerability, differential power, or trust, for sexual purposes, including, but not limited to, profiting monetarily, socially, or politically from the sexual exploitation of another.

**Sexual Abuse** is defined as the actual or threatened physical intrusion of a sexual nature, whether by force or under unequal or coercive conditions.

- (y) "Sexual Harassment" "(SH)"\* is defined as unwelcome sexual advances, requests for sexual favors, and other verbal or physical conduct of a sexual nature by the Experts with other Experts, Contractor's or Client's Personnel.
- (z) "Site" is as defined in Clause GCC 1.1 (z).
- (aa) "SPD RFP" means the Standard Procurement Document Request for Proposals, which must be used by the Client as the basis for the preparation of the RFP.
- (bb) "Sub-consultant" means an entity to whom the Consultant intends to subcontract any part of the Services while the Consultant remains responsible to the Client during the whole performance of the







Contract.

(cc) "Terms of Reference (TORs)" (this Section 7 of the RFP) means the Terms of Reference that explains the objectives, scope of work, activities, and tasks to be performed, respective responsibilities of the Client and the Consultant, and expected results and deliverables of the assignment.

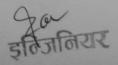
\*A non-exhaustive list of (i) behaviors which constitute SEA and (ii) behaviors which constitute SH is attached to the Code of Conduct form in Section3.

#### 2. Introduction

- 2.1 The Client named in the **Data Sheet** intends to select a Consultant from those listed in the Request for Proposals (RFP), in accordance with the method of selection specified in the **Data Sheet**.
- 2.2 The shortlisted Consultants are invited to submit a Technical Proposal and a Financial Proposal, or a Technical Proposal only, as specified in the **Data Sheet**, for consulting services required for the assignment named in the **Data Sheet**. The Proposal will be the basis for negotiating and ultimately signing the Contract with the selected Consultant.
- 2.3 The Consultants should familiarize themselves with the local conditions and take them into account in preparing their Proposals, including attending a pre-proposal conference if one is specified in the **Data Sheet**. Attending any such pre-proposal conference is optional and is at the Consultants' expense.
- 2.4 The Client will timely provide, at no cost to the Consultants, the inputs, relevant project data, and reports required for the preparation of the Consultant's Proposal as specified in the Data Sheet.

#### 3. Conflict ofInterest 3.1

- 3.1 The Consultant is required to provide professional, objective, and impartial advice, at all times holding the Client's interests paramount, strictly avoiding conflicts with other assignments or its own corporate interests, and acting without any consideration for future work.
- 3.2 The Consultant has an obligation to disclose to the Client any situation of actual or potential conflict that impacts its capacity to serve the best interest of its Client. Failure to disclose such situations may lead to the disqualification of the Consultant or the termination of its Contract and/or







sanctions by the Bank.

3.2.1 Without limitation on the generality of the foregoing, the Consultant shall not be hired under the circumstances set forth below:

#### a. Conflicting Activities

(i) Conflict between consulting activities and procurement of goods, works or non-consulting services: a firm that has been engaged by the Client to provide goods, works, or non-consulting services for a project, or any of its Affiliates, shall be disqualified from providing consulting services resulting from or directly related to those goods, works, or non-consulting services. Conversely, a firm hired to provide consulting services for the preparation or implementation of a project, or any of its Affiliates, shall be disqualified from subsequently providing goods or works or non-consulting services resulting from or directly related to the consulting services for such preparation or implementation.

## b. Conflicting Assignments

(ii) Conflict among consulting assignments: a Consultant (including its Experts and Sub-consultants) or any of its Affiliates shall not be hired for any assignment that, by its nature, may be in conflict with another assignment of the Consultant for the same or for another Client.

## c. Conflicting Relationships

(iii) Relationship with the Client's staff: a Consultant (including its Experts and Sub-consultants) that has a close business or family relationship with a professional staff of the Borrower (or of the Client, or of implementing agency, or of a recipient of a part of the Bank's financing) who are directly or indirectly involved in any part of (i) the preparation of the Terms of Reference for the assignment, (ii) the selection process for the Contract, or (iii) the supervision of the Contract, may not be awarded a Contract, unless the conflict stemming from this relationship has been resolved in a manner acceptable to the Bank throughout the selection process and the execution of the Contract.

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## 4. Unfair Competitive Advantage

4.1 Fairness and transparency in the selection process require that the Consultants or their Affiliates competing for a specific assignment do not derive a competitive advantage from having provided consulting services related to the assignment in question. To that end, the Client shall indicate in the **Data Sheet** and make available to all shortlisted Consultants together with this RFP all information that would in that respect give such Consultant any unfair competitive advantage over competing Consultants.

## 5. Fraud and Corruption

- 5.1 The Bank requires compliance with the Bank's Anti-Corruption Guidelines and its prevailing sanctions policies and procedures as set forth in the WBG's Sanctions Framework, as set forth in Section 6.
- 5.2 In further pursuance of this policy, Consultants shall permit and shall cause their agents (where declared or not), sub-contractors, subconsultants, service providers, suppliers, and personnel, to permit the Bank to inspect all accounts, records and other documents relating to any shortlisting process, Proposal submission, and contract performance (in the case of award), and to have them audited by auditors appointed by the Bank.

### 6. Eligibility

- 6.1 The Bank permits consultants (individuals and firms, including Joint Ventures and their individual members) fromall countriesto offer consulting services for Bankfinanced projects.
- 6.2 Furthermore, it is the Consultant's responsibility to ensure that itsExperts, joint venture members, Sub-consultants, agents (declared or not), sub-contractors, service providers, suppliers and/or their employees meet the eligibility requirements as established by the Bank in the applicable Procurement Regulations.
- 6.3 As an exception to the foregoing 6.1 and ITC 6.2 above:

#### a. Sanctions

A Consultant that has been sanctioned by the Bank, pursuant to the Bank's Anti-Corruption Guidelines and in accordance with its prevailing sanctions policies and procedures as set forth in the WBG's Sanctions Framework as described in Section VI, Fraud and Corruption, paragraph 2.2 d. Shall be ineligible to be shortlisted for, submit proposals for, or be awarded a Bank-financed contract or benefit from a Bank-financed contract, financially or

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otherwise, during such period of time as the Bank shall have determined. The list of debarred firms and individuals is available at the electronic address specified in the PDS.

#### b. Prohibitions

- 6.3.2 Firms and individuals of a country or goods manufactured in a country may be ineligible if so indicated in Section 5 (Eligible Countries) and:
  - (a) as a matter of law or official regulations, the Borrower's country prohibits commercial relations with that country, provided that the Bank is satisfied that such exclusion does not preclude effective competition for the provision of Services required; or
  - (b) by an act of compliance with a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations, the Borrower's Country prohibits any import of goods from that country or any payments to any country, person, or entity in that country.

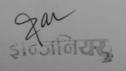
## c. Restrictions for State-Owned Enterprises

6.3.3 State-owned enterprises or institutions in the Borrower's country may be eligible to compete and be awarded a contract only if they can establish, in a manner acceptable to the Bank, that they: (i) are legally and financially autonomous, (ii) operate under commercial law, and (iii) are not under supervision of the Client.

## d. Restrictions for Public Employees

- 6.3.4 Government officials and civil servants of the Borrower's country are not eligible to be included as Experts, individuals, or members of a team of Experts in the Consultant's Proposal unless:
  - (i) the services of the government official or civil servant are of a unique and exceptional nature, or their participation is critical to project implementation; and
  - (ii) their hiring would not create a conflict of interest, including any conflict with employment or other laws, regulations, or policies of the Borrower.

e. Borrower Debarment 6.3.5 A firm that is under a sanction of debarment by the Borrower from being awarded a contract is eligible



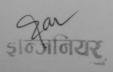


to participate in this procurement, unless the Bank, at the Borrower's request, is satisfied that the debarment; (a) relates to fraud or corruption, and (b) followed a judicial or administrative proceeding that afforded the firm adequate due process.

## **B.** Preparation of Proposals

- 7. General Considerations
- 7.1 In preparing the Proposal, the Consultant is expected to examine the RFP in detail. Material deficiencies in providing the information requested in the RFP may result in rejection of the Proposal.
- 8. Cost of Preparation of Proposal
- 8.1 The Consultant shall bear all costs associated with the preparation and submission of its Proposal, and the Client shall not be responsible or liable for those costs, regardless of the conduct or outcome of the selection process. The Client is not bound to accept any proposal, and reserves the right to annul the selection process at any time prior to Contract award, without thereby incurring any liability to the Consultant.
- 9. Language
- 9.1 The Proposal, as well as all correspondence and documents relating to the Proposal exchanged between the Consultant and the Client, shall be written in the language(s) specified in the **Data Sheet**.
- 10. Documents

  Comprising the
  Proposal
- 10.1 The Proposal shall comprise the documents and forms listed in the **Data Sheet**.
- 10.2 If specified in the **Data Sheet**, the Consultant shall include a statement of an undertaking of the Consultant to observe, in competing for and executing a contract, the Client country's laws against fraud and corruption (including bribery).
- 10.3 The Consultant shall furnish information on commissions, gratuities, and fees, if any, paid or to be paid to agents or any other party relating to this Proposal and, if awarded, Contract execution, as requested in the Financial Proposal submission form (Section 4).
- 11. Only One Proposal
- 11.1 The Consultant (including the individual members of any Joint Venture) shall submit only one Proposal, either in its own name or as part of a Joint Venture in another Proposal. If a Consultant, including any Joint Venture member, submits or participates in more than one







proposal, all such proposals shall be disqualified and rejected. This does not, however, preclude Subconsultant, or the Consultant's staff from participating as Key Experts and Non-Key Experts in more than one Proposal when circumstances justify and if stated in the **Data Sheet**.

## 12. ProposalValidity

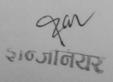
- 12.1 Proposals shall remain valid until the date specified in the **Data Sheet** or any extended date if amended by the Client in accordance with ITC 13.1.1.
- 12.2 During this period, the Consultant shall maintain its original Proposal without any change, including the availability of the Key Experts, the proposed rates and the total price.
- 12.3 If it is established that any Key Expert nominated in the Consultant's Proposal was not available at the time of Proposal submission or was included in the Proposal without his/her confirmation, such Proposal shall be disqualified and rejected for further evaluation, and may be subject to sanctions in accordance with ITC 5.

## a. Extension of Proposal Validity

- 12.4 The Client will make its best effort to complete the negotiations and award the contract prior to the date of expiry of the Proposal validity. However, should the need arise, the Client may request, in writing, all Consultants who submitted Proposals prior to the submission deadline to extend the Proposals' validity.
- 12.5 If the Consultant agrees to extend the validity of its Proposal, it shall be done without any change in the original Proposal and with the confirmation of the availability of the Key Experts, except as provided in ITC 12.7.
- 12.6 The Consultant has the right to refuse to extend the validity of its Proposal in which case such Proposal will not be further evaluated.

## b. Substitution of Key Experts at Validity Extension

12.7 If any of the Key Experts become unavailable for the extended validity period, the Consultant shall seek to substitute another Key Expert. The Consultant shall provide a written adequate justification and evidence satisfactory to the Client together with the substitution request. In such case, a substitute Key Expert shall have equal or better qualifications and experience than those of the originally proposed Key Expert. The technical



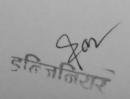


evaluation score, however, will remain to be based on the evaluation of the CV of the original Key Expert.

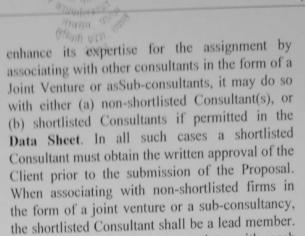
12.8 If the Consultant fails to provide a substitute Key Expert with equal or better qualifications, or if the provided reasons for the replacement or justification are unacceptable to the Client, such Proposal will be rejected with the prior Bank's no objection.

c. Sub-Contracting

- 12.9 The Consultant shall not subcontract the whole of the Services.
- 13. Clarification and Amendment of RFP
- 13.1 The Consultant may request a clarification of any part of the RFP during the period indicated in the **Data Sheet** before the Proposals' submission deadline. Any request for clarification must be sent in writing, or by standard electronic means, to the Client's address indicated in the **Data Sheet**. The Client will respond in writing, or by standard electronic means, and will send written copies of the response (including an explanation of the query but without identifying its source) to all shortlisted Consultants. Should the Client deem it necessary to amend the RFP as a result of a clarification, it shall do so following the procedure described below:
  - 13.1.1 At any time before the proposal submission deadline, the Client may amend the RFP by issuing an amendment in writing or by standard electronic means. The amendment shall be sent to all shortlisted Consultants and will be binding on them. The shortlisted Consultants shall acknowledge receipt of all amendments in writing.
  - 13.1.2 If the amendment is substantial, the Client may extend the proposal submission deadline to give the shortlisted Consultants reasonable time to take an amendment into account in their Proposals.
  - 13.2 The Consultant may submit a modified Proposal or a modification to any part of it at any time prior to the proposal submission deadline. No modifications to the Technical or Financial Proposal shall be accepted after the deadline.
- 14. Preparation of Proposals Specific Considerations
- 14.1 While preparing the Proposal, the Consultant must give particular attention to the following:
  - 14.1.1 If a shortlisted Consultant considers that it may



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If shortlisted Consultants associate with each

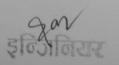
14.1.2 The Client mayindicate in the **Data Sheet** the estimated Key Experts' time input (expressed in person-month) or the Client's estimated total cost of the assignment, but not both. This estimate is indicative, and the Proposal shall be based on the Consultant's own estimates for the same.

other, any of them can be a lead member.

- 14.1.3 If stated in the **Data Sheet**, the Consultant shall include in its Proposal at least the same time input (in the same unit as indicated in the **Data Sheet**) of Key Experts, failing which the Financial Proposal will be adjusted for the purpose of comparison of proposals and decision for award in accordance with the procedure in the **Data Sheet**.
- 14.1.4 For assignments under the Fixed-Budget selection method, the estimated Key Experts' time input is not disclosed. Total available budget, with an indication whether it is inclusive or exclusive of taxes, is given in the **Data Sheet**, and the Financial Proposal shall not exceed this budget.

15. Technical Proposal Format and Content

- 15.1 The Technical Proposal shall be prepared using the Standard Forms provided in Section 3 of the RFP and shall comprise the documents listed in the **Data Sheet**. The Technical Proposal shall not include any financial information. A Technical Proposal containing material financial information shall be declared non-responsive.
  - 15.1.1 Consultant shall not propose alternative Key Experts. Only one CV shall be submitted for each Key Expert position. Failure to comply with this requirement will make the Proposal





non-responsive, \$00

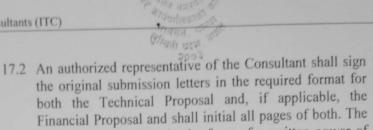
- 15.2 Depending on the nature of the assignment, the Consultant is required to submit a Full Technical Proposal (FTP), or a Simplified Technical Proposal (STP) as indicated in the **Data Sheet** and using the Standard Forms provided in Section 3 of the RFP.
- 16. Financial Proposal
- 16.1 The Financial Proposal shall be prepared using the Standard Forms provided in Section 4 of the RFP.It shall list all costs associated with the assignment, including (a) remuneration for Key Experts and Non-Key Experts, (b)reimbursable expenses indicated in the **Data Sheet**.
- a. Price Adjustment
- 16.2 For assignments with a duration exceeding 18 months, a price adjustment provision for foreign and/or local inflation for remuneration rates applies if so stated in the **Data Sheet**.
- b. Taxes
- 16.3 The Consultant and its Sub-consultants and Experts are responsible for meeting all tax liabilities arising out of the Contract unless stated otherwise in the Data Sheet. Information on taxes in the Client's country is provided in the Data Sheet.
- c. Currency of Proposal
- 16.4 The Consultant may express the price for its Services in the currency or currencies as stated in the **Data Sheet**. If indicated in the **Data Sheet**, the portion of the price representing local cost shall be stated in the national currency.
- d. Currency of Payment
- 16.5 Payment under the Contract shall be made in the currency or currencies in which the payment is requested in the Proposal.

## C. Submission, Opening and Evaluation

- 17. Submission, Sealing, and Marking of Proposals
- 17.1 The Consultant shall submit a signed and complete Proposal comprising the documents and forms in accordance with ITC 10 (Documents Comprising Proposal). Consultants shall mark as "CONFIDENTIAL" information in their Proposals which is confidential to their business. This may include proprietary information, trade secrets or commercial or financially sensitive information. The submission can be done by mail or by hand. If specified in the **Data Sheet**, the Consultant has the option of submitting its Proposals electronically.

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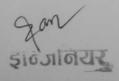


17.2.1 A Proposal submitted by a Joint Venture shall be signed by all members so as to be legally binding on all members, or by an authorized representative who has a written power of attorney signed by each member's authorized representative.

authorization shall be in the form of a written power of

attorney attached to the Technical Proposal.

- 17.3 Any modifications, revisions, interlineations, erasures, or overwriting shall be valid only if they are signed or initialed by the person signing the Proposal.
- 17.4 The signed Proposal shall be marked "ORIGINAL", and its copies marked "COPY" as appropriate. The number of copies is indicated in the **Data Sheet**. All copies shall be made from the signed original. If there are discrepancies between the original and the copies, the original shall prevail.
- 17.5 The original and all the copies of the Technical Proposal shall be placed inside a sealed envelope clearly marked "TECHNICAL PROPOSAL", "[Name of the Assignment]", [reference number], [name and address of the Consultant], and with a warning "Do Not Open until[Insert the Date and the time of the Technical Proposal submission deadline]."
- 17.6 Similarly, the original Financial Proposal (if required for the applicable selection method) and its copies shall be placed inside of a separate sealed envelope clearly marked "FINANCIAL PROPOSAL" "[Name of the Assignment], [reference number], [name and address of the Consultant]", and with a warning "DO NOT OPEN WITH THE TECHNICAL PROPOSAL."
- 17.7 The sealed envelopes containing the Technical and Financial Proposals shall be placed into one outer envelope and sealed. This outer envelope shall be addressed to the Client and bear the submission address, RFP reference number, the name of the assignment, the Consultant's name and the address, and shall be clearly marked "Do Not Open Before [insert the time and date of







the submission deadline indicated in the Data Sheet]".

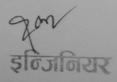
- 17.8 If the envelopes and packages with the Proposal are not sealed and marked as required, the Client will assume no responsibility for the misplacement, loss, or premature opening of the Proposal.
- 17.9 The Proposal or its modifications must be sent to the address indicated in the **Data Sheet** and received by the Client no later than the deadline indicated in the **Data Sheet**, or any extension to this deadline. Any Proposal or its modification received by the Client after the deadline shall be declared late and rejected, and promptly returned unopened.

#### 18. Confidentiality

- 18.1 From the time the Proposals are opened to the time the Contract is awarded, the Consultant should not contact the Client on any matter related to its Technical and/or Financial Proposal. Information relating to the evaluation of Proposals and award recommendations shall not be disclosed to the Consultants who submitted the Proposals or to any other party not officially concerned with the process, until the Notification of Intention to Award the Contract. Exceptions to this ITC are where the Client notifies Consultants of the results of the evaluation of the Technical Proposals.
- 18.2 Any attempt by shortlisted Consultants or anyone on behalf of the Consultant to influence improperly the Client in the evaluation of the Proposals or Contract award decisions may result in the rejection of its Proposal, and may be subject to the application of prevailing Bank's sanctions procedures.
- 18.3 Notwithstanding the above provisions, from the time of the Proposals' opening to the time of Contract award publication, if a Consultant wishes to contact the Client or the Bank on any matter related to the selection process, it shall do so only in writing.

## 19. Opening of Technical Proposals

19.1 The Client's evaluation committee shall conduct the opening of the Technical Proposals in the presence of the shortlisted Consultants' authorized representatives who choose to attend (in person, or online if this option is offered in the **Data Sheet**). The opening date, time and the address are stated in the **Data Sheet**. The envelopes with the Financial Proposal shall remain sealed and shall be securely stored with a reputable public auditor or





independent authority until they are opened in accordance with ITC 23.

19.2 At the opening of the Technical Proposals the following shall be read out: (i) the name and the country of the Consultant or, in case of a Joint Venture, the name of the Joint Venture, the name of the lead member and the names and the countries of all members; (ii) the presence or absence of a duly sealed envelope with the Financial Proposal; (iii) any modifications to the Proposal submitted prior to proposal submission deadline; and (iv) any other information deemed appropriate or as indicated in the **Data Sheet**.

### 20. Proposals Evaluation

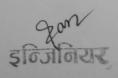
- 20.1 Subject to provision of ITC 15.1, the evaluators of the Technical Proposals shall have no access to the Financial Proposals until the technical evaluation is concluded and the Bank issues its "no objection", if applicable.
- 20.2 The Consultant is not permitted to alter or modify its Proposal in any way after the proposal submission deadline except as permitted under ITC 12.7. While evaluating the Proposals, the Client will conduct the evaluation solely on the basis of the submitted Technical and Financial Proposals.

## 21. Evaluation of Technical Proposals

21.1 The Client's evaluation committee shall evaluate the Technical Proposals on the basis of their responsiveness to the Terms of Reference and the RFP, applying the evaluation criteria, sub-criteria, and point system specified in the **Data Sheet**. Each responsive Proposal will be given a technical score. A Proposal shall be rejected at this stage if it does not respond to important aspects of the RFPor if it fails to achieve the minimum technical score indicated in the **Data Sheet**.

## 22. Financial Proposals for QBS

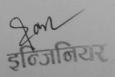
- 22.1 Following the ranking of the Technical Proposals, when the selection is based on quality only (QBS), the topranked Consultant is invited to negotiate the Contract.
- 22.2 If Financial Proposals were invited together with the Technical Proposals, only the Financial Proposal of the technically top-ranked Consultant is opened by the Client's evaluation committee. All other Financial Proposals are returned unopened after the Contract negotiations are successfully concluded and the Contract is signed.







- Financial Proposals (for OCBS, FBS, and LCS methods)
- 23. Public Opening of 23.1 After the technical evaluation is completed and the Bank has issued its no objection (if applicable), the Client shall notify those Consultants whose Proposals were considered non-responsive to the RFP and TOR or did not meet the minimum qualifying technical score, advising them the following:
  - their Proposal was not responsive to the RFP and TOR or did not meet the minimum qualifying technical score;
  - provide information relating to the Consultant's overall technical score, as well as scores obtained for each criterion and sub-criterion;
  - their Financial Proposals will be returned unopened after completing the selection process and Contract signing; and
  - (iv) notify them of the date, time and location of the public opening of the Financial Proposals and invite them to attend.
  - 23.2 The Client shall simultaneously notify in writing those Consultants whose Proposals were considered responsive to the RFP and TOR, and that have achieved the minimum qualifying technical score, advising them the following:
    - (i) their Proposal was responsive to the RFP and TOR and met the minimum qualifying technical score:
    - provide information relating to the Consultant's overall technical score, as well as scores obtained for each criterion and sub-criterion:
    - (iii) their Financial Proposal will be opened at the public opening of Financial Proposals; and
    - notify them of the date, time and location of the public opening and invite them for the opening of the Financial Proposals.
  - 23.3 The opening date shall be no less than ten (10) Business Days from the date of notification of the results of the technical evaluation, described in ITC 23.1 and 23.2. However, if the Client receives a complainton the results of the technical evaluation within the ten (10)



Business Days, the opening date shall be subject to ITC 35.1.

- 23.4 The Consultant's attendance at the opening of the Financial Proposals (in person, or online if such option is indicated in the **Data Sheet**) is optional and is at the Consultant's choice.
- The Financial Proposals shall be openedpublicly by the Client's evaluation committee in the presence of the representatives of the Consultants and anyone else who chooses to attend. Any interested party who wishes to attend this public opening should contact the client as indicated in the Data Sheet. Alternatively, a notice of the public opening of Financial Proposals may be published on the Client's website, if available. At the opening, the names of the Consultants, and the overall technical scores, including the break-down by criterion, shall be read aloud. The Financial Proposals will then be inspected to confirm that they have remained sealed and unopened. These Financial Proposals shall be then opened, and the total prices read aloud and recorded. Copies of the record shall be sent to all Consultants who submitted Proposals and to the Bank.

## 24. Correction of Errors

24.1 Activities and items described in the Technical Proposal but not priced in the Financial Proposal, shall be assumed to be included in the prices of other activities or items, and no corrections are made to the Financial Proposal.

## a. Time-Based Contracts

24.1.1 If a Time-Based contract form is included in the RFP, the Client's evaluation committee will (a) correct any computational or arithmetical errors, and (b) adjust the prices if they fail to reflect all inputs included for the respective activities or items included in the Technical Proposal. In case of discrepancy between (i) a partial amount (sub-total) and the total amount, or (ii) between the amount derived by multiplication of unit price with quantity and the total price, or (iii) between words and figures, the former will prevail. In case of discrepancy between the Technical and Financial Proposals in indicating quantities of input, the Technical Proposal prevails and the Client's committee shall correct evaluation quantification indicated in the Financial Proposal so as to make it consistent with that indicated in the Technical Proposal, apply the relevant unit price included in the Financial Proposal to the corrected quantity, and correct the total Proposal cost.

#### b. Lump-Sum Contracts

24.1.2 If a Lump-Sum contract form is included in the RFP, the Consultant is deemed to have included all prices in the Financial Proposal, so neither arithmetical corrections nor price adjustments shall be made. The total price, net of taxes understood as per ITC 25, specified in the Financial Proposal (Form FIN-1) shall be considered as the offered price. Where there is a discrepancy between the amount in words and the amount figures, the amount in words shall prevail.

#### 25. Taxes

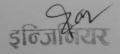
- 25.1 The Client's evaluation of the Consultant's Financial Proposal shall exclude taxes and duties in the Client's country in accordance with the instructions in the **Data** Sheet.
- 26. Conversion to Single Currency
- 26.1 For the evaluation purposes, prices shall be converted to a single currency using the selling rates of exchange, source and date indicated in the **Data Sheet**.

# 27. Combined Quality and Cost Evaluation a. Quality and Cost-Based Selection (OCBS)

27.1 In the case of QCBS, the total score is calculated by weighting the technical and financial scores and adding them as per the formula and instructions in the **Data Sheet**. The Consultant with the Most Advantageous Proposal, which is the Proposal that achieves the highest combined technical and financial scores, will be invited for negotiations.

## b. Fixed-Budget Selection(FBS)

- 27.2 In the case of FBS, those Proposals that exceed the budget indicated in ITC 14.1.4 of the **Data Sheet** shall be rejected.
- 27.3 The Client will select the Consultant with the Most Advantageous Proposal, which is the highest-ranked Technical Proposal that does not exceed the budget indicated in the RFP, and invite such Consultant to negotiate the Contract.





### c. Least-Cost Selection

27.4 In the case of Least Cost Selection (LCS), the Client will select the Consultant with the Most Advantageous Proposal, which is the Proposal with the lowest evaluated total price among those Proposals that achieved the minimum qualifying technical score, and invite such a Consultant to negotiate the Contract.

## D. Negotiations and Award

#### 28. Negotiations

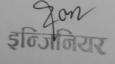
- 28.1 The negotiations will be held at the date and address indicated in the Data Sheet with the Consultant's representative(s) who must have written power of attorney to negotiate and sign a Contract on behalf of the Consultant.
- 28.2 The Client shall prepare minutes of negotiations that are signed by the Client and the Consultant's authorized representative.

## a. Availability of Key Experts

- 28.3 The invited Consultant shall confirm the availability of all Key Experts included in the Proposal as a pre-requisite to the negotiations, or, if applicable, a replacement in accordance with ITC 12. Failure to confirm the Key Experts' availability may result in the rejection of the Consultant's Proposal and the Client proceeding to negotiate the Contract with the next-ranked Consultant.
- 28.4 Notwithstanding the above, the substitution of Key Experts at the negotiations may be considered if due solely to circumstances outside the reasonable control of and not foreseeable by the Consultant, including but not limited to death or medical incapacity. In such case, the Consultant shall offer a substitute Key Expert within the period of time specified in the letter of invitation to negotiate the Contract, who shall have equivalent or better qualifications and experience than the original candidate.

## b. Technical Negotiations

28.5 The negotiations include discussions of the Terms of Reference (TORs), the proposed methodology, the Client's inputs, the special conditions of the Contract, andfinalizing the Description of Services part of the Contract. These discussions shall not substantially alter the original scope of services under the TOR or the terms of the contract, lest the quality of the final product, its





price, or the relevance of the initial evaluation be affected.

## c. Financial Negotiations

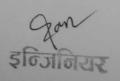
- 28.6 The negotiations include the clarification of the Consultant's tax liability in the Client's country and how it should be reflected in the Contract.
- 28.7 If the selection method included cost as a factor in the evaluation, the total price stated in the Financial Proposal for a Lump-Sum contract shall not be negotiated.
- 28.8 In the case of a Time-Based contract, unit rates negotiations shall not take place, except when the offered Key Experts and Non-Key Experts' remuneration rates are much higher than the typically charged rates by consultants in similar contracts. Insuch case, the Client may ask for clarifications and, if the fees are very high, ask to change the rates after consultation with the Bank. The format for (i) providing information on remuneration rates in the case of Quality Based Selection; and (ii) clarifying remuneration rates' structure under this Clause, is provided in Appendix A to the Financial Form FIN-3: Financial Negotiations Breakdown of Remuneration Rates.

## 29. Conclusion of Negotiations

- 29.1 The negotiations are concluded with a review of the finalized draft Contract, which then shall be initialed by the Client and the Consultant's authorized representative.
- 29.2 If the negotiations fail, the Client shall inform the Consultant in writing of all pending issues and disagreements and provide a final opportunity to the Consultant to respond. If disagreement persists, the Client shall terminate the negotiations informing the Consultant of the reasons for doing so. After having obtained the Bank's no objection, the Client will invite the next-ranked Consultant to negotiate a Contract. Once the Client commences negotiations with the next-ranked Consultant, the Client shall not reopen the earlier negotiations.

## 30. Standstill Period

30.1 The Contract shall not be awarded earlier than the expiry of the Standstill Period. The Standstill Period shall be ten (10) Business Days unless extended in accordance with ITC33. The Standstill Period commences the day after the date the Client has transmitted to each Consultant (that has not already been notified that it has been unsuccessful) the Notification of Intention to Award the Contract. Where only one Proposal is submitted, or if this contract is in response to an emergency situation



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recognized by the Bank, the Standstill Period shall not apply.

## 31. Notification of Intention to Award

- 31.1 The Client shall send to each Consultant (that has not already been notified that it has been unsuccessful) the Notification of Intention to Award the Contract to the successful Consultant. The Notification of Intention to Award shall contain, at a minimum, the following information:
  - (a) the name and address of the Consultant with whom the client successfully negotiated a contract;
  - (b) the contract price of the successful Proposal;
  - (c) the names of all Consultants included in the short list, indicating those that submitted Proposals;
  - (d) where the selection method requires, the price offered by each Consultant as read out and as evaluated;
  - (e) the overall technical scores and scores assigned for each criterion and sub-criterion to each Consultant;
  - (f) the final combined scores and the final ranking of the Consultants;
  - (g) a statement of the reason(s) why the recipient's Proposal was unsuccessful, unless the combined score in (f) above already reveals the reason;
  - (h) the expiry date of the Standstill Period; and
  - (i) instructions on how to request a debriefing and/or submit a complaint during the Standstill Period.

## 32. Notification of Award

32.1 Upon expiry of the Standstill Period, specified in ITC 30.1 or any extension thereof, and upon satisfactorily addressing any complaint that has been filed within the Standstill Period, and upon verifyingthat the Consultant (including each member of a JV) is not disqualified by the Bank due to noncompliance with contractual SEA/SH prevention and response obligations, the Client shall, send a notification of award to the successful Consultant, confirming the Client's intention to award the Contract to the successful Consultant and requesting the successful Consultant to sign and return the draft negotiated Contract within eight (8) Business Days from the date of receipt of such notification. The Client will require the Consultant to replace any subconsultant that is disqualified by the Bank due to noncompliance with contractual SEA/SH

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prevention and response obligations. Ifspecified in the **Data Sheet**, the client shall simultaneously request the successful Consultant to submit, within eight (8) Business Days, the Beneficial Ownership Disclosure Form.

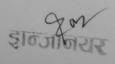
## Contract Award Notice

Within ten (10) Business Days from the date of notification of award such request, the Client shall publish the Contract Award Notice which shall contain, at a minimum, the following information:

- (a) name and address of the Client;
- (b) name and reference number of the contract being awarded, and the selection method used;
- names of the consultants that submitted proposals, and their proposal prices as read out at financial proposal opening, and as evaluated;
- (d) names of all Consultants whose Proposals were rejected or were not evaluated, with the reasons therefor;
- (e) the name of the successful consultant, the final total contract price, the contract duration and a summary of its scope; and.
- (f) successful Consultant's Beneficial Ownership Disclosure Form, if specified in Data Sheet ITC 32.1.
- 32.2 The Contract Award Notice shall be published on the Client's website with free access if available, or in at least one newspaper of national circulation in the Client's Country, or in the official gazette. The Client shall also publish the contract award notice in UNDB online

## 33. Debriefing by the Client

- 33.1 On receipt of the Client's Notification of Intention to Award referred to in ITC 31.1, an unsuccessful Consultant has three (3) Business Days to make a written request to the Client for a debriefing. The Client shall provide a debriefing to all unsuccessful Consultants whose request is received within this deadline.
- 33.2 Where a request for debriefing is received within the deadline, the Client shall provide a debriefing within five (5) Business Days, unless the Client decides, for justifiable reasons, to provide the debriefing outside this timeframe. In that case, the standstill period shall automatically be extended until five (5) Business Days



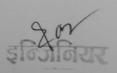


after such debriefing is provided. If more than one debriefing is so delayed, the standstill period shall not end earlier than five (5) Business Days after the last debriefing takes place. The Client shall promptly inform, by the quickest means available, all Consultants of the extended standstill period

- 33.3 Where a request for debriefing is received by the Client later than the three (3)-Business Day deadline, the Client should provide the debriefing as soon as practicable, and normally no later than fifteen (15) Business Days from the date of publication of Public Notice of Award of contract. Requests for debriefing received outside the three (3)-day deadline shall not lead to extension of the standstill period.
- 33.4 Debriefings of unsuccessful Consultants may be done in writing or verbally. The Consultants shall bear their own costs of attending such a debriefing meeting

## 34. Signing of Contract

- 34.1 The Contract shall be signed prior to the expiry date of the Proposal validity and promptly after expiry of the Standstill Period, specified in ITC 30.1 or any extension thereof, and upon satisfactorily addressing any complaint that has been filed within the Standstill Period.
- 34.2 The Consultant is expected to commence the assignment on the date and at the location specified in the **Data**Sheet.
- 35. Procurement Related Complaint
- 35.1 The procedures for making a Procurement-related Complaint are as specified in the **Data Sheet**.



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# Section 2. Instructions to Consultants

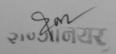
## E. Data Sheet

TC Reference	A. General
1 (b)	Nepal
2.1	Name of the Client:
	Tansen Municipality
	Office of Municipal Executive
	Lumbini Province, Palpa  Method of selection: Quality and Cost Based Selection (QCBS)as per
	the World Bank's "Procurement Regulations for IPF Borrowers" July 2016 Revised November 2020 ("Procurement Regulations"), (available on www.worldbank.org)
2.2	Financial Proposal to be submitted together with Technical Proposal: Yes
	The name of the assignment is: Design and Supervision Consultant (DSC), Tansen
2.3	A pre-proposal conference will be held: Yes
	Date of pre-proposal conference:22st January 2022
	Time: 13:00 hours
	Address: Tansen Municipality,
	Office of Municipal Executive,
	Lumbini Province, Palpa
	Telephone:+977-075-520909, 520109, 520893
12.5	E-mail: infra.tansenmun@gmail.com
	Contact person/conference coordinator:
	Pitambar Pandey

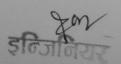


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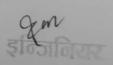
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	Chief Administrative Officer
2.4	The Client will provide the following inputs, project data, reports, etc. to facilitate the preparation of the Proposals:  Available necessary documents, information and data available in NUGIP
4.1	NA NA
6.3.1	A list of debarred firms and individuals is available at the Bank's external website: www.worldbank.org/debarr
	B. Preparation of Proposals
9.1	This RFP has been issued in the English language.
	Proposals shall be submitted in English language. All correspondence exchange shall be in English language.
10.1	The Proposal shall comprise the following:
	1st Inner Envelope with the Technical Proposal:
	(1) Power of Attorney to sign the Proposal
	(2) TECH-1
	(3) TECH-2
	(4) TECH-3
	(5) TECH-4
	(6) TECH-5
	(7) TECH-6
	(8) TECH-7 Code of Conduct (ES): The Consultant shall submit its Code of Conduct that will apply to the Experts, to ensure compliance with the Consultant's Environmental and Social (ES) obligations under the Contract. The Consultant shall use for this purpose the Code of Conduct form in Section 3. No substantial modifications shall be made to this form, except that the Consultant may introduce additional requirements, including as necessary to take into account specific Contract issues/risks.
	AND



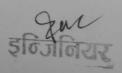
	mantel of the Proposal (if applicable):
	2 <sup>nd</sup> Inner Envelope with the Financial Proposal (if applicable):
	(1) FIN-1
	(2) FIN-2
	(3) FIN-3
	(4) FIN-4 (4) FIN-4 (5) Landar Data Sheet 10.2 below)
	(4) FIN-4 (5) Statement of Undertaking (if required under Data Sheet 10.2 below)
10.2	Statement of Undertaking is required Yes
11.1	Participation of Sub-consultants, Key Experts and Non-Key Experts in more than one Proposal is permissible
	Yes
12.1	Proposals shall be validuntil 8 June 2022
13.1	Clarifications may be requested no later than 14 days prior to the
	submission deadline.
	The contact information for requesting clarifications is:
	The Chief Administrative Officer,
	Tansen Municipality,
	Office of Municipal Executive,
	Lumbini Province, Palpa
	Tel:+977-075-520909, 520109, 520893
	E-mail: infra.tansenmun@gmail.com
14.1.1	Shortlisted Consultants may associate with
	(a) non-shortlisted consultant(s): Yes
	Or
	(b) other shortlisted Consultants: No
14.1.2	Estimated input of Key Experts' time-input:58person-months.
(do not use	



For Fixed Budget method)	मानस्त प्रयो
14.1.3 for time- based contracts only	The Consultant's Proposal must include the minimum Key Experts' time-input of58person-months. Total time input of key experts and other professional staffs as Non-key experts is estimated as is 85person-months as detailed in TOR.  For the evaluation and comparison of Proposals only: if a Proposal includes less than the required minimum time-input, the missing time-input (expressed in person-month) is calculated as follows:  The missing time-input is multiplied by the highest remuneration rate for a Key Expert in the Consultant's Proposal and added to the total remuneration amount. Proposals that quoted higher than the required minimum of time-input will not be adjusted.
14.1.4 and 27.2 use for Fixed Budget method	NA
15.2	The format of the Technical Proposal to be submitted is:  FTP.  Submission of the Technical Proposal in a wrong format may lead to the Proposal being deemed non-responsive to the RFP requirements.
16.1	[A <u>sample</u> list is provided below for guidance. Items that are not applicable should be deleted, others may be added. If the Client wants to set up maximum ceilings for unit rates of certain type of expenses, such ceilings should be indicated in the FIN forms.
	(1) a per diem allowance, including hotel, for experts for every day of absence from the home office for the purposes of the Services;
	(2) cost of travel by the most appropriate means of transport and the most direct practicable route;
	(3) cost of office accommodation, including overheads and back-stop support;
	(4) communications costs;

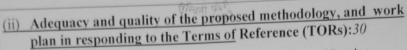


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	(5) cost of purchase or rent or freight of any equipment required to be provided by the Consultants;
	(6) cost of reports production (including printing) and delivering to the Client;
	(7) other allowances where applicable and provisional or fixed sums (if any)]
	(8) Other associated cost as per TOR
6.2	A price adjustment provision applies to remuneration rates:
	Yes.It applies to both foreign and local inflation.
6.3	Information on the Consultant's tax obligations in the Client's country can be found from: Inland Revenue Department, Nepal http://www.ird.gov.np
	The Consultant is required to make assessment of the taxes including direct and indirect taxes applicable with regard to the execution of service. The Client will make tax deduction at source from payment of each invoice as per prevailing law of Nepal and it is the Consultant's obligation to settle the tax.
	The Client will only bear the indirect tax i.e. value added tax (VAT). 50 % of VAT amount of each payment shall be paid to the consultant whereas rest 50% directly deposited in Government's VAT account.
16.4	The Financial Proposal shall be stated in the following currencies:
	Consultant may express the price for their Services in any fully convertible currency, singly or in combination of up to three foreign currencies.
	The Financial Proposal should state local costs in the Client's country currency (local currency): Yes
	C. Submission, Opening and Evaluation
17.1	The Consultants "shall not" have the option of submitting their Proposals electronically.
17.4	The Consultant must submit:
	(a) Technical Proposal: one (1) original and one(1)copy;
1	(b) Financial Proposal: one (1) original.



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7.7 and	The Proposals must be submitted no later than:
7.7 and	Date: 00 February 2022
	Time: 12:00 local time
	The Proposal submission address is:
	Tansen Municipality,
	Office of Municipal Executive,
	Lumbini Province, Palpa
	Tel:+977-075-520909, 520109, 520893
	E-mail: infra.tansenmun@gmail.com
19.1	An online option of the opening of the Technical Proposals is offered:
	No
	The opening shall take place at:
	Tansen Municipality,
	Office of Municipal Executive,
	Lumbini Province, Palpa
	Tel:+977-075-520909, 520109, 520893
Not the Land	E-mail: infra.tansenmun@gmail.com
	<b>Date</b> : same as the submission deadline indicated in 17.7.
	Time:14:00 local time
19.2	In addition, the following information will be read aloud at the opening of the Technical Proposals NA
21.1	Criteria, sub-criteria, and point system for the evaluation of the Full Technical Proposals:
(for FTP)	Points
	(i) Specific experience of the Consultant (as a firm) relevant to the Assignment:  -5Points
	Experience in Design & construction supervision having consulting service of value ≥ US\$ 0.15 million – 4 Projects
	(completed in last 10 years)





- 1. Adequacy and Quality of proposedmethodology -18 points -Understanding of Objectives, clarity of the proposed methodology, coverage of all aspects of the TOR
- 2. Work plan- 7.0 Points
- Sequencing of the activities showing inter-linkages, decision points and reporting times; Reasonableness of time frame allowed for different activities and deliverable dates for different activities; Clarity and logic in presentation with Network Diagram or Critical Path Method/Diagram etc.
- 3. Organization and staffing-5 points
- Organization chart with staffsand team composition and skill mix having minimum qualifications/experience as per ToR indicating the inter-relationship between the client and the consultant and among all stakeholders together with design/supervision/management and counterpart arrangements, home office support.

### (iii) Key Experts' qualifications and competence for the Assignment:

- a) Position K-1: Team Leader Cum Road Design Engineer 32points
- b) Position K-3: Bridge / Structure Engineer 10 points
- c) Position K-4: Storm Drainage / Hydraulic Engineer 6 points
- d) Position K-5: Supervision and Quality Control Engineer 12points

### Total points for criterion (iii): 60

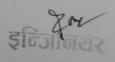
The number of points to be assigned to each of the above positions shall be determined considering the following three sub-criteria and relevant percentage weights:

- 1) General qualifications (general education, training, and experience): 20%
- 2) Adequacy for the Assignment (relevant education, training, experience in the sector/similar assignments):80%

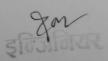
Total weight:100%

### Note:

- Projects / assignments/ experiences of the experts, less than 6 months duration shall not be taken into consideration in the evaluation.
- Experience of Experts will be counted from the date of completion of Bachelor's Degree in respective fields
- (iv) Transfer of knowledge (training) program (relevance of approach



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	and methodology): 5%Methodology of technology transfer, dissemination of knowledge and proposed plan, Proposed training program etc.			
	Total points for criterion (iv): 5%			
	Total points for the five criteria:100			
	The minimum technical score (St) required to pass is:70			
	Public Opening of Financial Proposals			
23.4	An online option of the opening of the Financial Proposals is offered: No			
23.5	Following the completion of the evaluation of the Technical Proposals, the Client will notify all Consultants of the location, date and time of the public opening of Financial Proposals.			
	Any interested party who wishes to attend this public opening should contact Chief Administrative Officer, Tansen Municipality, Office of Municipal Executive, Lumbini Province, Palpa and request to be notified of the location, date and time of the public opening of Financial Proposals. A notice of the public opening of Financial Proposals will also be published or Client's website			
	https://tansenmun.gov.np/			
25.1	For the purpose of the evaluation, the Client will exclude: (a) Value Added Tax (VAT)			
26.1	The single currency for the conversion of all prices expressed in various currencies into a single one is:Nepalese Rupees			
	The official source of the selling (exchange) rate is: Nepal Rastra Bank, www.nrb.org.np			
	The date of the exchange rate is: 28 <sup>th</sup> day before Deadline for Proposal Submission			
27.1	The lowest evaluated Financial Proposal (Fm) is given the maximum financial score (Sf) of 100.			
(QCBS only)	The formula for determining the financial scores (Sf) of all other Proposals is calculated as following:			



	structions to Consultants - Data Sheet
Section 2. In	arrictions to Consultants - Data once,
	Sf = 100 x Fm/F, in which "Sf" is the financial score, "Fm" is the lowest price, and "F" the price of the proposal under consideration.
	The weights given to the Technical (T) and Financial (P) Proposals are:
	T =80, and
	$\mathbf{P} = 20$
	Proposals are ranked according to their combined technical (St) and financial (Sf) scores using the weights ( $T = the weight given to the Technical Proposal; P = the weight given to the Financial Proposal; T + P = 1) as following: S = St \times T\% + Sf \times P\%.$
	D. Negotiations and Award
28.1	Expected date and address for contract negotiations:
	Date: 09 May 2022
	Address:
	Tansen Municipality,
	Office of Municipal Executive,

Lumbini Province, Palpa

Form.

Date:June 2022 at: Nepal

Tel:+977-075-520909, 520109, 520893

E-mail: infra.tansenmun@gmail.com

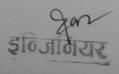
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The procedures for making a Procurement-related Complaint are detailed in 35.1 the "Procurement Regulations for IPF Borrowers (Annex III)." If a Consultant wishes to make a Procurement-related Complaint, the Consultant shall submit its complaint following these procedures, In Writing (by the quickest means available, such as by email ), to:

Expected date for the commencement of the Services:

The successful Consultant shall submit the Beneficial Ownership Disclosure

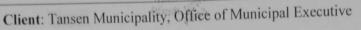
For the attention: Mr. Pitambar Pandey Title/position: Chief Administrative Officer



32.1

34.2





Lumbini Province, Palpa Tel:+977-075-520909, 520109, 520893

Email address: infra.tansenmun@gmail.com
In summary, a Procurement-related Complaint may challenge any of the following:

- 1. the terms of this Request for Proposal;
- 2. the Client's decision to exclude a Consultant from the procurement process prior to the award of contract; and
- 3. the Client's decision to award the contract.

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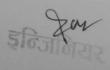
### Section 3. Technical Proposal Standard Forms

{Notes to Consultant shown in brackets { } throughout Section 3 provide guidance to the Consultant to prepare the Technical Proposal; they should not appear on the Proposals to be submitted.}

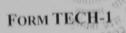
CHECKLIST OF REQUIRED FORMS

FTP o	red for or STP	FORM	DESCRIPTION	Page Limit
FTP	STP			
1	1	TECH-1	Technical Proposal Submission Form.	
	If icable	TECH-1 Attachment	If the Proposal is submitted by a joint venture, attach a letter of intent or a copy of an existing agreement.	
	If icable	Power of Attorney	No pre-set format/form. In the case of a Joint Venture, several are required: a power of attorney for the authorized representative of each JV member, and a power of attorney for the representative of the lead member to represent all JV members	
<b>✓</b>		TECH-2	Consultant's Organization and Experience.	
<b>√</b>		TECH-2A	A. Consultant's Organization	
1		TECH-2B	B. Consultant's Experience	
1		TECH-3	Comments or Suggestions on the Terms of Reference and on Counterpart Staff and Facilities to be provided by the Client.	
1		TECH-3A	A. On the Terms of Reference	
✓		TECH-3B	B. On the Counterpart Staff and Facilities	
<b>~</b>	1	TECH-4	Description of the Approach, Methodology, and Work Plan for Performing the Assignment	
1	1	TECH-5	Work Schedule and Planning for Deliverables	
1	1	TECH-6	Team Composition, Key Experts Inputs, and attached Curriculum Vitae (CV)	
<b>✓</b>	1	TECH-7	Code of Conduct (ES)	
1	1	TECH-8	Sexual Exploitation and Abuse (SEA) and/or Sexual Harassment (SH) Performance Declaration	

All pages of the original Technical and Financial Proposal shall be initialed by the same authorized representative of the Consultant who signs the Proposal.







### TECHNICAL PROPOSAL SUBMISSION FORM

{Location, Date}

To: Chief Administrative Officer Tansen Municipality, Office of Municipal Executive, Lumbini Province, Palpa

Dear Sirs:

We, the undersigned, offer to provide the consulting services for [Insert title of assignment] in accordance with your Request for Proposals(RFP) dated [Insert Date] and our Proposal. [Select appropriate wording depending on the selection method stated in the RFP: "We are hereby submitting our Proposal, which includes this Technical Proposal and a FinancialProposal sealed in a separate envelope" or, if only a Technical Proposal is invited "We hereby are submitting our Proposal, which includes this Technical Proposal only in a sealed envelope."].

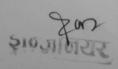
{If the Consultant is a joint venture, insert the following: We are submitting our Proposal a joint venture with: {Insert a list with full name and the legal address of each member, and indicate the lead member}. We have attached a copy {insert: "of our letter of intent to form a joint venture" or, if a JV is already formed, "of the JV agreement"} signed by every participating member, which details the likely legal structure of and the confirmation of joint and severable liability of the members of the said joint venture.

{OR

If the Consultant's Proposal includes Sub-consultants, insert the following: We are submitting our Proposal with the following firms as Sub-consultants: {Insert a list with full name and address of each Sub-consultant.}

We hereby declare that:

- a) All the information and statements made in this Proposal are true and we accept that any misinterpretation or misrepresentation contained in this Proposal may lead to our disqualification by the Client and/or may be sanctioned by the Bank.
- (b) Our Proposal shall be valid and remain binding upon us until [insert day, month and year in accordance with ITC 12.1].
- (c) We have no conflict of interest in accordance with ITC 3.





- (d) We meet the eligibility requirements as stated in ITC 6, and we confirm our understanding of our obligation to abide by the Bank's policy in regard to Fraud and Corruption as per ITC 5.
- (e) We, along with any of our sub-consultants, sub-contractors, suppliers, or service providers for any part of the contract, are not subject to, and not controlled by any entity or individual that is subject to, a temporary suspension or a debarment imposed by the World Bank Group or a debarment imposed by the World Bank Group in accordance with the Agreement for Mutual Enforcement of Debarment Decisions between the World Bank and other development banks. Further, we are not ineligible under the Client's country laws or official regulations or pursuant to a decision of the United Nations Security Council;
- (f) SexualExploitation and Abuse (SEA) and/or Sexual Harassment (SH):[select the appropriate option from (i) to (iii) below and delete the others].

We [where JV, insert: "including any of our JV members"], and any of our sub-consultants:

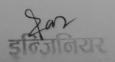
- (i) [have not been subject to disqualification by the Bank for non-compliance with SEA/SH obligations.]
- (ii) [are subject to disqualification by the Bank for non-compliance with SEA/ SH obligations.]
- (iii) [had been subject to disqualification by the Bank for non-compliance with SEA/ SH obligations. An arbitral award on the disqualification case has been made in our favor.]
- (g) [Note to Client: Only if required in ITC10.2 (Data Sheet 10.2), include the following: In competing for (and, if the award is made to us, in executing) the Contract, we undertake to observe the laws against fraud and corruption, including bribery, in force in the country of the Client.]
- (h) Except as stated in the Data Sheet, ITC 12.7, we undertake to negotiate a Contract on the basis of the proposed Key Experts. We accept that the substitution of Key Experts for reasons other than those stated in ITC 12 and ITC 28.4 may lead to the termination of Contract negotiations.
- (i) Our Proposal is binding upon us and subject to any modifications resulting from the Contract negotiations.

We undertake, if our Proposal is accepted and the Contract is signed, to initiate the Services related to the assignment no later than the date indicated in ITC 34.2 of the Data Sheet.

We understand that the Client is not bound to accept any Proposal that the Client receives.

We remain,

Yours sincerely,



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Signature (of Consultant's authorized representative) {In full and initials}:

Full name: {insert full name of authorized representative}
Title: {insert title/position of authorized representative}

Name of Consultant (company's name or JV's name):

Capacity: {insert the person's capacity to sign for the Consultant}

Address: {insert the authorized representative's address}

Phone/fax: {insert the authorized representative's phone and fax number, if

applicable}

Email: {insert the authorized representative's email address}

{For a joint venture, either all members shall sign or only the lead member, in which case the power of attorney to sign on behalf of all members shall be attached}

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### FORM TECH-2(FOR FULL TECHNICAL PROPOSAL ONLY)

### CONSULTANT'S ORGANIZATION AND EXPERIENCE

Form TECH-2: a brief description of the Consultant's organization and an outline of the recent experience of the Consultant that is most relevant to the assignment. In the case of a joint venture, information on similar assignments shall be provided for each partner. For each assignment, the outline should indicate the names of the Consultant's Key Experts and Subconsultants who participated, the duration of the assignment, the contract amount (total and, if it was done in a form of a joint venture or a sub-consultancy, the amount paid to the Consultant), and the Consultant's role/involvement.

### A - Consultant's Organization

- 1. Provide here a brief description of the background and organization of your company, and in case of a joint venture of each member for this assignment.
- 2. Include organizational chart, a list of Board of Directors, and beneficial ownership. [If required under Data Sheet ITC 32.1, the successful Consultant shall provide additional information on beneficial ownership, using the Beneficial Ownership Disclosure Form.]

### **B** - Consultant's Experience

- 1. List only previous similar assignments successfully completed in the last 10 years.
- 2. List only those assignments for which the Consultant was legally contracted by the Client as a company or was one of the joint venture members. Assignments completed by the Consultant's individual experts working privately or through other consulting firms cannot be claimed as the relevant experience of the Consultant, or that of the Consultant's partners or sub-consultants, but can be claimed by the Experts themselves in their CVs. The Consultant should be prepared to substantiate the claimed experience by presenting copies of relevant documents and references if so requested by the Client.

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Duration	Assignment name/& brief description of main deliverables/outputs	Name of Client & Country of Assignment	Approx. Contract value (in US\$ equivalent)/ Amount paid to your firm	Role on the Assignment
{e.g., Jan.2009– Apr.2010}	{e.g., "Improvement quality of": designed master plan for rationalization of; }	{e.g., Ministry of, country}	{e.g., US\$1 mill/US\$0.5 mill}	{e.g., Lead partner in a JV A&B&C}
{e.g., Jan- May 2008}	{e.g., "Support to sub- national government": drafted secondary level regulations on}	{e.g., municipality of, country}	{e.g., US\$0.2 mil/US\$0.2 mil}	{e.g., sole Consultant}

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### FORM TECH-3 (FOR FULL TECHNICAL PROPOSAL)

### COMMENTS AND SUGGESTIONS ON THE TERMS OF REFERENCE, COUNTERPART STAFF, AND FACILITIES TO BE PROVIDED BY THE CLIENT

Form TECH-3: comments and suggestions on the Terms of Reference that could improve the quality/effectiveness of the assignment; and on requirements for counterpart staff and facilities, which are provided by the Client, including: administrative support, office space, local transportation, equipment, data, etc.

### A - On the Terms of Reference

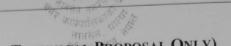
{improvements to the Terms of Reference, if any}

### **B** - On Counterpart Staff and Facilities

{comments on counterpart staff and facilities to be provided by the Client. For example, administrative support, office space, local transportation, equipment, data, background reports, etc., if any}

Zm/

William IIII3



### FORM TECH-4 (FOR FULL TECHNICAL PROPOSAL ONLY)

### DESCRIPTION OF APPROACH, METHODOLOGY, AND WORK PLAN IN RESPONDING TO THE TERMS OF REFERENCE

Form TECH-4: a description of the approach, methodology and work plan for performing the assignment, including a detailed description of the proposed methodology and staffing for training, if the Terms of Reference specify training as a specific component of the assignment.

(Suggested structure of your Technical Proposal (in FTP format):

- a) Technical Approach and Methodology
- b) Work Plan
- c) Organization and Staffing}
- a) <u>Technical Approach and Methodology.</u> {Please explain your understanding of the objectives of the assignment as outlined in the Terms of Reference (TORs), the technical approach, and the methodology you would adopt for implementing the tasks,(including on the Environmental and Social(ES) aspects)to deliver the expected output(s), and the degree of detail of such output. <u>Please do not repeat/copy the TORs in here.</u>}
- b) Work Plan. {Please outline the plan for the implementation of the main activities/tasks of the assignment, their content and duration, phasing and interrelations, milestones (including interim approvals by the Client), and tentative delivery dates of the reports. The proposed work plan should be consistent with the technical approach and methodology, showing your understanding of the TOR and ability to translate them into a feasible working plan. A list of the final documents (including reports) to be delivered as final output(s) should be included here. The work plan should be consistent with the Work Schedule Form.}
- c) <u>Organization and Staffing.</u> {Please describe the structure and composition of your team, including the list of the Key Experts, Non-Key Experts and relevant technical and administrative support staff.}

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THE RENDER SHEET



### FORM TECH-4 (FOR SIMPLIFIED TECHNICAL PROPOSAL ONLY)

### DESCRIPTION OF APPROACH, METHODOLOGY, AND WORK PLAN FOR PERFORMING THE ASSIGNMENT

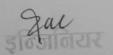
Form TECH-4: a description of the approach, methodology, and work plan for performing the assignment, including a detailed description of the proposed methodology and staffing for training, if the Terms of Reference specify training as a specific component of the assignment.

{Suggested structure of your Technical Proposal}

- Technical Approach, Methodology, and Organization of the Consultant's team.

  {Please explain your understanding of the objectives of the assignment as outlined in the Terms of Reference (TOR), the technical approach, and the methodology you would adopt for implementing the tasks (including on the Environmental and Social(ES)aspects)to deliver the expected output(s); the degree of detail of such output; and describe the structure and composition of your team. Please do not repeat/copy the TORs in here.}
- Work Plan and Staffing. {Please outline the plan for the implementation of the main activities/tasks of the assignment, their content and duration, phasing and interrelations, milestones (including interim approvals by the Client), and tentative delivery dates of the reports. The proposed work plan should be consistent with the technical approach and methodology, showing understanding of the TOR and ability to translate them into a feasible working plan and work schedule showing the assigned tasks for each expert. A list of the final documents (including reports) to be delivered as final output(s) should be included here. The work plan should be consistent with the Work Schedule Form.}
- c) Comments (on the TOR and on counterpart staff and facilities)

{Your suggestions should be concise and to the point, and incorporated in your Proposal. Please also include comments, if any, on counterpart staff and facilities to be provided by the Client. For example, administrative support, office space, local transportation, equipment, data, background reports, etc.}



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## FORM TECH-5(FOR FTP AND STP)

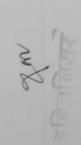
# WORK SCHEDULE AND PLANNING FOR DELIVERABLES

				to	1995			Months					
No.	Doliverables 1 (D. 1				2222								
	Deliverables (D-::)	1	2	3	4	5	9	7	8	6	:	_	TOTAL
	<b>D-1</b> {e.g., Deliverable #1: Report A												
	1) data collection												
	2) drafting												
	3) inception report												
	4) incorporating comments												
	5) delivery of final report to Client}												
0	<b>D-2</b> {e.g., Deliverable #2:}												

List the deliverables with the breakdown for activities required to produce them and other benchmarks such as the Client's approvals. For phased assignments, indicate the activities, delivery of reports, and benchmarks separately for each phase.

2 Duration of activities shall be indicated in a form of a bar chart.

3. Include a legend, if necessary, to help read the chart.





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## FORM TECH-6(FOR FTP AND STP)

# TEAM COMPOSITION, ASSIGNMENT, AND KEY EXPERTS' INPUTS

					The state of the s	100				,
omeN	Expert's	s input	(in person/	month) per	r each Deliv	erable (list	Expert's input (in person/month) per each Deliverable (listed in TECH-5)		Total time-input (in Months)	
,	Position		D-1	D-2	D-3		D	Home	Field	Total
{e.g., Mr. Abbbb}	[Team Leader]	[Home] [Field]	[2 month] [0.5 m]	[1.0]	[0]	# # # # # # # # # # # # # # # # # # #				
			2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2							
				1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1						
						1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1				
							Subtotal			
NON-KEY EXPERTS										-
		[Home]	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1			# # # # # # # # # # # # # # # # # # #				21
		[Field]								
			1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1							9
			1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1							
							Subtotal			
							Total			

For Key Experts, the input should be indicated individually for the same positions as required under the Data Sheet ITC21.1.



- Months are counted from the start of the assignment/mobilization. One (1) month equals twenty two (22)working (billable) days. One working (billable) day shall be not less than eight (8) working (billable) hours.

  "Home" means work in the office in the expert's country of residence. "Field" work means work carried out in the Client's country or any other country outside the expert's country of residence.





### FORM TECH-6 (CONTINUED)

### CURRICULUM VITAE (CV)

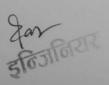
Position Title and No.	{e.g., K-1, TEAM LEADER}	
Name of Expert:	{Insert full name}	
Date of Birth:	{day/month/year}	
Country of Citizenship/Residence		

**Education:** {List college/university or other specialized education, giving names of educational institutions, dates attended, degree(s)/diploma(s) obtained}

Employment record relevant to the assignment: {Starting with present position, list in reverse order. Please provide dates, name of employing organization, titles of positions held, types of activities performed and location of the assignment, and contact information of previous clients and employing organization(s) who can be contacted for references. Past employment that is not relevant to the assignment does not need to be included.}

Period	Employing organization and your title/position. Contact information for references	Country	Summary of activities performed relevant to the Assignment
[e.g., May 2005- present]	[e.g., Ministry of, advisor/consultant to		
	For references: Tel/e-mail; Mr. Hbbb, deputy minister]		

Membership in Professional Associations and Publications:	
Language Skills (indicate only languages in which you can work):	



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### Adequacy for the Assignment:

Detailed Tasks Assigned on Consultant's Team of Experts:	Reference to Prior Work/Assignments that Best Illustrates Capability to Handle the Assigned Tasks
(List all deliverables/tasks as in TECH- 5 in which the Expert will be involved)	

Expert's contact information:	(e-mail,	phone
-------------------------------	----------	-------

### Certification:

I, the undersigned, certify that to the best of my knowledge and belief, this CV correctly describes myself, my qualifications, and my experience, and I am available, as and when necessary, to undertake the assignment in case of an award. I understand that any misstatement or misrepresentation described herein may lead to my disqualification or dismissal by the Client, and/or sanctions by the Bank.

		{day/month/year}
Name of Expert	Signature	Date
		{day/month/year}
Name of authorized Representative of the Consultant (the same who signs the Proposal)	Signature	Date



### FORM TECH-7 (FOR FTP AND STP)) CODE OF CONDUCT FOR EXPERTS (ES) FORM

### Note to the Client:

The following minimum requirements shall not be modified. The Client may include additional requirements to address identified issues, informed by relevant environmental and social assessment.

Delete this Box prior to issuance of the RFP.

### Note to the Consultant:

The minimum content of the Code of Conduct form as set out by the Client shall not be substantially modified. However, the Consultant may add requirements as appropriate, including to take into account Contract-specific issues/risks.

The Consultant shall initial and submit the Code of Conduct form as part of its

### **CODE OF CONDUCT FOR EXPERTS**

We are the Consultant, [enter name of Consultant]. We have signed a contract with [enter name of Client] for [enter description of the Services]. These Services will be carried out at [enter the Site and other locations as appropriate]. Our contract requires us to implement measures to address environmental and social risks related to the Services, including the risks of sexual exploitation, sexual abuse and sexual harassment.

This Code of Conduct is part of our measures to deal with environmental and social risks related to the Services. It applies to all Experts at the Site or other places where the Services are being carried out.

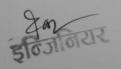
This Code of Conduct identifies the behavior that we require from all Experts.

Our workplace is an environment where unsafe, offensive, abusive or violent behavior will not be tolerated and where all persons should feel comfortable raising issues or concerns without fear of retaliation.

### REQUIRED CONDUCT

Experts shall:

1. carry out his/her duties competently and diligently;

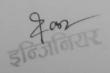


- 2. comply with this Code of Conduct and all applicable laws, regulations and other requirements, including requirements to protect the health, safety and well-being of other Experts and any other person;
- 3. maintain a safe working environment including by:
  - a. ensuring that workplaces, equipment and processes under each person's control are safe and without risk to health;
  - b. wearing required personal protective equipment; and
  - c. Following applicable emergency operating procedures.
- 4. report work situations that he/she believes are 'not safe or healthy and remove himself/herself from a work situation which he/she reasonably believes presents an imminent and serious danger to his/her life or health;
- 5. treat other people with respect, and not discriminate against specific groups such as women, people with disabilities, migrant workers or children;
- 6. not engage in Sexual Harassment, which means unwelcome sexual advances, requests for sexual favors, and other verbal or physical conduct of a sexual nature with other Experts, Contractor's Personnel or Client's Personnel;
- 7. not engage in Sexual Exploitation, which means any actual or attempted abuse of position of vulnerability, differential power or trust, for sexual purposes, including, but not limited to, profiting monetarily, socially or politically from the sexual exploitation of another;
- not engage in Sexual Abuse, which means the actual or threatened physical intrusion of a sexual nature, whether by force or under unequal or coercive conditions;
- not engage in any form of sexual activity with individuals under the age of 18, except in case of pre-existing marriage;
- 10. complete relevant training courses that will be provided related to the environmental and social aspects of the Contract, including on health and safety matters, Sexual Exploitation and Abuse (SEA), and Sexual Harassment (SH);
- 11. report violations of this Code of Conduct; and
- 12. not retaliate against any person who reports violations of this Code of Conduct, whether to us or the Client, or who makes use of grievance mechanism for Experts or the project's Grievance Redress Mechanism.

### RAISING CONCERNS

If any person observes behavior that he/she believes may represent a violation of this Code of Conduct, or that otherwise concerns him/her, he/she should raise the issue promptly. This can be done in either of the following ways:

1. Contact [enter name of the Consultant's social expert with relevant experience in handling sexual exploitation, sexual abuse and sexual harassment cases, or if such person is not required under the Contract, another individual designated by the Consultant to handle these matters] in writing at this address [ ] or by telephone at [ ] or in person at [ ]; or



2. Call [ ] to reach the Consultant's hotline (if any) and leave a message.

The person's identity will be kept confidential, unless reporting of allegations is mandated by the country law. Anonymous complaints or allegations may also be submitted and will be given all due and appropriate consideration. We take seriously all reports of possible misconduct and will investigate and take appropriate action. We will provide warm referrals to service providers that may help support the person who experienced the alleged incident, as appropriate.

There will be no retaliation against any person who raises a concern in good faith about any behavior prohibited by this Code of Conduct. Such retaliation would be a violation of this Code of Conduct.

### CONSEQUENCES OF VIOLATING THE CODE OF CONDUCT

Any violation of this Code of Conduct by Experts may result in serious consequences, up to and including termination and possible referral to legal authorities.

### FOR EXPERT:

I have received a copy of this Code of Conduct written in a language that I comprehend. I understand that if I have any questions about this Code of Conduct, I can contact [enter name of Consultant's contact person(s) with relevant experience] requesting an explanation.

Name of Expert: [insert name]	
Signature:	
Date: (day month year):	
Countersignature of authorized representative of the Consultant:	
Signature:	_
Date: (day month year):	

ATTACHMENT 1: Behaviors constituting Sexual Exploitation and Abuse (SEA) and behaviors constituting Sexual Harassment (SH)

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### ATTACHMENT 1 TO THE CODE OF CONDUCT FORM

### BEHAVIORS CONSTITUTING SEXUAL EXPLOITATION AND ABUSE (SEA) AND BEHAVIORS CONSTITUTING SEXUAL HARASSMENT (SH)

The following non-exhaustive list is intended to illustrate types of prohibited behaviors:

### (1) Examples of sexual exploitation and abuse include, but are not limited to:

- An Expert tells a member of the community that he/she can get them jobs related to the work site (e.g. cooking and cleaning) in exchange for sex.
- An Expert that is connecting electricity input to households says that he can connect women headed households to the grid in exchange for sex.
- An Expert rapes, or otherwise sexually assaults a member of the community.
- An Expert denies a person access to the Site unless he/she performs a sexual favor.
- An Expert tells a person applying for employment under the Contract that he/she will only hire him/her if he/she has sex with him/her.

### (2) Examples of sexual harassment in a work context

- An Expert comment on the appearance of another Expert (either positive or negative) and sexual desirability.
- When An Expert complains about comments made by another Expert on his/her appearance, the other Expert comment that he/she is "asking for it" because of how he/she dresses.
- Unwelcome touching of an Expert or Employer's Personnel by another Expert.
- An Expert tells another Expert that he/she will get him/her a salary raise, or promotion if he/she sends him/her naked photographs of himself/herself.

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### FORM TECH-8 (FOR FTP AND STP) SEXUAL EXPLOITATION AND ABUSE (SEA) AND/OR SEXUAL HARASSMENT (SH) PERFORMANCE DECLARATION

[The following table shall be filled in for the Consultant, each member of a Joint Venture and each subconsultantproposed by the Consultant]

Consultant's Name: [insert full name]
Date: [insert day, month, year]
Joint Venture Member's or Subconsultant's Name: [insert full name]
RFP No. and title: [insert RFP number and title]
Page [insert page number] of [insert total number] pages

SEA and/or SH Declaration	
We:	
☐ (a) have not been subject to disqualification by the Bank for non-compliance with SEA/ SH	obligations
☐ (b) are subject to disqualification by the Bank for non-compliance with SEA/ SH obligations	s
(c) had been subject to disqualification by the Bank for non-compliance with SEA/ SH An arbitral award on the disqualification case has been made in our favor.	obligations
[If (c) above is applicable, attach evidence of an arbitral award reversing the findings on the underlying the disqualification.]	he issues

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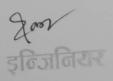
### Section 4. Financial Proposal - Standard Forms

{Notes to Consultant shown in brackets { } provide guidance to the Consultant to prepare the Financial Proposals; they should not appear on the Financial Proposals to be submitted.}

Financial Proposal Standard Forms shall be used for the preparation of the Financial Proposal according to the instructions provided in Section 2.

FIN-1	Financial Proj	posal Submission	Form
-------	----------------	------------------	------

- FIN-2 Summary of Costs
- FIN-3 Breakdown of Remuneration, including Appendix A "Financial Negotiations Breakdown of Remuneration Rates" in the case of QBS method
- FIN-4 Reimbursable expenses







### FORM FIN-1 FINANCIAL PROPOSAL SUBMISSION FORM

{Location, Date}

To:
Tansen Municipality,
Office of Municipal Executive,
Lumbini Provinc, Palpa

Dear Sirs:

We, the undersigned, offer to provide the consulting services for [Insert title of assignment] in accordance with your Request for Proposal dated [Insert Date] and our Technical Proposal.

Our attached Financial Proposal is for the amount of {Indicate the corresponding to the amount(s) currency(ies)}{Insert amount(s) in words and figures}, [Insert "including" or "excluding"] of all indirect local taxes in accordance with ITC 25.1 in the Data Sheet. The estimated amount of local indirect taxes is {Insert currency} {Insert amount in words and figures} which shall be confirmed or adjusted, if needed, during negotiations. {Please note that all amounts shall be the same as in Form FIN-2}.

Our Financial Proposal shall be valid and remain binding upon us, subject to the modifications resulting from Contract negotiations, for the period of time specified in the Data Sheet, ITC 12.1.

Commissions and gratuities paid or to be paid by us to an agent or any third party relating to preparation or submission of this Proposal and Contract execution, paid if we are awarded the Contract, are listed below:

Name and Address of Agents

Amount and Currency

Purpose of Commission or Gratuity

{If no payments are made or promised, add the following statement: "No commissions or gratuities have been or are to be paid by us to agents or any third party relating to this Proposal and Contract execution."}

We understand you are not bound to accept any Proposal you receive.

We remain,

Yours sincerely,

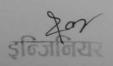


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Signature (of Consultant's authorized representative) {In full and initials}:

Full name: {insert full name of authorized representative} {insert title/position of authorized representative}

Name of Consultant (company's name or JV's name):

Capacity: {insert the person's capacity to sign for the Consultant}

Address: {insert the authorized representative's address}

Phone/fax: {insert the authorized representative's phone and fax number, if

applicable}

{insert the authorized representative's email address}\_\_\_ Email:

{For a joint venture, either all members shall sign or only the lead member/consultant, in which case the power of attorney to sign on behalf of all members shall be attached}

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### FORM FIN-2 SUMMARY OF COSTS

	1640 Jes		Cost	
Item	{Consultant must state delete columns w	{Consultant must state the proposed Costs in accordance with ITC 16.4 of the Data Sheet; delete columns which are not used}	cordance with ITC 16.4	of the Data Sheet,
	{Insert Foreign Currency # I}	{Insert Foreign Currency # 2, if used}	{Insert Foreign Currency # 3, if used}	[Insert Local Currency, # used and/or required (16.4
Cost of the Financial Proposal				L'ARIA DIRECTI
Including:				
(1) Remuneration				
(2)Reimbursables				
Total Cost of the Financial Proposal: {Should match the amount in Form FIN-1}				
Indirect Local Tax Estimates – to be discussed and finalized at the negotiations if the Contract is awarded	d finalized at the neg	gotiations if the Contra	ict is awarded	
(i) {insert type of tax e.g., VAT or sales tax}				
(ii) {e.g., income tax on non-resident experts}				
(iii) {insert type of tax}				
Total Estimate for Indirect Local Tax:				

Footnote: Payments will be made in the currency(ies) expressed above (Reference to ITC 16.4).





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## FORM FIN-3 BREAKDOWN OF REMUNERATION

When used for Lump-Sum contract assignment, information to be provided in this Form shall only be used to demonstrate the basis for the calculation of the Contract's ceiling amount; to calculate applicable taxes at contract negotiations; and, if needed, to establish payments to the Consultant for possible additional services requested by the Client. This Form shall not be used as a basis for payments under Lump-Sum contracts

A. Rer	A. Remuneration_							
No.	Name	Position (as in TECH-6)	Person-month Remuneration Rate	Time Input in Person/Month (from TECH-6)	{Currency # 1- as in FIN-2}	{Currency # 2- as in FIN- 2}	{Currency#3- as in FIN-2}	{Local Currency- as in FIN-2}
1	Key Experts							
K-1			[Home] [Field]					
K-2								
	Non-Kev Experts							
N-1			[Home] [Field]					
L				Total Costs				
	The state of the s							





# FORM FIN-4 BREAKDOWN OF REIMBURSABLE EXPENSES

When used for Lump-Sum contract assignment, information to be provided in this Form shall only be used to demonstrate the basis for calculation of the Contract ceiling amount, to calculate applicable taxes at contract negotiations and, if needed, to establish payments to the Consultant for possible additional services requested by the Client. This form shall not be used as a basis for payments under Lump-Sum contracts

B. R	B. Reimbursable Expenses							
ž	Type of Reimbursable Expenses	Unit	Unit Cost	Quantity	{Currency # 1- as in FIN-2}	{Currency # 2- as in FIN-2}	{Currency# 3- as in FIN-2}	{Local Currency- as in FIN-2}
	{e.g., Per diem allowances**}	{Day}						
	{e.g., International flights}	{Ticket}						
	{e.g., In/out airport transportation}	{Trip}						
	{e.g., Communication costs between Insert place and Insert place }							
	{ e.g., reproduction of reports}							
	{e.g., Office rent}							
	{Training of the Client's personnel – if required in TOR}							
			-	Total Costs				

Legend:

"Per diem allowance" is paid for each night the expert is required by the Contract to be away from his/her usual place of residence. Client can set up a ceiling.





### Section 5. Eligible Countries

In reference to ITC6.3.2, for the information of shortlisted Consultants, at the present time firms, goods and services from the following countries are excluded from this selection:

Under the ITC 6.3.2 (a): \_\_\_\_\_None

Under the ITC 6.3.2 (b): \_\_\_\_None

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### Section 6. Fraud and Corruption

(This Section 6, Fraud and Corruption shall not be modified)

### 1. Purpose

1.1 The Bank's Anti-Corruption Guidelines and this annex apply with respect to procurement under Bank Investment Project Financing operations.

### 2. Requirements

2.1 The Bank requires that Borrowers (including beneficiaries of Bank financing); bidders (applicants/proposers), consultants, contractors and suppliers; any sub-contractors, sub-consultants, service providers or suppliers; any agents (whether declared or not); and any of their personnel, observe the highest standard of ethics during the procurement process, selection and contract execution of Bank-financed contracts, and refrain from Fraud and Corruption.

### 2.2 To this end, the Bank:

- a. Defines, for the purposes of this provision, the terms set forth below as follows:
  - i. "corrupt practice" is the offering, giving, receiving, or soliciting, directly or indirectly, of anything of value to influence improperly the actions of another party;
  - ii. "fraudulent practice" is any act or omission, including misrepresentation, that knowingly or recklessly misleads, or attempts to mislead, a party to obtain financial or other benefit or to avoid an obligation;
  - iii. "collusive practice" is an arrangement between two or more parties designed to achieve an improper purpose, including to influence improperly the actions of another party;
  - iv. "coercive practice" is impairing or harming, or threatening to impair or harm, directly or indirectly, any party or the property of the party to influence improperly the actions of a party;

### v. "obstructive practice" is:

- (a) deliberately destroying, falsifying, altering, or concealing of evidence material to the investigation or making false statements to investigators in order to materially impede a Bank investigation into allegations of a corrupt, fraudulent, coercive, or collusive practice; and/or threatening, harassing, or intimidating any party to prevent it from disclosing its knowledge of matters relevant to the investigation or from pursuing the investigation; or
- (b) acts intended to materially impede the exercise of the Bank's inspection and audit rights provided for under paragraph 2.2 e. below.
- b. Rejects a proposal for award if the Bank determines that the firm or individual recommended for award, any of its personnel, or its agents, or its sub-consultants, sub-contractors, service providers, suppliers and/ or their employees, has, directly or indirectly, engaged in corrupt, fraudulent, collusive, coercive, or obstructive practices in competing for the contract in question;
- c. In addition to the legal remedies set out in the relevant Legal Agreement, may take other appropriate actions, including declaring misprocurement, if the Bank determines at any time that representatives of the Borrower or of a recipient of any part of the proceeds of the loan engaged in corrupt, fraudulent, collusive, coercive, or obstructive practices during the procurement process, selection and/or execution of the contract in question, without the Borrower having taken timely and appropriate action satisfactory to the Bank to address such practices when they occur, including by failing to inform the Bank in a timely manner at the time they knew of the practices;
- d. Pursuant to the Bank's Anti-Corruption Guidelines and in accordance with the Bank's prevailing sanctions policies and procedures, may sanction a firm or individual, either indefinitely or for a stated period of time, including by publicly declaring such firm or individual ineligible (i) to be awarded or otherwise benefit from a Bank-financed contract, financially or in any other manner;<sup>3</sup> (ii) to be a

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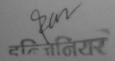
For the avoidance of doubt, a sanctioned party's ineligibility to be awarded a contract shall include, without limitation, (i) applying for pre-qualification, expressing interest in a consultancy, and bidding, either directly or as a nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider, in respect of

nominated sub-contractor, consultant, manufacturer or supplier, or service provider of an otherwise eligible firm being awarded a Mank-financed contract; and (iii) to receive the proceeds of any loan made by the Bank or otherwise to participate further in the preparation or implementation of any Bank-financed project;

e. Requires that a clause be included in bidding/request for proposals documents and in contracts financed by a Bank Ioan, requiring (i) bidders (applicants/proposets), consultants, contractors, and suppliers, and their sub-contractors, sub-consultants, service providers, suppliers, agents personnel, permit the Bank to inspect all accounts, records and other documents relating to the procurement process, selection and/or contract execution, and to have them audited by auditors appointed by the Bank.

such contract, and (ii) entering into an addendum or amendment introducing a material modification to any existing contract.

Inspections in this context usually are investigative (i.e., forensic) in nature. They involve fact-finding activities undertaken by the Bank or persons appointed by the Bank to address specific matters related to investigations audits, such as evaluating the versexty of an allegation of possible Fraud and Corruption, through the appropriate mandateness. Such activity includes but is not limited to: accessing and examining a fireful or individual fraudation records and information, and making copies thereof as relevant, accessing and examining any other documents, date and information (whether in hard copy or electronic format) document fair the investigations audit, and making copies thereof as relevant; interviewing staff and other relevant individuals, performing physical inspections and one visits, and obtaining third party verification of information.



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A nominated sub-contractor, nominated consultant, nominated manufactorer or supplier, or nominated service provided (different names are used depending on the particular bidding document) is one which has been: (i) included by the bidder in its pre-qualification application or bid because it brings specific and critical experience and know-how that allow the bidder to meet the qualification requirements for the particular bad, or (ii) appointed by the Borrower.

Inspections in this context usually are investigative (i.e. forested usually are investigative).

### Section 7. Terms of Reference

TERMS OF REFERENCE (TOR)

OF

DESIGN AND SUPERVISION CONSULTANT (DSC)

FOR

NEPAL URBAN GOVERNANCE AND INFRASTRUCTURE PROJECT (NUGIP)

UNDER TANSENMUNICIPALITY

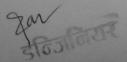
### 1. BACKGROUND

Government of Nepal has received loan from the International Development Association ("World Bank") toward the cost of Nepal Urban Governance and Infrastructure Project (NUGIP). The Department of Urban Development and Building Construction (DUDBC) within the Ministry of Urban Development (MoUD) is the primary implementing agency for this project, and bears the complete responsibility of project implementation, management, supervision and coordination. A Project Coordination Office (PCO) has been established under the MOUD, DUDBC for carrying out activities related to the project. The PCO at DUDBC is responsible for coordinating implementation on day to day. The PCO comprises of a Project Director (PD), Deputy Project Director (DPD), Project Engineers (PE), and other key project management/technical staff.

The Project Development Objective (PDO) of the NUGIP is to improve core municipal infrastructure services and strengthen institutional capacity in participating municipalities) in Nepal. In particular, NUGIP will aim at a) improving access to core municipal services (includes expansion of coverage, and construction and rehabilitation of basic infrastructure systems, e.g., urban roads & storm water drainage, wastewater collection and treatment, water supply including consumer water meters in new networks, lake restoration, interstate bus terminals, and municipal buildings) in participating municipalities; b) strengthening planning, budgeting and implementation systems for municipal service delivery; and c) strengthening municipal finances and financial management systems. The NUGIP will support 17 cities (with infrastructure and capacity building programs) in two priority strategic urban clusters in eastern cluster (Provinces 1 and 2) and western cluster (Provinces 4 and 5). It will also support 4 additional cities in capacity building programs. However, the support for Covid response as LIPW (Labour Intensive Public Works) component will span across 12 other municipalities.

The 17 municipalities will be responsible for planning, preparation and implementation of the municipal infrastructure investments with direct support from proposed Design and Supervision Consultants (DSC) and PCO. Each municipality will have a Project Implementation Unit (PIU) committed for all project activities within the municipality. On the other hand, PCO and a proposed Urban Development Support Team (UDST) will support 21 municipalities (17above + 4 additional) in planning, preparation and implementation of institutional capacity development (ICD) programs. The 12 municipalities will take the overall responsibility of planning, administration, financial management, implementation and monitoring of LIPW. A Project Management Support Team (PMST) comprising highly qualified international and local experts will support PCO in managing and supervising the implementation of all five components of the project, coordinating with participating municipalities, monitoring the implementation progress of all the project activities and compliance with the policies and procedures (technical, institutional, fiduciary and safeguards) agreed between the Government of Nepal (GoN) and the Bank, and submitting periodic progress and compliance reports to the Bank task team. PMST will support PCO in monitoring the performance and delivery of DSCs and UDST.

The PIU within Tansen Municipality (herein also referred to as the 'Client') which is established for project implementation at metropolitan, will be supported by a Design and Supervision Consultant (DSC, herein also referred to as the 'Consultant') team comprising qualified experts on technical, FM, procurement, environment and social safeguards. The PIU, with the support of the DSC, will be responsible for design and construction supervision under component 1 of the project, as per the policies and procedures (technical, institutional, fiduciary and safeguards) agreed between the Government of Nepal (GoN) and the Bank. The DSC will be engaged to help metropolitan in planning, designing, undertaking procurements, managing contracts etc.



A two-layered mechanism has been proposed for project oversight and ensuring proper accountability at the local and federal level. At the federal level, a high-level Project Steering Committee (PSC) will be headed by Secretary-MoUD, comprising key MoUD and DUDBC officials as well as representatives from other relevant federal ministries/agencies like MoFAGA, Department of Water Supply and Department of Roads. Deputy Director General – Urban Development in DUDBC will be the member secretary and convener. Similarly, at the local level, every municipality will have a Municipal Coordination Committee (MCC) which will be headed by the Mayor and will constitute the Deputy Mayor, all the elected ward representatives and head of the key departments of municipality (engineering, planning, finance etc.).

The NUGIP will comprise the following five components:

## 1.1 Component 1: Urban Development Grants (UDGs) M for strategic Municipal Infrastructure and Service Delivery

The component will provide UDGs to participating municipalities for financing strategic municipal infrastructure subprojects focusing on, among others, rehabilitation and improvements in municipal roads, drainage, drinking water supply, and onsite sanitation, as well as associated design and supervision costs. The component will support the operationalization of Nepal's first urban sector conditional grant (UDG) system, which focuses exclusively on strategic municipal infrastructure and service delivery improvement at the local level. The UDG allocations have been determined based on an objective and transparent allocation formula, and will allow municipalities to develop their multi-year municipal investment program in year 1. Municipalities will identify, design, and implement identified subprojects in line with the guidelines and procedures outlined in the Project Implementation Manual (PIM). The component, through the design and implementation support, will help the participating municipalities in developing robust contract structuring and implementation modalities to attract private sector participation for construction as well as operation and maintenance of the municipal infrastructure, to the extent possible.

Selection and implementation of subprojects under UDG: In line with their constitutional mandate, municipalities will have full discretion and responsibility to (i) identify, plan, and execute their municipal infrastructure investment subprojects, and (ii) submit regular financial and physical progress reports to Ministry of Urban Development (MoUD). Strategic municipal infrastructure priorities will be identified and selected by municipalities based on (i) municipal infrastructure and services gap assessment, conducted jointly with municipalities during project preparation; (ii) community engagement/participatory processes through municipal forums organized by the elected local government representatives; (iii) implementation readiness in terms of unencumbered land availability and other natural resource allocation approvals; (iv) environment and social safeguards risk assessment intended to screen out subprojects with potentially negative impacts, as per the Environment and Social Management Framework (ESMF); and (v) subject to the total UDG allocation ceiling for the respective municipalities.

Grant access conditions (GACs) and disbursement: Each participating municipality will have to qualify to a set of GACs annually to access UDG and utilize them for the identified infrastructure subprojects. GACs are focused on strengthening institutional systems for improved service delivery at the local level, and accordingly designed to ensure that the municipalities comply with: (i) the prescribed subproject identification and selection process focusing on strategic municipal investment; (ii) adequate procurement and contract management systems, consistent with the Bank's procurement framework; (iii) FM and reporting systems; and (iv) ESMF including the negative list of investments. The PCO at DUDBC under MoUD will verify the compliance of each participating municipality against GACs on an annual basis and the qualified participating Municipalities will receive their respective UDG allocations on an annual basis in sync with the federal government's annual budgeting and disbursement procedures. MoF will disburse UDG directly to municipalities on a quadrimester basis through the District Treasury Comptroller Office (DTCO). All the participating municipalities will be required to sign a participation agreement (PA) with the MoUD to agree to the terms and conditions of the proposed Project, as well as their roles and responsibilities.

1.2 Component 2: Institutional Strengthening of the Participating Municipalities

The component will finance operational costs, goods, training and technical assistance support to strengthen the institutional systems and capacities of participating municipalities (21 municipalities in total) for improved urban management and service delivery in the areas of, among others: (i) integrated urban development planning; (ii) OSR mobilization; (iii) municipal FM, procurement, and contract management; (iv) citizen engagement and gender inclusion; (v) urban infrastructure asset management system (including climate resilience), and (vi) institutional performance monitoring and reporting system for municipalities. In addition to these six core urban management areas, the technical assistance will also include dedicated support to the municipalities in the context of the COVID-19 pandemic, including in support to design targeted interventions to help reduce the risk of spread of the virus, among others. The specific technical assistance can be tailored to meet the needs of the municipality. The component will also finance limited goods for the municipalities (such as office equipment and fixtures) and specific goods that may be required to support the work program across the six key thematic areas or in relation to COVID-19 recovery activities (e.g. software, tools), and similar.

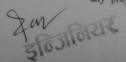
Institutional strengthening of the participating municipalities will be undertaken through three types of delivery modalities: (i) assistance for developing manuals, toolkits, and operational systems and equipment; (ii) formal learning and classroom training; and (iii) on-the-job handholding support to municipal staff. Urban Development Support Teams (UDST), comprising a mix of international and local experts will provide support to the participating municipalities in identified areas of institutional strengthening and COVID-19 recovery support.

The component will adopt a demand-based approach to provide institutional strengthening support to the participating municipalities. The UDST will be required to work closely with each of the participating municipalities to develop their respective city-level Institutional Strengthening Program (ISP) within the thematic areas, which will identify specific activities of support and delivery modalities in the first six months of the Project, based on comprehensive needs assessment and on the stakeholder consultations. The proposed participatory process will allow elected representatives, municipal officials, citizens, and other key stakeholders to identify and prioritize their institutional capacity gaps within the identified thematic areas of support, and accordingly seek support. The city-level ISPs will determine the delivery modalities based on the gaps and priorities identified by the municipalities and the results to be achieved by the municipalities. The city-level ISPs will be implemented by the participating municipalities with UDST's technical support and progress will be monitored/reviewed annually. The institutional strengthening support to the municipalities under this component is aligned and coordinated with the multi-donor funded PLGSP and the ongoing Bank-supported IPFMRP.

#### 1.3 Component 3: Support to Municipalities for COVID-19 Recovery

This component provides support and relief to vulnerable groups in twelve municipalities to help mitigate the short- and medium-term negative impact of the COVID-19 crisis through rapid labour-intensive public works (LIPW). The component will finance (i) payment of wages for unskilled labor to undertake temporary employment in participating municipalities; (ii) expenses for works, tools, and materials for the implementation of such projects; and (iii) expenses related to management of the LIPW (consultations, administration, and supervision). Target beneficiaries for the LIPW will be individuals from mostly poor and vulnerable households. Participants will be provided with appropriate training on construction methods, where required, and specific occupational health and safety measures, including the use of protective personal equipment. The selection process for beneficiaries will have provisions for inclusion of vulnerable groups including the elderly, physically challenged, minorities, and disadvantaged groups. The LIPW subprojects will require a minimum percentage representation of female workers. The criteria and other guidance for targeting beneficiaries will be detailed in the LIPW POM.

The LIPW subprojects will be selected based on local priorities and implemented through the involvement of communities, including UCs or representative groups such as women's groups, or disadvantaged groups at the municipal or ward level. Selection of eligible projects will be guided by a listed of preapproved activities as well as a negative list that will prohibit any projects with substantial impacts in terms of social and environmental safeguards.



Example of eligible activities include (i) regular maintenance of municipal infrastructure, including maintenance and cleaning of streets, roads and drainages, public spaces, parks, and community facilities; and (ii) construction of some basic infrastructure, including community water facilities such as water distribution schemes, water kiosks, wells, and public washing facilities. The projects will be required to have a minimum share of labor costs, to ensure high employment. A full list of eligible activities will be established in the LIPW POM. The funds for LIPW will be disbursed based on approval of eligibility of the proposed

The funds for LIPW will be disbursed based on approval of eligibility of the proposed activities for LIPW subprojects. All the participating municipalities for Component 3 will be required to sign a participation agreement with the MoUD to agree to the terms and conditions of the proposed Project, as well as their roles and responsibilities. Municipalities will be responsible for identification, implementation, and supervision of the subprojects. The distribution formula, eligible expenses/activities, and detailed implementation modalities, as well as detailed process for identification and approval of projects and of beneficiaries, will be included in the LIPW POM.

#### 1.4 Component 4: Contingency Emergency Response

The proposed project includes a Contingent Emergency Response (CER) component to respond rapidly at the Government's request in the event of an eligible disaster, including climate-related events and pandemics. This Component will finance the implementation of emergency infrastructure reconstruction, rehabilitation, and associated studies (Emergency Response Activities). Resources will be allocated to this component as needed by the project during implementation. Disbursements will be made against a preestablished list of critical goods or the procurement of goods, works, and consultant services required to support the immediate response and recovery needs of the GoN. A separate Implementation Manual for this component will be prepared by the GoN within the first six months of implementation and will provide detailed guidelines and instructions on how to trigger the CER component and use funds.

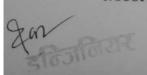
## 1.5 Component 5: Project Management and Coordination

This component will provide support to the MoUD for managing, coordinating, and monitoring the implementation of the proposed Project, and also for enhancing its federal policy and regulatory role for urban development. More specifically, it will finance (i) operational expenses of a dedicated team established in MoUD for managing, coordinating, and monitoring the implementation of the proposed Project, including due diligence, quality control, and reporting to the Bank on fiduciary, environment & social safeguards, and technical aspects; (ii) policy and regulatory support to the MoUD for supporting Nepal's evolving urban sector and increasing private sector engagement; (iii) analytical studies and assessment, including baseline, mid-term, and final evaluation of the Project, as well as support for an information management and monitoring system to better track, document, and analyze Nepal's urban development; (iv) knowledge-sharing mechanisms to provide learning and experience-sharing opportunities for other municipalities; and (v) support for designing and scaling up the Project, or preparatory activities for the Bank's follow-up urban engagement. Overall, the component will focus on supporting the MoUD in coordinating project implementation and strengthening its new policy-making role in the evolving federal context.

#### 2. OBJECTIVE OF THIS ASSIGNMENT

"The objective of this assignment is to provide consultancy service for engineering design and implementation supervision support at TansenMunicipality (herein also referred to as the 'Client') as well as supporting the municipalities in assessing and managing environmental and social (E&S) risks and impacts in compliance with national requirements, WB safeguard policies etc and in carrying out citizen and stakeholder engagement activities as outlined in ESMF and PIM for implementation of its strategic municipal infrastructure investments under NUGIP.

3. SCOPE OF WORK



TO TO THE WINDS

The detailed scope of work for this assignment is as follows -

# 3.1 Activity 1 – Design support during investment planning and preparation stage (i) Sub-Activity A - Preparation of feasibility reports for potential investments to be undertaken under NUGIP:

- a. Based on the priority projects, the Consultant shall conduct feasibility analyses for the identified investments, covering technical, environmental, social, financial and economic analyses.
- b. The Consultant will ensure that all identified subprojects undergo screening for potential E&S risks and impacts – in line with ESMF to determine if excluded as per the list of non-eligible projects in the ESMF, and to understand level of potential E&S risks/impacts and kind of assessment required.
- c. The Consultant will support municipalities in ensuring citizen engagement in subproject identification/prioritization.
- d. The Consultant shall be responsible for developing 2 to 3 feasibility reports for potentially implementable investments across focus sectors as per the above-stated NUGIP allocation across cities.
- e. The Consultant shall ensure that the feasibilities shall meet the quality acceptable to the Client, PCO and the World Bank. The tentative details, but not limited to, required to be captured in feasibility reports for key sectors-municipal roads, drainage and others etc. Similar analyses need to be carried out for identified investments that cover local infrastructure for boosting local/regional economic development. The feasibility reports shall be approved by PIU before submission to PCO for review and approval.
- f. The Consultants shall conduct preliminary surveys and investigations, or as required by the Client and World Bank, at this stage to capture the data that is necessary to ensure the readiness and applicability of the project. In case the feasibility report is not deemed to be implementable by the Client, the Consultant shall prepare feasibility reports for additional projects within the same budget. Hence, it is the responsibility of the Consultant to ensure that feasibility reports for projects, with total investment requirement value as per the investment allocations across cities, have been prepared and such feasibility reports can proceed for DPR preparation and detailed designs.

#### (iii) Sub-Activity B - Preparation of Detailed Project Reports (DPRs) for feasible investments

- a. The Consultant shall prepare draft Detailed Project Reports for the prioritised feasible investments following approval of the respective feasibility by the Client and Municipal Council.
  - b. The Consultant shall carry out all necessary detailed engineering surveys and investigations, as required for the prioritised sub-projects and/or as required by the Client and World Bank. Such key surveys and investigations include, but are not limited to:
    - 1) For potential investments in municipal roads: detailed toposheet surveys; traffic surveys; road safety audits; surveys for junctions/intersections; geotechnical investigations for pavement, cross drainage structures such as culverts, minor/major bridges, retaining walls; investigations for ensuring structural integrity of existing structures; cadastral mapping/surveys for assessing the land ownership; hydrological investigations and hydraulic surveys.
    - 2) For potential investments in drainage: toposheet surveys; drainage water quality surveys; geotechnical investigations; cadastral mapping/surveys for assessing land ownership; hydrological investigations and hydraulic surveys.
    - 3) Project may have few potential investments in water sector, waste water sector and solid waste management sector as well that are not identified yet.
- c. Before initiating the surveys, investigations and audits, the consultant shall submit the schedule and cost of survey/investigations/audits to be conducted for each potential investment along with the details of the laboratory/technical institution engaged for conducting the investigations. These laboratory/technical institutions should be a Govt. of Nepal accredited institution and shall be approved by the Client in advance.
- d. After conducting the surveys, investigations and audits, the Consultant shall prepare the comprehensive survey/investigation/audit output reports and submit them to the Client for review and approval. The Consultant shall be solely responsible for the technical authenticity of the data provided in the survey/investigation/audit reports and shall conduct



additional surveys/investigations, at its own cost, in case of any discrepancy found in survey/investigation/audit by the Client.

- e. The Consultant shall carry out detailed technical assessments based on surveys/investigations/audits output reports and prepare detailed engineering designs in accordance with the design guidelines agreed between the PCO and the World Bank. The Consultant shall also conduct detailed technical analyses for assessing the operation and maintenance requirements for the proposed project and prepare O&M plans for its design period.
- f. The Consultant shall propose project specific climate change and disaster resilience interventions, in line with the overall climate change and disaster resilience interventions framework as detailed in the PIM. Such interventions across key focus sectors include, but not limited to,
  - 1) Municipal road: Construction / pavement of local roads will include design elements (such as bicycle lanes and energy efficient street lighting for disaster prevention and to mitigate climate change) and materials intended for prevailing and changing of frequency climate events to prevent shortening of road life cycles and/or temporary loss of accessibility. The design of road and its elements will be based on future traffic projections and road safety principles, sound pavement, earthquake and flood resistant bridges, access to nearby properties etc.
  - 2) Storm water drainage: Construction, rehabilitation or increased capacity of storm water drainage in roads will contribute to better flood risk reduction.
  - 3) Street lights: Installation of solar powered street lighting systems will contribute to renewable energy generation, thus reducing GHG emissions.
  - 4) Project may have few potential investments in water sector, waste water sector and solid waste management sector as well that are not identified yet.
- g. The Consultant shall ensure that the technical designs and O&M plans incorporate the climate change and disaster resilience interventions as well as other project specific recommendations, including road safety audits for any investment in municipal roads.
- h. The Consultant shall ensure that the technical designs incorporate responsive design in terms of gender and inclusion including road security considerations for example, street lighting, universal access, separate toilets for males and females, ramps duct tiles (pavements)
- i. The Consultant shall prepare necessary drawings, as per international standards, required for construction. Depending on the implementation modality of the proposed project (for instance for projects being planned to be implemented on Admeasurement model) these detailed designs and drawings should serve the purpose of Good-For-Construction designs and drawings.
- j. The Consultant shall conduct detailed cost estimates, based on the district SORs, as per the proposed designs and technological solutions and carry out comprehensive financial, affordability, sustainability, sensitivity, and economic analyses for the proposed subprojects, as well as the financial health analysis of the implementing municipality. For items in the proposed design, for which item rate is not available, the consultant shall carry out necessary analysis, market research and support PIU/Client in finalising the SORs for such missing items in line with Govt. of Nepal's guidelines.
- k. The Consultant shall carry out a detailed assessment of the existing institutional capacity of the implementing municipality and shall propose the implementation modality for the proposed project, considering the risk-responsibility allocations, technological complexity of the proposed project and existing capacity of the implementing municipality.
- I. The Consultant shall ensure that the DPRs meet the quality acceptable to the Client and the World Bank. The tentative structure required to be followed for preparation of DPRs is summarised in Annexure 2. The DPRs shall be approved by PIU before submission to PCO and World Bank for review and approval. Following approval from the Client, the Consultant shall assist the PIU in obtaining project approval from the Chief Administrative Officer (CAO) or Mayor or municipal executive.

iii. Sub-activity C - Preparation of environmental and social instruments for the identified investments:

Following the guidelines, requirements and procedures required as per the NUGIP's Environment and Social Management Framework (ESMF), the consultants shall prepare all the safeguard documents for the sub-projects based on the findings of the

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environmental and social impact assessment (ESIA) for which DPRs have been/are being prepared. This would include, but not limited to:

- a. Collection and desk review of relevant technical sub-project documentation, such as feasibility reports, DPRs, maps and location plans, designs, studies, drawings, maps, etc.
- b. Site visits and surveys of such sub-project sites and relevant areas of influence to verify social and environmental site conditions, anticipate potential risks and impacts, including an initial estimate of their scope, magnitude, geographic scope and likely duration;
- c. Determine which instruments are required for assessment and planning through environmental and social screening.
- d. Analyse environmental and social conditions, identify anticipated risks and impacts, and develop management and mitigation measures/plan, including monitoring plan, institutional responsibilities and arrangements for permits and licensing.
- e. Support the PIU in the disclosure of environmental and social instrumentsand in consultation process following the local regulations and the Bank requirements and include in the final version of the ESIA how the issues raised during the consultation process were addressed.
- f. Ensure that the ESIA/ environmental and social management plan (ESMP) is prepared in line and in coordination with the DPRs.
- g. Based on the ESIA develop detailed management Plans (e.g. ESMP, resettlement action plan (RAP), vulnerable communities development plan (VCDP), sexual exploitation and abuse and sexual harassment (SEA/SH) prevention action plan etc. as required for the bidding documents. Follow process for VCDP as per ESMF. The ESMP provisions should be of a quality, in line with the ESMF, that allows their immediate integration into tender packages for the respective contracts.
- h. Ensure that the recommendations and mitigation measures provided in ESIA/ESMPs on social and environmental safeguards, VCDP, RAP, SEA/SH are reflected in the design and costing (initial as well as recurring expenditure) of the DPR and bidding documents, as applicable. This includes ensuring that awareness raising sessions on workers Code of Conduct (CoC) are provided to workers (and are part of bidding documents) and that CoCs are required to be signed by all workers.
- i. Ensure that stakeholder consultation in line with the ESMF and PIM are undertaken as part of instrument preparation.
- j. Ensure that a GRM is established using existing mechanisms at the municipality level, to enable local communities/stakeholders to raise questions/concerns
- k. The ESIA/ESMPs shall be approved by PIU before submission to PCO for review and approval. Following the approval from the PCO, the Consultant shall assist the PIU in obtaining the approval on the ESIA/ESMPs from the municipality/Chief Administrative Officer (CAO).

## iv. Sub-Activity D - Preparation of bid documents and support for bid process management and contracting: The Consultants shall

- a. Prepare the bid documents in accordance with the World Bank Procurement Regulations, ensuring appropriate performance indicators are included.
- b. Prepare the various schedules in the bid documents, including technical specifications, construction schedules, applicable Environmental Health and Safety (EHS) Guidelines, O&M schedules, environmental and social safeguards measures including SEA/SH risk mitigation measures in line with the ESMP prepared for the sub-project, and in line with WB safeguard policies, as per the Project's construction and operational requirements.
- c. Provide coordination support to the PIU for approval of bid documents from the municipality/Chief Administrative Officer (CAO).
- d. The bidding documents shall be approved by PIU before submission to PCO and World Bank for review and approval.
- e. Provide handholding support to PIU during tendering processes, pre-bid meetings, minutes of pre-bid meetings and corrigendum/addendums, bid evaluations, negotiations (as applicable) and contract signing.

## 3.2 Activity 2 - Supervision support during investment implementation stage (Construction Stage)

i. <u>Sub-Activity A - SupervisionsupportforESMPImplementation and of other management plans:</u> The consultant shall



- a. Provide oversight on environmental and social management aspects of sub-projects and ensure ESMPs are implemented.
- b. Ensure timely disclosure of final ESIAs, ESMPs, RAP, and other management plans as required before implementation, as part of project preparation in project locations and in a form accessible to the public.
- c. Support the municipalities to undertake resettlement activities as outlined in the RAP e.g. obtaining cadastral maps, confirming land ownership, land acquisition activities, provision of compensation and livelihoods support, consultations with project-affected people etc.
- d. This includes ensuring that awareness raising sessions on workers Code of Conduct (CoC) are provided to workers (and are part of bidding documents) and that CoCs are required to be signed by all workers.
- e. Establish a system to monitor environmental and social safeguards comprising of COVID 19 measures of the sub-project regularly via site visits etc., including monitoring the indicators set out in the monitoring plan of the ESMPs
- f. Monitor the effectiveness with which the ESMP and other management plans such as RAPare implemented and recommend necessary corrective actions to be taken to the Client/PILI
- g. Prepare monthly progress reports on ESMP implementation and environmental monitoring reports on sub-projects, and submit them to Client for approval.
- h. Ensure that consultations are being undertaken in line with management plans including meaningful consultation with women throughout the project lifecycle
- i. Ensure that the grievance mechanism is functioning effectively and is receiving grievances, including channels for SEA/SH-related grievances; make recommendations to address any grievances brought about through the Grievance Redress Mechanism in a timely manner as per the ESIAs, ESMPs, RAP, SEA/SH.

#### ii. Sub-Activity B - Supervision and QA/QC support for construction:

- a. <u>Task 1 Adherence to QA/QC guidelines mentioned in DPRs and Contractor's contract:</u> The Consultant shall
  - 1) Ensure that the sub-projects' construction activities are in line with the QA/QC guidelines agreed with the PCO and the World Bank as part of the PIM.
  - Review and recommend for approval contractor's quality assurance procedures and documents.
  - 3) Establish a system to expeditiously proof-check the structural designs and processes provided by the contractors. Prepare quality assurance and quality control plans—which should include inspection and test plan for construction materials—and ensure that the approved plans are being followed by the contractor.
  - 4) Exercise and perform the duties, liabilities, functions and obligations as laid down in the Contract Agreement entered between municipality and the respective contractors within the given time frame and budgeted provision, with reasonable skill, care and diligence and ensure that the works are executed strictly as per the terms and conditions of the Contract Agreement entered between municipality and the respective contractors within the given time frame and budgeted provisions.
  - 5) Carry out a scrutiny/technical audit of the reports, drawings, designs, estimates, BOQ etc. prepared by the contractor such as progress reports, site surveys, evaluation and analysis, including soil investigation, structural design, design of internal and external services, plumbing, drainage, water supply, sewerage internal roads, electrification works etc., as the case may be based on the details available in approved DPR.
  - 6) Carry out day-to-day supervision of construction works at site, quality control, and progress monitoring, and take measurements to certify quantities on the Contractor's claim.
  - 7) Assess adequacy of various infrastructures (e.g., water supply, labour camp, testing facilities, power, storage, etc.) set up at site by Contractor for proper mobilization of works. Review the contractor's proposal and monitor actual arrangements for security, safety of site, gate control, medical care, emergency preparedness, emergency response, on-site safety training of employees, safety during demolitions, fire prevention, etc.
  - 8) Analyse project execution schedules submitted by the contractor for its feasibility and whether it is in line with the overall project schedule.



- b. Task 2 Inspections, testing and site visits during construction: The Consultant shall
   1) Verify and certify the setting out/initiation of works of Contractor in relation to the benchmark, reference marks and lines to ensure correct position, level or alignment.
  - 2) Recommend the approval of the measuring instruments, indicators, calibrations and other apparatus to be used for carrying out tests/inspections. Approve the test schedule, detailed test procedure and method statement. Attend tests or inspection either at any part of the project/ worksite or at place of installation and place of manufacture, as the case may be. Approve the type and number of performance and operational tests to demonstrate compliance of the installations with output requirements. Countersign contractor's report of every test/inspection after witnessing them
  - 3) Conduct periodic and frequent inspections of all work sites to check the nature and quality of work conducted; verify the materials, equipment and labour engaged at the site; review the quality control tests and test results; ensure that the work is implemented in accordance with the approved standards; and ensure that the quality control procedures set forth under the contract are being followed. Any problems observed and recommended remedial actions are to be immediately notified to the PIU/client.
  - 4) Witness all quality control sampling and testing done by the contractor. Compile and review all quality control data obtained from tests conducted by the contractor or by others and verify the accuracy of the test data by checking the procedures used in the field for sampling and testing the materials and works.
  - 5) Carry out independent sampling and testing wherever considered necessary, or as may otherwise be required to check and verify the accuracy of the test results conducted by the contractor. Assess the test results, recommend on acceptance of the materials supplied and on the works completed and ensure that proper records of the tests conducted are maintained.
  - 6) Facilitate and conduct joint periodic inspections on an as-required basis with the PIU/client, including their representatives/consultants, to inspect and accept interim work completion stages of the sub-project work to allow the contractor to proceed with further works. In the event that the work fails to meet the required standards, any removal and replacement or other remedial measures which may be required should be clearly explained along with a time schedule for completing such work.
  - 7) Facilitate and conduct joint final inspection(s) of the completed works alongwith the PIU and the PCO, including their representatives/consultants, and contractor, preparing a statement of exceptions for any works which may remain to be completed.
  - 8) Participate in monthly inspections and site coordination meetings of PIU/Client, and Contractor for all works to review the overall progress and quality of the works, review any issues, (the instructions which were issued to the contractor to address these problems and the contractor's compliance with these instructions), and agree on any further actions which may be required to be taken to improve either the progress or quality of the works. Assist PIU in preparing and issuing the minutes of such meeting.
- c. <u>Task 3 Handholding support for addressing site specific issues:</u> The Consultant shall 1) Advise PIU at site on specific problems/issues related to quality of construction, as and when such problems are detected and brought to notice. Inform PIU of any instances of non-conformity/non-compliance of construction parameters (e.g., materials, workmanship, specification).
  - 2) Develop necessary instruction/sketches in case of inadequacy in drawings/specification detected or where it is necessary to elaborate on design due to variation of site/soil condition in consultation with PIU as required, along with cost variations.
  - 3) Provide timely recommendations on variations/cost estimates and change orders as required, along with justification and analysis of rates so as to avoid any delay in execution.
  - 4) Prepare detailed PERT/CPM (Program Evaluation Review Technique/Critical Path Method) and other chart analyses of various project related activities regarding time frame, resource allocation and scheduling etc. using latest techniques, including developing MIS for approval.

- 5) Ensure measurement of all items having financial value in the measurement book and/or level filed book at all times so that a complete record is obtained of all works performed under the contract.
- 6) Ensure that the problems noted and actions taken / to be taken are recorded in the site order book. Assess reasons for delay in implementation and recommend ways to accelerate project implementation.
- d. Task 4 Conducting detailed assessment for any variations; The Consultant shall
  - Recommend the cost of completing any urgent unforeseen works, if required, related to the project, in the event of Contractor's delay/unwillingness/inability after conducting detailed costs assessment.
  - 2) Review the progress and quality of the works and prepare a detailed assessment report for advising/recommending PIU and Client on any necessary variations to the contracts, including work programs, work procedures, inputs, safety, quality, variation orders, completion dates, and/or any other matters which may affect the timely and satisfactory completion of the work. Propose and present for approval any changes in the plans which may be deemed necessary and indicate any effect such changes may have on the contract.
  - 3) Review the variation orders or claims from the contractors for time extension, extra compensation, or expenses or other similar matters prepared by PIU/client and advise on actions that may be required on such variations.
- e. <u>Task 5 Assisting PIU in preparation of progress/completion reports:</u> The Consultant shall
  - 1) Attend progress review meetings called by Client/PIU and submit updates if required on project progress and issues.
  - 2) Assist PIU in preparation of project progress/completion reports for issuance of Completion/Operational Acceptance Certificate to the Contractor within specified time after completion of tests on commissioning/defect liability. Verify whether after receipt of Completion/Operational acceptance certificate, the Contractor has cleared and removed from site all his/her equipment (no longer required), surplus materials, wreckages, rubbish and temporary structures. Determine whether the site's facilities are in clean and safe conditions.
  - 3) Verify during clearing and grubbing operation on worksite by Contractor that the minimum practicably necessary activities to construct the works have been conducted and verify that trees and other vegetation designated for preservation are not damaged and are fully protected.
- f. <u>Task 6 Supervising Contractor's post construction and execution demonstration activities:</u> The Consultant shall
  - 1) Verify "as built" design-build documents and drawings. Provide Client/PIU a list of all necessary warranties which need to be handed over by the contractor.
  - 2) Ensure that utility shifting has been done and other facilities such as sign boards have been restored by the contractor as required, verify exploratory excavation by the Contractor for checking the exact position/location/co-ordinate of the existing services and verify the adequacy of arrangements made by the service provider for any diversion or removal of services required.
  - 3) Verify adequacy of safeguards being provided by the Contractor to pipes, cables etc. and ensure that Contractor adopts methods which pose least possible interference to existing amenities.
  - 4) Verify whether all water and waste products from the sites are discharged as per applicable regulations.
  - 5) Witness Contractor's demonstration for proper functioning and operation of all mechanical and electrical equipment with design and specification both individually and as part of the system. This includes witnessing and recording each process, each of auxiliary equipment's, distribution system & systematic completion of plant for pre-commissioning.
  - 6) Scrutinize the Plant Modification proposal prepared by the Contractor, in case of failure of performance test, and approve the same after required improvement. Witness the modification work during implementation stage and witness the repeat guarantee test by the Contractor.



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- 7) Review structural soundness reports cum certificate produced by the contractor, and if required, provide independent structural soundness certificate to facilities.
- g. Task 7 Record keeping and reporting: The Consultant shall
  - 1) Ensure that all the necessary records for the activities detailed in the above tasks are duly maintained in soft and hard copies, with proper backups.
  - 2) Prepare detailed monthly progress and completion reports of the activities related to the above mentioned tasks and share with PIU/Client for independent verification
  - 3) Prepare sub-project contract completion report summarizing the construction and approval. activities and indicating, among other items, contract changes, claims or disputes, or any other substantive matters having an effect on the cost and progress of the works. The report, to be submitted to the PIU/Client must contain accurate and complete "As Built" drawings for the completed works.

Note: The Consultant shall act as the "Engineer" defined in the contract (with FIDIC General Conditions) for construction supervision and contract administration ensuring full compliance with the design, drawing, quality assurance and control, and ESMP implementation specified in the specifications, contract documents and other project documents.

# 3.3 Activity 3 - Supervision support during investment implementation stage

- i. Monitoring: The Consultant shall also monitor investment sub-projects during the defectliability period, or O&M period including any ESMP measures outlined for operation phase for satisfactory performance, and share detailed reports on the quality of work along with recommendations for improvements, as part of the monthly reports.
- ii. The Consultant shall develop an O&M protocol for each sub-project investment and present it to municipality through a brief workshop so as to enable municipality to manage the created assets in a technically sound and financially efficient manner.
- iii. Consultant's work will be supervised closely by the municipality.

## 3.4 Activity 4 – General Management and Planning support:

- i. Advice and assist PIU in preparing the annual work plan and forecast of fund requirements for Component 1 of the Project for submission to the PCO.
- ii. Advice and assist PIU in the compilation, preparation and submission of reimbursement claims for each sub-project for submission to the PCO.
- iii. Advice and assist PIU in the preparation of required financial management reports as detailed in the FM section of thePIM. Such reports include, are but not limited to, (i) accounting books and records, (ii) financial and accounting reports, (iii) Interim Unaudited Financial Reports, (iv) Annual Project Financial Statements, and (v) Internal Audit Report
- iv. Advice and assist PIU in the preparation of grant access condition compliance report for
- v. Advice and assist PIU in implementing internal control systems, as recommended in thePIM.
- vi. Advice and assist PIU in implementation of measures outlined in ESMP and other instruments, and preparing necessary E&S reports
- vii. Advice and assist PIU in providing necessary information, as required by Client for Monitoring and Evaluations systems for NUGIP implementation.
- viii. Advise and assist the PIU/Client in implementing and managing all aspects of the NUGIP in respective municipality.
- ix. Provide support to PIU in carrying out ongoing citizen and stakeholder engagement activities.
- x. Provide coordination support to the PIU for approval of various documents/reports by municipality and the PCO.
- xi. Provide coordination support to PIU during external audit being conducted by the Office of Auditor General (OAG).

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xii. Support the Client in managing all tasks required under this contract and others to be agreed from time to time, and ensuring delivery of outputs in a timely and satisfactory manner in accordance with the agreed project implementation schedule.

#### 4. REPORTS, DELIVERABLES, PERIOD OF PERFORMANCE, PAYMENT

- 4.1 List of Reports and Schedule of Deliveries. The Consultant shall prepare the following reports in English and complete digital files in a format and manner acceptable to the Client and the PCO/World Bank. All the reports will need to be reviewed and approved by the Engineer/CAO of the PIU/municipality, and no-objection will be sought from the World Bank through PCO before being finalized for payments. PCO will review all the documents/reports prepared/submitted by municipality and give necessary recommendations and correction measures before processing for no-objection. Draft versions of the report would be prepared initially, and submitted in 3 hard copies and 1 soft copy. Final versions would be submitted within two weeks following receipt of comments from the PCO (an exception shall be for the Monthly and Quarterly Reports where the report is to be finalized in one week). The PCO's comments would generally be provided within one week of receipt of the draft report. The consultant will be required to make a power point presentation with all important deliverables.
- i. Inception Report (IR): The draft IR shall be submitted within one month after commencement of assignment. The IR shall inter alia include approach to the assignment, objectives, detailed methodologies and work plans for each Task (and respective subactivities) of the assignment. It must also detail the related tasks, activities, schedule of activities, sub-project preparation (Draft FRs/DPRs/Bid documents/ ESMPs/ESIAs for sub-project), detailed time-tasks/schedule listing all tasks, mobilization plan, anticipated difficulties including resource gaps that have become apparent, deficiencies in PIU's/Client's assistance. It must bring to Client's attention major problems that might affect the direction and progress of the work.
- ii. Monthly Reports (MR): The draft MR shall be submitted within a week from the end of each month. The MR shall inter alia include work progress on all components, tasks undertaken, results achieved, meetings held and persons met, staff deployment, difficulties encountered, and forecast of assistance required from the client for each activity/task of the assignment. The monthly reports shall also incorporate monthly progress and construction supervision reports for individual projects as well as the deployment tables for the input-based payments, as certified by the municipalities which were supported during the month as per the deployment plan. The MR shall be submitted for every month except for the month ending quarter, as per the format prescribed in the PIM.
- iii. Quadrimester Report(QR): The draft Quadrimester report shall be submitted within two weeks from the end of the quadrimester for which the report is submitted. The QR shall inter alia include work progress, team mobilization, tasks undertaken, results achieved, meetings held and persons met, planning of activities for next trimester, updated works schedule and staff mobilization plan, status of M&E indicators, difficulties encountered, forecast of assistance required for each Part of the assignment from the client. The Quadrimester report shall include physical and financial progress reports related to the use of the UDG, as per the format prescribed in PIM. A separate quadimester internal audit report shall be prepared in the format as prescribed in the PIM as well.
- iv. Annual Reports (AR): The annual reports shall be submitted within week from the end of fiscal year for which the report is submitted. The AR shall *inter alia* include work progress, team mobilization, tasks undertaken, results achieved, meetings held and persons met, planning of activities for next quarter, updated works schedule and staff mobilization plan, status of M&E indicators, difficulties encountered, forecast of assistance required for each Part of the assignment from the client.
- v. Mid-term Report (MTR): The mid-term report shall be submitted within two weeks after the contract is halfway through the contract period. The IR shall inter alia include work progress, team mobilization, tasks undertaken, results achieved, meetings held and persons met, planning of activities for next quarter, updated works schedule and staff mobilization plan, status of M&E indicators, difficulties encountered, forecast of assistance required for each Part of the assignment from the client.
- vi. Final Report: The completion report of the consultants providing the details of overall work progress and final documentations.
- vii. Other documents: FRs/DPRs/Bid documents/ESMPs/ESIAs for sub-projects: The consultant shall submit project specific draft and final (based on comments from

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PIU/PCO) FRs/DPRs/Bid documents/ ESMPs/ESIAs as per the project preparation schedule specified in the Inception Report. These documents should incorporate all the necessary surveys, investigations, technical assessments, social-environmental assessments, financial assessments, denited designs/drawings, as required for the subproject and as per the activities detailed in the ToR.

#### 4.2 Report Format

- The report shall contain/present the data, information, assumptions and corresponding justification, analysis, and conclusions and recommendations.
- b. All reports required by the ToR shall provide a clear presentation and include a table of contents and an executive summary. The main body of the text shall be organized in sections and focus on the findings and recommendations and their justification. Supporting data and analysis shall be included in the Annex which will be referenced as appropriate in the body of the text. All paragraphs in the executive summary, main text, and Annex(es), shall be numbered to facilitate reading across the report.
- c. The report shall be illustrated as appropriate with such drawings, sketches, photographs, tables, graphs, and maps to aid comprehension and assimilation of their contents.
- d. The consultants will need to submit a draft template for all reports as part of the inception report which will be reviewed by the PCO and WB for adequacy. The consultant will incorporate all suggestions and submit the deliverables accordingly.
- **4.3 Period of Performance:**The DSC will be engaged by the client for a period of approximately 2.5 years.
- **4.4 Payment:** The Consultant shall be compensated based on a time-based structure that accounts for (i) submission of key deliverables due at the time and (ii) input of each key expert.

#### 4.5 Deliverables and Milestones

Other than sub-project regular reporting (Inception, Monthly, Quadrimesterly, Yearly, Mid Term and Final reports), the consultant should also deliver the following in hard and soft copies, as appropriate, to the PIU/municipality within the set times. (Cumulative weeks; Note: Contract signing = zero weeks)

- Finalisation of city-wide investment plans and priority list of potential investments 3
  weeks
- ii. Preparation of pre-feasibility reports for potential investments to be undertaken under NUGIP – 6 weeks
- iii. Preparation of Detailed Project Reports (DPRs) for feasible investments 15 weeks
- iv. Preparation of environmental and social instruments (ESIA/ESMP/RAP/VCDP/GAP/SEP) for the identified investments – 16 weeks
- v. Preparation of bidding documents and support for bid process management and contracting – 36 weeks
- vi. Construction supervision and contract management 130 weeks approx. as per the work volume

Note: The deployment of Key Experts shall be harmonized (full time and / or intermittent or 'as and when needed' basis) as per the scope of services, above milestones and changes (if any).

Note: Input of key experts will be supervised and monitored by PIU. Involvement of intermittent/unallocated experts shall be consistent with the staffing schedule agreed with the PIU.

5. DATA, LOCAL SERVICES AND FACILITIES TO BE PROVIDED BY CLIENT

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- 5.1 The Client would make the following available to the Consultant:
  - i. Any supporting documents like permits and licenses necessary for the completion of the Consultant's duties and assistance with any special arrangements to allow the Consultant to enter any restricted areas related to the Project.
  - ii. Access to all relevant previous studies, reports, documents and contracts related to the Project on request by the Consultant.
  - iii. Assistance with arranging meetings with the concerned Ministry and Department of the Government of Nepal, Department of the State Government, project executing agencies at National, Provincial and municipality level and other authorities as necessary during the course of the Consultant's work.
- 5.2 The Consultant shall verify and be satisfied with the accuracy of the data/information provided by the Client before these are used. Data/information/material provided to the Consultant shall remain the property of the originating agency and shall be provided solely for the purpose of the work conducted under this contract. All such borrowed material shall be returned to the Client upon completion of the assignment. Apart from data/information provided by the Client and that which the Consultant could procure from other agencies, the Consultant shall be responsible to collect any other data/information required for the assignment, through field survey and investigations.
- 5.3 Provisional sum will be allocated by the Client for all -investigations, such as geotechnical investigations and non-destructive tests for structural integrity of existing structures including the cost of surveys required for DPRs and surveys/tests—for baseline environmental and social data as required for ESIAs/ESMPs.

#### 6. REPORTING REQUIREMENTS

- 6.1 The entire assignment shall be carried out under the overall guidance of the PIU/Municipality, PCO and World Bank. At all steps, the Consultant will be required to closely engage and seek inputs from the Client, PCO, Bank team and other consultants hired by PCO/World Bank.
- 6.2 Team Leader will lead both the Investment planning/preparation and Construction & Implementation Supervision parts and will report to the Engineer/CAO of PIU in Municipality. He/she will work closely with the PIU team, PMST (hired by the PCO) and core task team members (local and international) from the World Bank. He/she will lead and be responsible for the overall delivery and performance of this assignment. The Team leader will be responsible for delivery of scope of work for Activities 1 and 4 (as detailed in Section C above) and Activities 2 and 3 (as detailed in Section C above). He/she must coordinate the preparation and finalisation of inception/monthly/quadrimester/interim/final reports in addition to the other tasks with support from the team members. The day-to-day activities, works planning and staff utilization for various activities will be coordinated and supervised by the Client /PIU and also monitored by PMST hired by PCO.
- 6.3 The Consultant will need to organize the visits/meetings for data collection and stakeholder consultations on their own and provide coordination support for arranging any monthly/quarterly review meetings for specific projects. The PCO's/Bank's task team may join some of the consultation meetings.

## 7. FIRM'S EXPERIENCE, LIST OF KEY POSITIONS AND THEIR ROLES AND RESPONSIBILITIES

7.1 The consulting firm and JV partners, in case of JV, should be in core consulting business in civil engineering infrastructure design and supervision works for at least 5 years. The firm should have completed at least one contract having contract value of USD 150,000 or more in design and supervision of civil engineering infrastructure works like road/highway & drainage, water supply, sewerage, irrigation etc. Consultant's general experience in design & supervision of any civil engineering / infrastructure related services; its specific design & supervision experience in urban/municipal level infrastructure projects; and its prior experience in infrastructure projects supported by international development agencies (multilateral and/or bilateral) each with contract value of USD 75,000 or more will have added advantage. Moreover, consultant's standing years of relevant experience in Design & supervision of urban/municipal related infrastructure worksand its technical, managerial & organizational capability will also be given preferences.

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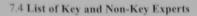
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7.2 It is estimated that about 85 man-months of key experts and other professional staffs as non-key experts as listed below, will be required for the assignment. In addition to these key positions, other technical and non-technical professionals and support staffs will be required to carry out this assignment from time to time. The Consultant can propose and alternate deployment schedule for the proposed team as per their approach and methodology for the execution of this assignment with due justification.

7.3 It is to be noted that the deployment of staff by the firm will be strictly monitored by the client and frequent replacement of key team members will be discouraged. However, the firm may, with proper justification, request the client and the World Bank in writing for a replacement of a key personnel with an alternative whose credentials are equivalent or better than the existing team member.

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S.N.	Experts/Positions	No.	Full Time (FT) / Part Time (PT)	Total Time Inputs (Person Months)	Activit y 1 & 4	Activit y 2 & 3
A	Key Experts					
K-1	Team Leader Cum Road Engineer	1	FT	30	10	20
K-2	Bridge/Structural Engineer	1	PT	2	1	1
K-3	Hydraulic Engineer	1	PT	2	1	1
K-4	Supervision and Quality Control Engineer	1	PT	24		24
	Sub Total			58		
В	Professional staffs as Non-key Experts					
NK-1	Urban Planner	1	PT	5	3	2
NK-2	Environmental Specialist	1	PT	4	2	2
NK-3	SocialDevelopment Specialist	1	PT	4	2	2
NK-4	Procurement Specialist	1	PT	4	2	2
NK-5	Geotechnical Engineer	1	PT	2	1	1
NK-6	Road Safety Engineer	1	PT	1	0.5	0.5
NK-7	Surveyor Cum CAD Engineer	1	PT	4	4	
NK-8	Quantity Surveyor	1	PT	3		3
	Sub Total			27		
	Total			85		

Note: Provisional Sum will be established to hire any key expertise currently not envisaged by this Project.

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#### 7.5 Key and Non-Key Resources experience, roles and responsibilities

-		- 3	THE TO SEE
S.N	Experts	N o	Experience
1	Team Leader Cum Road Engineer	1	<ul> <li>Master's degree in transport or highway engineering with a bachelor's degree in civil engineering.</li> <li>Minimum of 10 years of experience in planning, designing, project management / construction supervision of roads/highways.</li> <li>Experience in procurement and contract management of infrastructure projects.</li> <li>Familiar with the World Bank Procurement Regulations: Procurement of Goods Works and Non-Consulting Services is essential.</li> <li>Experience leading a multidisciplinary team.</li> <li>Strong leadership, analytical, communications, interpersonal and project management skills.</li> <li>Proven track record in planning and designing municipal roads infrastructure for urban cities.</li> </ul>
2	Urban Planner	1	<ul> <li>Master's degree in urban/regional planning or equivalent with a minimum of 10 years of work experience in municipal planning.</li> <li>Experience of preparing urban physical development /strategic plans, master plans, capital investment plans for emerging cities and municipalities.</li> <li>Experience in bilateral and or multilateral funded projects such as World Bank, ADB as urban planner.</li> <li>Ability to work in an interdisciplinary team.</li> <li>Skills in communicating with authorities and other stakeholders.</li> <li>Demonstrated capabilities in report writing</li> </ul>
3	EnvironmentalSpecialis t	1	Master's degree in environmental sciences or equivalent with a minimum of 7 years of work experience in infrastructure or road projects after completion of bachelor's degree.      Must have worked at least in one World Bank financed project and is familiar with the environmental safeguards requirements of projects financed by the World Bank or by another international finance institution.      Must be conversant with the present environment related legislative and institutional laws/regulations in Nepal.
4	Social Safeguard Specialist	1	<ul> <li>Master's degree in social sciences with a minimum of 7 years of work experience in managing social aspects of infrastructure or road projects after completion of bachelor's degree, and including experience in gender and social inclusion.</li> <li>Must have worked in at least one project financed by the World Bank or by another international finance institution, and is familiar with the World Bank's social safeguards requirements in projects.</li> <li>Familiarity with road/infrastructure sector laws, regulations and policies of the Nepal, particularly as they relate to the social impacts of works.</li> </ul>
5	Procurement Specialist	1	<ul> <li>Bachelor's degree in engineering and Master's degree in Construction Management or Procurement / Contract Management or equivalent.</li> <li>Minimum 10 years of work experience in procurement of public works.</li> <li>Familiarity with the World Bank Procurement Regulations: Procurement of Goods, Works and Non-Consulting Services;' and 'Guidelines: Selection and Employment of Consultants,' and Bank's Standard RFP documents, Bidding Documents is essential.</li> </ul>
6	Storm Drainage Engineer/Hydraulic	1	Master's degree in water resource engineering/hydraulic engineering/environmental

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	Engineer		engineering or related subject and bachelor's degree in civil engineering.  • Minimum 7 years of experience in planning/ designing/ construction supervision of storm water drainage projects after completion of bachelor's degree.  • Experience in bilateral and or multilateral funded projects such as World Bank, ADB.  • Ability to work in an interdisciplinary team.  • Skills in communicating with authorities and other stakeholders.  • Demonstrated capabilities in report writing
7	Bridge/Structural Engineer	1	<ul> <li>Master's degree in bridge or structural engineering.</li> <li>Minimum 7 years of experience in detailed engineering design of RCC / Pre-stressed bridges, construction supervision etc after completion of bachelor's degree.</li> <li>The experience must include seismic designing of structures (buildings, bridges, roads) and sub-surface infrastructure (laying of pipes, foundations etc) infrastructure components.</li> <li>Experience in bilateral and or multilateral funded projects such as World Bank, ADB.</li> <li>Ability to work in an interdisciplinary team.</li> <li>Skills in communicating with authorities and other stakeholders.</li> <li>Demonstrated capabilities in report writing</li> </ul>
8	Geotechnical Engineer	1	<ul> <li>A civil engineer with a master's degree in geotechnical engineering.</li> <li>7 years of proven track record in designing subsurface infrastructure as well as structures for buildings, roads, embankments, bridges etc after completion of bachelor's degree.</li> <li>Must be conversant with various geotechnical investigations/studies, such as bore hole investigations, trial pits investigations, required for constructing core municipal infrastructure in disaster (floods, earthquakes, landslides) prone areas.</li> </ul>
9	Road Safety Engineer	1	<ul> <li>A post graduate or equivalent degree in civil engineering, highway engineering, transportation engineering, or road safety engineering.</li> <li>Minimum 7 years of relevant work experience after completion of bachelor's degree.</li> <li>Should have worked as a Road Safety Auditor for at least one Road Projects, including in Road Safety Audit at design stage.</li> <li>Should have a thorough experience in road safety audits, design, construction, supervision and management of road safety related engineering interventions.</li> <li>Must have previously worked on a development bank (such as World Bank, ADB) funded project on a</li> </ul>
100			similar assignment.
10	Surveyor cum CAD Engineer	1	<ul> <li>Bachelor's degree in Civil engineering with minimum 7 years of experience in surveying and drafting of road/drainage works.</li> <li>Sound working knowledge in handling survey equipment - Total Station, GPS; exporting survey data for analysis / design of road and drainage.</li> <li>Familiarity with software required for drafting such as AutoCad, preparing drawings for engineering designs and surveys is essential.</li> <li>Sound working knowledge of quantity / cost estimation and documentations.</li> <li>Basic knowledge of road design is preferable.</li> </ul>
10		1	<ul> <li>Bachelor's degree in Civil engineering with minimum 7 years of experience in surveying and drafting of road/drainage works.</li> <li>Sound working knowledge in handling survey equipment - Total Station, GPS; exporting survey data for analysis / design of road and drainage.</li> <li>Familiarity with software required for drafting such as AutoCad, preparing drawings for engineering designs and surveys is essential.</li> <li>Sound working knowledge of quantity / cost estimation and documentations.</li> </ul>

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		O.	Sound working knowledge of rate analysis, quantity / cost estimation and documentations.
12	Supervision and Quality Control Engineer	1	<ul> <li>Bachelor's degree in Civil engineering with minimum         7 years of experience in construction supervision of road or other municipal infrastructures.     </li> <li>Sound working knowledge of quantity / cost estimation and documentations.</li> <li>Experience of construction supervision in roads/highways and bridges etc.</li> </ul>

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#### Annexures

#### Annexure 1 - Suggestive Feasibility Report Structure

## A. SUGGESTED FEASIBILTY REPORT STRUCTURE FOR ROADS & STORM WATER DRAINAGE

- I. Table of Contents
- II. List of Abbreviations
- III. List of Tables
- IV. List of Figures

#### 1. EXECUTIVE SUMMARY

#### 2. INTRODUCTION

- a. Project background
- b. Project Objective
- c. Project Scope
- d. Project Scope Exclusions
- e. Governing Laws

#### 3. PROJECT AREA

- a. Description of the project area: Brief history of the town; Geographical Location; Climate; Topography; Drainage channels; Administrative divisions; Commercial aspects; Industrial activities; Educational activities; Cultural activities; Religious activities; Socio –Economic status; Town Management; Land cost in and around the town (copy of rates be attached in support); Brief Details from City Development Plan or City Sanitation Plan or Road Master Plan, if any, covering the sewerage and drainage works)
- b. Population Projection: Population within the project area (Census population of the last few decades, area, growth rate, density of population; Slum population); Details of future population projections along with justification for the method used for projections;
- c. Existing Wastewater Disposal Arrangements and Pollution: (a) Status of existing waste water disposal works (Current practice of disposal of wastewater; Existing works; Works under execution; Works sanctioned but not yet started). Existing Sanitation Arrangements: (Percentage of households using septic tanks/pit latrines; regulations regarding periodic emptying of septic tanks)
- d. Nos. & details of drainage channels; number and details on location of outfalls to water bodies; Waste water flow carried by drains; Details of measurement of flows in drains carrying wastewater along with copies of test reports; Waste water characteristics of different drains
- e. Road Details (Length, width and characteristics); Details of ongoing and proposed road projects.
- f. Justification for the project for pollution abatement of the river or flood prevention or basic services

#### 4. CURRENT INSTITUTIONAL AND MUNICIPAL FINANCE ANALYSIS

- a. Organization and staffing details of sanitation staff engaged in roads and drainage (engineering and supervisory, if any; and field – or operations);
- b. Institutional capacity w.r.t. planning, technical process management, financial management, monitoring, procurement and contract management.
- c. Municipal Finances Details of sources of revenue (Own source revenues, revenue Grants), expenditure (Establishment, administrative, O&M, and interest payment), assets (fixed assets, investments, current assets) and liabilities (reserves & surpluses, loans, current liabilities) for last 5 years; Expenditure on for Roads and Drain cleaning for last five years

## 5. PROJECT DESIGN PARAMETERS AND METHODOLOGY FOR STORM WATER DRAINAGE

a. Approach: based on rainfall - authenticated data of rainfall data from automatic rain gauge station; Continuous rainfall data analysis, design

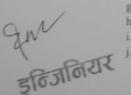
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period, topography, proposed or chillsaged ally expansion, existing scenario such as significant coverage by septic takes; Land use pattern as per approved Master Plan; Details of existing / proposed drains; Road configuration-Total length of road (width wise). Details of existing and future roads / street development; Water hadles as per Master Plan and their interconnection; Coefficient of imperviousness adopted; existing natural drains and their length in the project area; Width of existing natural storm water drains; Storm water drainage network/ pattern in micro catchment; Division of catchment and sub catchment; Details of each sub – catchment; Coefficient of roughness for use in manning's formula)

- b. Hydrological data: Rainfall, evaporation, water balance of existing water bodies such as lakes (natural and artificial), paved and unpaved area, existing drainage network and hydraulic capacities
- c. Reconnaissance survey: Field reconnaissance for identification and verification of existing drainage conditions, connectivity of existing lakes, and their hydrological characteristics to validate a drainage pattern; verification the inlet and outlet of lakes/ or drains entering and leaving the lakes; assessment of existing drains and natural drainage pattern
- d. Feasibility of integrating these lakes with storm water drainage system to improve the lake water balance and reduce the flooding in the city by harvesting the surface runoff.
- e. Restoring the lakes through integration of storm water drains: Possibility of integrating drains with lakes for the specific purpose of harvesting most storm water/ urban runoff for recharge of lakes, infiltration and drain off the remaining amount of runoff to downstream lakes and channels in a catchment. [viewing runoff as a local natural resource for reuse- strategy of preventing flooding and maintaining water balance in lakes by harvesting urban runoff].
- f. Estimation of storm water runoff from the site: Rainfall intensity and storm duration; Drainage area, shape and orientation; Ground cover and soil type; Slopes of terrain and stream channel; Characteristics of local drainage system
- g. Design of components of SWD as per agreed codes/ manuals
- h. Modelling analysis for a sub-catchment: Based onrate of evaporation rate, Percolation through bottom of lakes and infiltration to the lakes, wastewaster intrusion and groundwater infiltration; Manning's coefficient (n) for new and existing concrete drains; Imperviousness factor of the sub-catchment; N-value of the imperviousness and pervious area of the sub-catchment; return period with rainfall intensity and duration.
- i. Proposed solutions for sustainable urban storm-water management
- j. The integration of drains with lakes to prevent flooding; improve the lake water quantity, increasing opportunities for recreation, and restoring ecosystems and water quality as well.
- Plans for renovation, up gradation, augmentation, etc. of the existing drainage works
- Availability of land for, pumping stations, road width and camber, location of railway lines, ridge lines, rivers, National Highways, etc.

#### PROJECT DESIGN PARAMETERS AND METHODOLOGY FOR MUNICIPAL ROADS

- a. Traffic Surveys: Traffic Counts (vehicle categories and counts; 3 day continuous survey); Road side surveys (people commuting, transit time, origin & destination, trip purpose); axel load survey
- b. Traffic Growth analysis
- c. Traffic continuity analysis (inlet and outlet from arterial roads)
- d. ROW requirements and availability
- e. Design of Municipal road as per applicable standards and guidelines
- f. Hydrology and sub-surface drainage analysis (as per section 5 above)
- g. Road condition analysis (condition and roughness surveys, soil characteristics)
- h. Assessment of structures along the road stretch (Culverts, bridges etc)
- i. Plans for renovation, upgradation, augmentation, etc. of the portions of roads
  - j. Availability of land in case of proposed road expansions



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#### 7. IDENTIFICATION AND ANALYSIS OF FEASIBLE OPTIONS

- a. Brief summary of various options analysed
- b. Risk Assessment of various options
- c. Approx. capital and O&M cost of works for each feasible option, with quantitative illustrations (not subjective opinions)
- d. Life Cycle Cost (LCC) of
  - i. Road project for various thickness/lanes options
  - ii. Storm Water Drainage for centralized v/s decentralized options for various combinations (gravity flow to existing storm water drains/ water bodies; proposal for remodelling of storm water drains/ water bodies to accommodate design flow)
- e. Environmental and social safeguards screening of various explored options

#### 8. RECOMMENDED OPTION

- a. Justification for recommendation (considering environmental and social screening (as per the Environmental and Social Impact Screening Checklist in Section E of the Annexure 1) and LCC with supporting quantitative figures)
- b. Recommended Project Structure and risk mitigation/management
- Institutional structure for the recommended project option Key stakeholders
  in the proposed project and their roles & responsibilities in preparation,
  procurement, construction, operation and monitoring
- d. Brief description of works proposed, including design approach, phased construction program, strategy for preventing sewer outflow in the drains
- e. General Abstract of Cost (Comprehensive)
- f. Project Financial Analysis
  - i. Assumptions
  - ii. Cost Model Assumptions and component wise results summary (with details in annexure)
  - O&M Cost Model Assumptions and component wise results summary (with details in annexure)
  - iv. Life Cycle Cost (LCC), NPV, IRR (Internal Rate of Return)

#### g. Economic Benefits

- i. Economic Benefits quantification
  - 1. Number of citizens benefited
  - 2. Women and Marginalized Groups Beneficiaries
  - 3. Employment Generation
  - 4. Contribution to local economy
  - 5. Savings on Vehicle Operating Cost (VOC)
  - 6. Savings on Passenger's value of time (VOT)
  - 7. %age or absolute improvement in service provisioning to citizens

## 9. ENVIRONMENTAL AND SOCIAL IMPACT ASSESSMENT (of recommended option)

- a. Temporary and long-term impacts (expected; both positive and negative), including proposed arrangement for periodic cleaning of roads and drains
- b. Land acquisition required and scale/extent
- c. Permissions/ clearances required if any and at what stage

#### 10. RESOURCE REQUIREMENT

- Abstract of cost estimates for each component of works, including estimate of cost of land and resettlement
- b. Possible Resources
- c. Phasing. Provide a realistic phasing for construction of drainage networks
- d. Cost/Resource recovery plan: Possible finances to recover O&M costs

#### 11. ANNEXURES

- i. Executive Summary of City Development Plan, if any
- ii. Executive Summary of City Sanitation Plan, if any
- iii. Reports of quantity and quality of waste water in the drains

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- iv. Rates of Land Acquisition in and around the town
- v. Sub Soil Water Data
- vi. Financial and Economic Models

#### 12. DRAWINGS

- i. Map of the Country and State showing the location of the town
- ii. City map showing surrounding areas (based on survey of India topographical map)
- iii. Satellite imagery of the town
- iv. Map of the city showing drains and their outfall points
- v. Map of the city showing land use
- vi. Map of the city showing existing and proposed drainage works and existing and proposed sewerage works
- vii. General Layout of city showing all proposed sewage/ drainage districts and available land parcels with details on ownership

#### B. Social and Environmental Safeguard Screening Checklist for FRs Screening and categorization of Investments (during the Feasibility/ Pre-feasibility Study stage)

Each project design option needs to be scrutinized as to its type, location, scale, and sensitivity and the magnitude of its potential environmental impacts. The extent of assessment required to identify and mitigate the impacts largely depends upon the complexities of project activities. The scrutiny and screening will be based on a detailed Environment and Social Screening exercise, summarized in the following Format:

#### **Basic Project Information**

- Project Title:
- Implementing agency:
- Brief location details (Area/ district/ location of facilities)

IN SOURCE

FIGHER BURGER STREET

Envir	onmental Safeguards Checklist	Optio	on 1	Opt	ion 2 <sup>7</sup>
S.N.		Level of Junpact (High/Lo w)	Explan atory Note	Level of Impact (High/L ow)	Explana tory Note
a)	Forests 1) Nearness to project site 2) Flora & fauna details 3) Impact of project during construction				
	& operations phase 4) Clearances needed during construction & operations phase				
b)	Water bodies  1) Nearness to project site  2) Cultural/religious significance of the water body to the citizens				
	Key flora and fauna details     Impact of project during construction     & operations phase     Clearances needed during				
c)	construction & operations phase  Disaster prone areas (land-slides/floods)  1) Nearness to project site  2) Impact of project during construction & operations phase				
d)	Fertile agricultural land  1) Description of the land 2) Nearness to project site 3) Impact of project during construction & operations phase				
e)	Dam related info for water/river/lake projects  1) Details of the dam  2) Nearness to project site  3) Impact of project during construction and operations phase				
f)	Physical and cultural resources (objects, sites, structures, natural features and landscapes that have archaeological, paleontological, historical, architectural, religious, aesthetic or other cultural significance)  1) Description 2) Nearness to project site 3) Impact of project during construction and operations phase				
g)	Other Eco-Sensitive Areas such as wetland, natural habitats, caves, legally protected area, wildlife sanctuary, national park etc.  1) Description of the area 2) Nearness to project site 3) Key flora & fauna details 4) Impact of project during construction and operations phase 5) Clearances needed during construction & operations phase				
h)	Existing infrastructure, public utilities, amenities  1) Description of the existing infrastructure/utilities  2) Impact of project during				

<sup>\*</sup>In case of road & drainage projects, also specify the length passing through each applicable parameter and for treatment sites/SLF, specify the distance from site for each parameter.

If there are multiple options to do a project, this format will be filled for all options

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	construction and operation phase  3) Elevated noise and dust emission/effect on sensitive recipients  4) Disruption to traffic movements  5) Damage to existing infrastructure, public utilities, amenities etc.  6) Clearances needed during construction & operations phase	dig.
i)	What are the environmental legal requirements and Safeguard Policies applicable for this project?	

Social Safeguards Checklist Option 2<sup>s</sup> Option 1 Level of Level of Explana Explan Impact Impact Checklist parameter tory atory (High/L (High/Low Note Note ow) Social impact of the project based on a) 1. Land acquisition resulting in loss of income from agricultural land, plantation or other existing land-use. 2. Land acquisition resulting in relocation of households or other economic displacements. 3. Any reduction of access to traditional and river dependent communities (to river and areas where they earn for their primary or substantial livelihood)/access to means of livelihoods/key services/traditional culture activities 4. Possible conflicts with and/or disruption to local community 5. Level of expected influx of labor workforce 6. Any adverse impact on indigenous settlements/communities 7. Any gender-specific impacts 8. Impacts on vulnerable groups Slum population in the project area and any specific proposal for slums 10. Adverse impact on livelihoods of local communities Active user communities and types of b) services they are currently responsible

Overall Impact Summary

Summary
Option 1
Option 2

What are the main potential environmental issues/ risks /impacts/ concerns and/or potential positive impacts;

What is the level of assessment needed in next steps; recommendations based on initial screening for technical planning and design

Expected positive impacts/benefits to the local communities

The there are multiple options to do a project, this format will be filled for all options

User Committee support envisaged for

c)

the Project.



Photographs and location maps to be attached along with this completed Environmental and Social Information Format for Screening.

NOTE: The level of impact category (high/ low) shall be determined through a process of environmental and social screening as described above and shall be provided as part of the Feasibility Report, and has to be agreed by the Client.

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#### Annexure 2 - Suggestive DPR Structure

- Salient features
- Executive summary
- Chapter 1: Introduction
- Chapter 2: Project understanding and Tool
   Chapter 2: Froiett
- Chapter 3: Existing condition
- · Chapter 4: Surveys and investigations
- Chapter 5: Design criterion
- Chapter 6: Improvement proposals
- · Chapter 7: Detailed designs for project components such as pavements, bridges, culverts, retaining walls, water/waste water treatment plants, pumping stations, sewage networks, water transmission and distribution network, waste processing facilities, Sanitary Landfill Facility, capping of dumpsites etc. )
- Chapter 8: Environmental and social impact assessment as per ESIAs
- Chapter 9: Road Safety aspects (for any project involving municipal roads and vehicle mobilisation such as collection and transportation of SWM/septage.)
- Chapter 10: Disaster management and climate resilience
- Chapter 11: Detailed cost estimate (capital and operation & maintenance)
- Chapter 12: Economic and financial analysis, including affordability analysis for user charges, if applicable.
- Chapter 13: Financial health of municipality
- Chapter 14: Institutional assessment and proposed institutional structure for project implementation
- Chapter 15: Conclusions and recommendations
- Annexures
  - o List of drawings, legends and abbreviation
  - o Key plan, location map, mtmp map, land use plan, catchment area map and indicative plan
  - o Typical cross sections
  - Topographical survey map
  - o Plan and profile drawings
  - o Structure drawings
  - o Utility drawings
  - o Land ownership documents and water allocation certificates, if applicable.
  - Standard drawings
  - o Detailed cross sections at every 20m interval
  - o Detailed general arrangement (ga) & good for construction (gfc) for structural
  - o Investigation and surveys reports

Note - The DPR structure for each project shall be agreed with the Client before initiation of DPR preparation.



## Section 8. Conditions of Contract and Contract Forms

#### Foreword

- 1. Part II includes two types of standard Contract forms for Consulting Services (a Time-Based Contract and a Lump-Sum Contract) that are based on the contract forms included in the harmonized Request for Proposals(RFP) (Master Document for Selection of Consultants prepared by participating Multilateral Development Banks (MDBs).
- 2. Time-Based Contract. This type of contract is appropriate when it is difficult to define or fix the scope and the duration of the services, either because they are related to activities carried out by others for which the completion period may vary, or because the input of the consultants required for attaining the objectives of the assignment is difficult to assess. In time-based contracts the Consultant provides services on a timed basis according to quality specifications, and Consultant's remuneration is determined on the basis of the time actually spent by the Consultant in carrying out the Services and is based on (i) agreed upon unit rates for the Consultant's experts multiplied by the actual time spent by the experts in executing the assignment, and (ii) reimbursable expenses using actual expenses and/or agreed unit prices. This type of contract requires the Client to closely supervise the Consultant and to be involved in the daily execution of the assignment.
- 3. Lump-Sum Contract. This type of contract is used mainly for assignments in which the scope and the duration of the Services and the required output of the Consultant are clearly defined. Payments are linked to outputs (deliverables) such as reports, drawings, bill of quantities, bidding documents, or software programs. Lump-sum contracts are easier to administer because they operate on the principle of a fixed price for a fixed scope, and payments are due on clearly specified outputs and milestones. Nevertheless, quality control of the Consultant's outputs by the Client is paramount.
- 4. The templates are designed for use in assignments with consulting firms and shall not be used for contracting of individual experts. These standard Contract forms are to be used for complex and/or large value assignments, and/or for contracts above US\$300,000 equivalent or more unless otherwise approved by the Bank.

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# STANDARD FORM OF CONTRACT

गानसन पट्यार प्राचनी प्रदेश २०७३

# Consultant's Services

Time-Based

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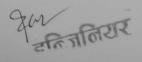
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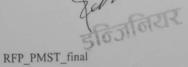
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## CONTRACT FOR CONSULTANT'S SERVICES Time-Based

Project Name Consulting Services for Design and Supervision Consultant (DSC), Tansen

Credit No.IDA-6778-NP

Contract No.NP-DUDBC-216353-CS-QCBS

between

Tansen Municipality
Office of Municipal Executive
Nepal Urban Governance and Infrastructure Project(NUGIP)

anu
[Name of the Consultant]
Dated:

इंग्जिलिसर

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## I. Form of Contract

#### TIME-BASED

(Text in brackets [] is optional; all notes should be deleted in the final text)

This CONTRACT (hereinafter called the "Contract") is made the [number] day of the month of [month], [year], between, on the one hand, Ministry of Urban Development, Department of Urban Development and Building Construction, Nepal Urban Governance and Infrastructure Project(NUGIP) (hereinafter called the "Client") and, on the other hand, [name of Consultant] (hereinafter called the "Consultant").

[If the Consultant consist of more than one entity, the above should be partially amended to read as follows: "...(hereinafter called the "Client") and, on the other hand, a Joint Venture (name of the JV) consisting of the following entities, each member of which will be jointly and severally liable to the Client for all the Consultant's obligations under this Contract, namely, [name of member] and [name of member] (hereinafter called the "Consultant").]

#### WHEREAS

- (a) the Client has requested the Consultant to provide certain consulting services as defined in this Contract (hereinafter called the "Services");
- (b) the Consultant, having represented to the Client that it has the required professional skills, expertise and technical resources, has agreed to provide the Services on the terms and conditions set forth in this Contract:
- (c) the Client has received credit from the ) International Development Association (IDA) toward the cost of the Services and intends to apply a portion of the proceeds of this credit to eligible payments under this Contract, it being understood that (i) payments by the Bank will be made only at the request of the Client and upon approval by the Bank; (ii) such payments will be subject, in all respects, to the terms and conditions of the financing agreement, including prohibitions of withdrawal from the credit account for the purpose of any payment to persons or entities, or for any import of goods, if such payment or import, to the knowledge of the Bank, is prohibited by the decision of the United Nations Security council taken under Chapter VII of the Charter of the United Nations; and (iii) no party other than the Client shall derive any rights from the [loan/financing/grant] agreement or have any claim to the credit proceeds;

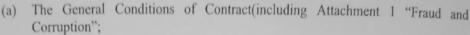
NOW THEREFORE the parties hereto hereby agree as follows:

 The following documents attached hereto shall be deemed to form an integral part of this Contract:

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- (b) The Special Conditions of Contract;
- (c) Appendices:

Appendix A: Terms of Reference

Appendix B: Key Experts

Appendix C: Remuneration Cost Estimates Appendix D: ReimbursablesCost Estimates

Appendix E: Form of Advance Payments Guarantee

Appendix F: Code of Conduct (ES)

Appendix G: Sexual exploitation and Abuse (SEA) and/or Sexual Harassment (SH) Performance Declaration

a arrant of any inconsistence between

In the event of any inconsistency between the documents, the following order of precedence shall prevail: the Special Conditions of Contract; the General Conditions of Contract, including Attachment 1; Appendix A; Appendix B; Appendix C and Appendix D; Appendix E; Appendix F; and Appendix G. Any reference to this Contract shall include, where the context permits, a reference to its Appendices.

- 2. The mutual rights and obligations of the Client and the Consultant shall be as set forth in the Contract, in particular:
  - (a) the Consultant shall carry out the Services in accordance with the provisions of the Contract; and
  - (b) the Client shall make payments to the Consultant in accordance with the provisions of the Contract.

IN WITNESS WHEREOF, the Parties hereto have caused this Contract to be signed in their respective names as of the day and year first above written.

For and on behalf of [Name of Client]

[Authorized Representative of the Client - name, title and signature]

For and on behalf of [Name of Consultant or Name of a Joint Venture]

[Authorized Representative of the Consultant – name and signature]

[For a joint venture, either all members shall sign or only the lead member, in which case the power of attorney to sign on behalf of all members shall be attached.]

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For and on behalf of each of the members of the Consultant [insert the name of the Joint Venture]

[Name of the lead member]

[Authorized Representative on behalf of a Joint Venture]

[add signature blocks for each member if all are signing]

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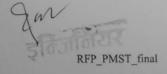
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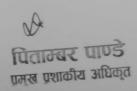
## II. General Conditions of Contract

#### A. GENERAL PROVISIONS

#### 1. Definitions

- 1.1. Unless the context otherwise requires, the following terms whenever used in this Contract have the following meanings:
  - (a) "Applicable Law" means the laws and any other instruments having the force of law in the Client's country, or in such other country as may be specified in the Special Conditions of Contract (SCC), as they may be issued and in force from time to time
  - (b) "Bank" means the International Bank for Reconstruction and Development (IBRD) or the International Development Association (IDA).
  - (c) "Borrower" means the Government, Government agency or other entity that signs the financing agreement with the Bank.
  - (d) "Client" means the implementing agency that signs the Contract for the Services with the Selected Consultant.
  - (e) "Client's Personnel" refers to the staff, labor and other employees (if any) of the Client engaged in fulfilling the Client's obligations under the Contract; and any other personnel identified as Client's Personnel, by a notice from the Client to the Consultant.
  - (f) "Consultant" means a legally-established professional consulting firm or entity selected by the Client to provide the Services under the signed Contract.
  - (g) "Contract" means the legally binding written agreement signed between the Client and the Consultant and which includes all the attached documents listed in its paragraph 1 of the Form of Contract (the General Conditions (GCC), the Special Conditions (SCC), and the Appendices).
  - (h) "Contractor" means the person named as contractor in the contract to be supervised by the Consultant.
  - (i) "Contractor's Personnel "means personnel whom the Contractor utilizes in the execution of its contract, including the staff, labor and other employees of the Contractor and each subcontractor; and any other personnel assisting the Contractor in the execution of the contract to be supervised by the Consultant.
  - (j) "Day" means a working day unless indicated otherwise.





- (k) "ES" means environmental and social (including Sexual Exploitation and Abuse (SEA) and Sexual Harassment (SH)).
- (1) "Effective Date" means the date on which this Contract comes into force and effect pursuant to Clause GCC 11.
- (m) "Experts "means, collectively, Key Experts, Non-Key Experts, or any other personnel of the Consultant, Sub-consultant or JV member(s) assigned by the Consultant to perform the Services or any part thereof under the Contract.
- (n) "Foreign Currency" means any currency other than the currency of the Client's country.
- (o) "GCC" means these General Conditions of Contract.
- (p) "Government" means the government of the Client's country.
- (q) "Joint Venture (JV)" means an association with or without a legal personality distinct from that of its members, of more than one entity where one member has the authority to conduct all businesses for and on behalf of any and all the members of the JV, and where the members of the JV are jointly and severally liable to the Client for the performance of the Contract.
- (r) "Key Expert(s)" means an individual professional whose skills, qualifications, knowledge and experience are critical to the performance of the Services under the Contract and whose Curricula Vitae (CV) was taken into account in the technical evaluation of the Consultant's proposal.
- (s) "Local Currency" means the currency of the Client's country.
- (t) "Non-Key Expert(s)" means an individual professional provided by the Consultant or its Sub-consultant to perform the Services or any part thereof under the Contract.
- (u) "Party" means the Client or the Consultant, as the case may be, and "Parties" means both of them.
- (v) "SCC" means the Special Conditions of Contract by which the GCC may be amended or supplemented but not over-written.
- (w) "Services" means the work to be performed by the Consultant pursuant to this Contract, as described in Appendix A hereto.
- (x) "Sexual Exploitation and Abuse" "(SEA)" means the following:

**Sexual Exploitation** is defined as any actual or attempted abuse of position of vulnerability, differential power or trust, for sexual purposes, including, but not limited to, profiting monetarily, socially or politically from the sexual exploitation of another;

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पिताम्बर पाण्डे पमख प्रशाकीय अधिकृत Sexual Abuse is defined as the actual or threatened physical intrusion of a sexual nature, whether by force or under unequal or coercive conditions.

- (y) "Sexual Harassment" "(SH)" is defined as unwelcome sexual advances, requests for sexual favors, and other verbal or physical conduct of a sexual nature by the Experts with other Experts, Contractor's or Client's Personnel.
- (z) "Site" means the land and other places where works are to be executed and/or facilities to be installed, and such other land or places as may be specified in the Contractor's contract as forming part of the Site.
- (aa) "Sub-consultants" means an entity to whom/which the Consultant subcontracts any part of the Services while remaining solely liable for the execution of the Contract.
- (bb) "Third Party" means any person or entity other than the Government, the Client, the Consultant or a Sub-consultant.
- 2. Relationship between the Parties
- 2.1. Nothing contained herein shall be construed as establishing a relationship of master and servant or of principal and agent as between the Client and the Consultant. The Consultant, subject to this Contract, has complete charge of the Experts and Subconsultants, if any, performing the Services and shall be fully responsible for the Services performed by them or on their behalf hereunder.
- 3. Law Governing Contract
- 3.1. This Contract, its meaning and interpretation, and the relation between the Parties shall be governed by the Applicable Law.
- 4. Language
- 4.1. This Contract has been executed in the language specified in the SCC, which shall be the binding and controlling language for all matters relating to the meaning or interpretation of this Contract.
- 5. Headings
- 5.1. The headings shall not limit, alter or affect the meaning of this Contract.
- 6. Communications
- 6.1. Any communication required or permitted to be given or made pursuant to this Contract shall be in writing in the language specified in Clause GCC 4. Any such notice, request or consent shall be deemed to have been given or made when delivered in person to an authorized representative of the Party to whom the communication is addressed, or when sent to such Party at the address specified in the SCC.
- 6.2. A Party may change its address for notice hereunder by giving the other Party any communication of such change to the address specified in the SCC.



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- 7. Location
- 7.1. The Services shaft be performed at such locations as are specified in **Appendix A** hereto and, where the location of a particular task is not so specified, at such locations, whether in the Government's country or elsewhere, as the Client may approve.
- 8. Authority of Member in Charge
- 8.1. In case the Consultant is a Joint Venture, the members hereby authorize the member specified in the SCC to act on their behalf in exercising all the Consultant's rights and obligations towards the Client under this Contract, including without limitation the receiving of instructions and payments from the Client.
- 9. Authorized Representatives
- 9.1. Any action required or permitted to be taken, and any document required or permitted to be executed under this Contract by the Client or the Consultant may be taken or executed by the officials specified in the SCC.
- 10. Fraud and Corruption
- 10.1. The Bank requires compliance with the Bank's Anti-Corruption Guidelines and its prevailing sanctions policies and procedures as set forth in the Bank's Sanctions Framework, as set forth in Attachment 1 to the GCC.
- a. Commissions and Fees
- 10.2. The Client requires the Consultant to disclose any commissions or fees that may have been paid or are to be paid to agents or any other party with respect to the selection process or execution of the Contract. The information disclosed must include at least the name and address of the agent orother party, the amount and currency, and the purpose of the commission, gratuity or fee. Failure to disclose such commissions, gratuities or fees may result in termination of the Contract and/or sanctions by the Bank.

# B. COMMENCEMENT, COMPLETION, MODIFICATION AND TERMINATION OF CONTRACT

- 11. Effectiveness of Contract
- 11.1. This Contract shall come into force and effect on the date (the "Effective Date") of the Client's notice to the Consultant instructing the Consultant to begin carrying out the Services. This notice shall confirm that the effectiveness conditions, if any, listed in the SCC have been met.
- 12. Termination of
  Contract for
  Failure to Become
  Effective
- 12.1. If this Contract has not become effective within such time period after the date of Contract signature as specified in the SCC, either Party may, by not less than twenty two (22) days written notice to the other Party, declare this Contract to be null and void, and in the event of such a declaration by either Party, neither Party shall have any claim against the other Party with respect hereto.

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- 13. Commencement of Services
- 13.1. The Consultant shall confirm availability of Key Experts and begin carrying out the Services not later than the number of days after the Effective Date specified in the SCC.
- 14. Expiration of Contract
- 14.1. Unless terminated earlier pursuant to Clause GCC 19 hereof, this Contract shall expire at the end of such time period after the Effective Date as specified in the SCC.
- 15. Entire Agreement
- 15.1. This Contract contains all covenants, stipulations and provisions agreed by the Parties. No agent or representative of either Party has authority to make, and the Parties shall not be bound by or be liable for, any statement, representation, promise or agreement not set forth herein.
- 16. Modifications or Variations
- 16.1. Any modification or variation of the terms and conditions of this Contract, including any modification or variation of the scope of the Services, may only be made by written agreement between the Parties. However, each Party shall give due consideration to any proposals for modification or variation made by the other Party.
- 16.2. In cases of substantial modifications or variations, the prior written consent of the Bank is required.

## 17. Force Majeure

- a. Definition
- 17.1. For the purposes of this Contract, "Force Majeure" means an event which is beyond the reasonable control of a Party, is not foreseeable, is unavoidable, and makes a Party's performance of its obligations hereunder impossible or so impractical as reasonably to be considered impossible under the circumstances, and subject to those requirements, includes, but is not limited to, war, riots, civil disorder, earthquake, fire, explosion, storm, flood or other adverse weather conditions, strikes, lockouts or other industrial action confiscation or any other action by Government agencies.
- 17.2. Force Majeure shall not include (i) any event which is caused by the negligence or intentional action of a Party or such Party's Experts, Sub-consultants or agents or employees, nor (ii) any event which a diligent Party could reasonably have been expected to both take into account at the time of the conclusion of this Contract, and avoid or overcome in the carrying out of its obligations hereunder.
- 17.3. Force Majeure shall not include insufficiency of funds or failure to make any payment required hereunder.

b. No Breach of 17.4. The failure of a Party to fulfil any of its obligations hereunder

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Contract

shall not be considered to be a breach of, or default under, this Contract insofar as such inability arises from an event of Force Majeure, provided that the Party affected by such an event has taken all reasonable precautions, due care and reasonable alternative measures, all with the objective of carrying out the terms and conditions of this Contract.

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- c. Measures to be Taken
- 17.5. A Party affected by an event of Force Majeure shall continue to perform its obligations under the Contract as far as is reasonably practical, and shall take all reasonable measures to minimize the consequences of any event of Force Majeure.
- 17.6. A Party affected by an event of Force Majeure shall notify the other Party of such event as soon as possible, and in any case not later than fourteen (14) calendar days following the occurrence of such event, providing evidence of the nature and cause of such event, and shall similarly give written notice of the restoration of normal conditions as soon as possible.
- 17.7. Any period within which a Party shall, pursuant to this Contract, complete any action or task, shall be extended for a period equal to the time during which such Party was unable to perform such action as a result of Force Majeure.
- 17.8. During the period of their inability to perform the Services as a result of an event of Force Majeure, the Consultant, upon instructions by the Client, shall either:
  - (a) demobilize, in which case the Consultant shall be reimbursed for additional costs they reasonably and necessarily incurred, and, if required by the Client, in reactivating the Services; or
  - (b) continue with the Services to the extent reasonably possible, in which case the Consultant shall continue to be paid under the terms of this Contract and be reimbursed for additional costs reasonably and necessarily incurred.
- 17.9. In the case of disagreement between the Parties as to the existence or extent of Force Majeure, the matter shall be settled according to Clauses GCC 56&57.

18. Suspension

18.1. The Client may, by written notice of suspension to the Consultant, suspend all payments to the Consultant hereunder if the Consultant fails to perform any of its obligations under this Contract, including the carrying out of the Services, provided that such notice of suspension (i) shall specify the nature of the failure, and (ii) shall request the Consultant to remedy such failure within a period not exceeding thirty (30) calendar days after receipt by the Consultant of such notice of suspension.

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19.1. This Contract may be terminated by either Party as per provisions set up below:

a. By the Client

- 19.1.1 The Client may terminate this Contract in case of the occurrence of any of the events specified in paragraphs (a) through (f) of this Clause. In such an occurrence the Client shall give at least thirty (30) calendar days' written notice of termination to the Consultant in case of the events referred to in (a) through (d); at least sixty (60) calendar days' written notice in case of the event referred to in (e); and at least five (5) calendar days' written notice in case of the event referred to in (f):
  - (a) If the Consultant fails to remedy a failure in the performance of its obligations hereunder, as specified in a notice of suspension pursuant to Clause GCC 18;
  - (b) If the Consultant becomes (or, if the Consultant consists of more than one entity, if any of its members becomes) insolvent or bankrupt or enter into any agreements with their creditors for relief of debt or take advantage of any law for the benefit of debtors or go into liquidation or receivership whether compulsory or voluntary;
  - (c) If the Consultant fails to comply with any final decision reached as a result of arbitration proceedings pursuant to Clause GCC 58.1;
  - (d) If, as the result of Force Majeure, the Consultant is unable to perform a material portion of the Services for a period of not less than sixty (60) calendar days;
  - (e) If the Client, in its sole discretion and for any reason whatsoever, decides to terminate this Contract;
  - (f) If the Consultant fails to confirm availability of Key Experts as required in Clause GCC 13.
- 19.1.2 if the Consultant, in the judgment of the Client has engaged in Fraud and Corruption, as defined in paragraph 2.2 a of Attachment 1 to the GCC, in competing for or in executing the Contract, then the Client may, after giving fourteen (14) calendar days written notice to the Consultant, terminate the Consultant's employment under the Contract.

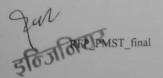
b. By the Consultant 19.1.3 The Consultant may terminate this Contract, by not less than thirty (30) calendar days' written notice to the Client, in case of the occurrence of any of the events specified in paragraphs (a) through (d) of this Clause.

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पिताम्बर पाण्डे प्रमुख प्रशाकीय अधिकृत (a) If the Client fails to pay any money due to the Consultant pursuant to this Contract and not subject to dispute pursuant to Clauses GCC 58.1 within forty-five (45) calendar days after receiving written notice from the Consultant that such payment is overdue.

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- (b) If, as the result of Force Majeure, the Consultant is unable to perform a material portion of the Services for a period of not less than sixty (60) calendar days.
- (c) If the Client fails to comply with any final decision reached as a result of arbitration pursuant to Clause GCC 58.1.
- (d) If the Client is in material breach of its obligations pursuant to this Contract and has not remedied the same within forty-five (45) days (or such longer period as the Consultant may have subsequently approved in writing) following the receipt by the Client of the Consultant's notice specifying such breach.
- c. Cessation of Rights and Obligations
- 19.1.4 Upon termination of this Contract pursuant to Clauses GCC 12 or GCC 19 hereof, or upon expiration of this Contract pursuant to Clause GCC 14, all rights and obligations of the Parties hereunder shall cease, except (i) such rights and obligations as may have accrued on the date of termination or expiration, (ii) the obligation of confidentiality set forth in Clause GCC 22, (iii) the Consultant's obligation to permit inspection, copying and auditing of their accounts and records set forth in Clause GCC 25 and to cooperate and assist in any inspection or investigation, and (iv) any right which a Party may have under the Applicable Law.
- d. Cessation of Services
- 19.1.5 Upon termination of this Contract by notice of either Party to the other pursuant to Clauses GCC 19a or GCC 19b, the Consultant shall, immediately upon dispatch or receipt of such notice, take all necessary steps to bring the Services to a close in a prompt and orderly manner and shall make every reasonable effort to keep expenditures for this purpose to a minimum. With respect to documents prepared by the Consultant and equipment and materials furnished by the Client, the Consultant shall proceed as provided, respectively, by Clauses GCC 27 or GCC 28.
- e. Payment upon Termination
- 19.1.6 Upon termination of this Contract, the Client shall make the following payments to the Consultant:
  - (a) remuneration for Services satisfactorily performed





- prior to the effective date of termination, and reimbursable expenditures for expenditures actually incurred prior to the effective date of termination; and pursuant to Clause GCC 50;
- (b) in the case of termination pursuant to paragraphs (d) and (e) of Clause GCC 19.1.1, reimbursement of any reasonable cost incidental to the prompt and orderly termination of this Contract, including the cost of the return travel of the Experts.

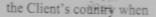
#### C. OBLIGATIONS OF THE CONSULTANT

#### 20. General

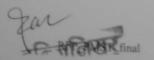
- a. Standard of Performance
- 20.1. The Consultant shall perform the Services and carry out the Services with all due diligence, efficiency and economy, in accordance with generally accepted professional standards and practices, and shall observe sound management practices, and employ appropriate technology and safe and effective equipment, machinery, materials and methods. The Consultant shall always act, in respect of any matter relating to this Contract or to the Services, as a faithful adviser to the Client, and shall at all times support and safeguard the Client's legitimate interests in any dealings with the third parties.
- 20.2. The Consultant shall employ and provide such qualified and experienced Experts and Sub-consultants as are required to carry out the Services.
- 20.3. The Consultant may subcontract part of the Services to an extent and with such Key Experts and Sub-consultants as may be approved in advance by the Client. Submission by the Consultant for the Client's approval, for addition of any Subconsultant not named in the Contract, shall also include the Sub-consultant's declaration in accordance with Appendix G-Sexual exploitation and Abuse (SEA) and/or Sexual Harassment (SH) Performance Declaration. Notwithstanding such approval, the Consultant shall retain full responsibility for the Services.
- b. Law Applicable to Services
- 20.4. The Consultant shall perform the Services in accordance with the Contract and the Applicable Law and shall take all practicable steps to ensure that any of its Experts and Subconsultants, comply with the Applicable Law.
- 20.5. Throughout the execution of the Contract, the Consultant shall comply with the import of goods and services prohibitions in

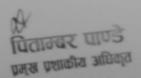
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- as a matter of law or official regulations, the Borrower's country prohibits commercial relations with that country; or
- by an act of compliance with a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations, the Borrower's Country prohibits any import of goods from that country or any payments to any country, person, or entity in that country.
- 20.6. The Client shall notify the Consultant in writing of relevant local customs, and the Consultant shall, after such notification, respect such customs.
- 21. Conflict of Interest 21.1. The Consultant shall hold the Client's interests paramount, without any consideration for future work, and strictly avoid conflict with other assignments or their own corporate interests.
  - Consultant Not to Benefit from Commissions, Discounts, etc.
- 21.1.1 The payment of the Consultant pursuant to GCC F (Clauses GCC 49through 54) shall constitute the Consultant's only payment in connection with this Contract and, subject to Clause GCC 21.1.3, the Consultant shall not accept for its own benefit any trade commission, discount or similar payment in connection with activities pursuant to this Contract or in the discharge of its obligations hereunder, and the Consultant shall use its best efforts to ensure that any Sub-consultants, as well as the Experts and agents of either of them, similarly shall not receive any such additional payment.
- 21.1.2 Furthermore, if the Consultant, as part of the Services, has the responsibility of advising the Client on the procurement of goods, works or services, the Consultant shall comply with the Bank's Applicable Regulations, and shall at all times exercise such responsibility in the best interest of the Client. Any discounts or commissions obtained by the Consultant in the exercise of such procurement responsibility shall be for the account of the Client.
- Consultant and Affiliates Not to Engage in Certain Activities
- 21.1.3 The Consultant agrees that, during the term of this Contract and after its termination, the Consultant and any entity affiliated with the Consultant, as well as any Subconsultants and any entity affiliated with such Subconsultants, shall be disqualified from providing goods, works or non-consulting services resulting from or directly related to the Consultant's Services for the preparation or





implementation of the project.

- c. Prohibition of Conflicting Activities
- 21.1.4 The Consultant shall not engage, and shall cause its Experts as well as its Sub-consultants not to engage, either directly or indirectly, in any business or professional activities that would conflict with the activities assigned to them under this Contract.
- d. Strict Duty to
  Disclose
  Conflicting
  Activities
- 21.1.5 The Consultant has an obligation and shall ensure that its Experts and Sub-consultants shall have an obligation to disclose any situation of actual or potential conflict that impacts their capacity to serve the best interest of their Client, or that may reasonably be perceived as having this effect. Failure to disclose said situations may lead to the disqualification of the Consultant or the termination of its Contract.
- 22. Confidentiality
- 22.1. Except with the prior written consent of the Client, the Consultant and the Experts shall not at any time communicate to any person or entity any confidential information acquired in the course of the Services, nor shall the Consultant and the Experts make public the recommendations formulated in the course of, or as a result of, the Services.
- 23. Liability of the Consultant
- 23.1. Subject to additional provisions, if any, set forth in the SCC, the Consultant's liability under this Contract shall be as determined under the Applicable Law.
- 24. Insurance to be taken out by the Consultant
- 24.1. The Consultant (i) shall take out and maintain, and shall cause any Sub-consultants to take out and maintain, at its (or the Sub-consultants', as the case may be) own cost but on terms and conditions approved by the Client, insurance against the risks, and for the coverage specified in the SCC, and (ii) at the Client's request, shall provide evidence to the Client showing that such insurance has been taken out and maintained and that the current premiums therefore have been paid. The Consultant shall ensure that such insurance is in place prior to commencing the Services as stated in Clause GCC 13.
- 25. Accounting, Inspection and Auditing
- 25.1. The Consultant shall keep, and shall make all reasonable efforts to cause its Sub-consultants to keep, accurate and systematic accounts and records in respect of the Services in such form and detail as will clearly identify relevant time changes and costs.
- 25.2. Pursuant to paragraph 2.2 e. of Attachment 1 to the General Conditions, the Consultant shall permit and shall cause its agents (where declared or not), subcontractors, subconsultants,

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service providers, suppliers, and personnel, to permit, the Bank and/or persons appointed by the Bank to inspect the site and/or the accounts, records and other documents relating to the procurement process, selection and/or contract execution, and to have such accounts, records and other documents audited by auditors appointed by the Bank. The Consultant's and its subcontractors' and subconsultants' attention is drawn to Clause GCC 10.1 (Fraud and Corruption) which provides, inter alia, that acts intended to materially impede the exercise of the Bank's inspection and audit rights constitute a prohibited practice subject to contract termination (as well as to a determination of ineligibility pursuant to the Bank's prevailing sanctions procedures).

- 26. Reporting Obligations
- 26.1. The Consultant shall submit to the Client the reports and documents specified in **Appendix A**, in the form, in the numbers and within the time periods set forth in the said Appendix.
- 27. Proprietary Rights of the Client in Reports and Records
- 27.1. Unless otherwise indicated in the SCC, all reports and relevant data and information such as maps, diagrams, plans, databases, other documents and software, supporting records or material compiled or prepared by the Consultant for the Client in the course of the Services shall be confidential and become and remain the absolute property of the Client. The Consultant shall, not later than upon termination or expiration of this Contract, deliver all such documents to the Client, together with a detailed inventory thereof. The Consultant may retain a copy of such documents, data and/or software but shall not use the same for purposes unrelated to this Contract without prior written approval of the Client.
- 27.2. If license agreements are necessary or appropriate between the Consultant and third parties for purposes of development of the plans, drawings, specifications, designs, databases, other documents and software, the Consultant shall obtain the Client's prior written approval to such agreements, and the Client shall be entitled at its discretion to require recovering the expenses related to the development of the program(s) concerned. Other restrictions about the future use of these documents and software, if any, shall be specified in the SCC.
- 28. Equipment, Vehicles and Materials
- 28.1. Equipment, vehicles and materials made available to the Consultant by the Client, or purchased by the Consultant wholly or partly with funds provided by the Client, shall be the property of the Client and shall be marked accordingly. Upon termination or expiration of this Contract, the Consultant shall

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make available to the Client an inventory of such equipment, vehicles and materials and shall dispose of such equipment, vehicles and materials in accordance with the Client's instructions. While in possession of such equipment, vehicles and materials, the Consultant, unless otherwise instructed by the Client in writing, shall insure them at the expense of the Client in an amount equal to their full replacement value.

28.2. Any equipment or materials brought by the Consultant or its Experts into the Client's country for the use either for the project or personal use shall remain the property of the Consultant or the Experts concerned, as applicable.

#### 29. Health and Safety 29.1. The Consultant shall:

- (a) comply with all applicable health and safety regulations and Laws;
- (b) comply with all applicable health and safety obligations specified in the Contract;
- (c) provide or cause to be provided health and safety training of Experts as appropriate and maintain training records;
- (d) put in place workplace processes for Experts to report work situations that they believe are not safe or healthy, and to remove themselves from a work situation which they have reasonable justification to believe presents an imminent and serious danger to their life or health;
- (e) Experts who remove themselves from such work situations shall not be required to return to work until necessary remedial action to correct the situation has been taken. Experts shall not be retaliated against or otherwise subject to reprisal or negative action for such reporting or removal;
- (f) establish and implement a system for regular (not less than six-monthly) review of health and safety performance and the working environment.

#### **30. Code of Conduct** 30.1. The Consultant shall have a Code of Conduct for the Experts.

The Consultant shall take all necessary measures to ensure that each Expert is made aware of the Code of Conduct including specific behaviors that are prohibited, and understands the consequences of engaging in such prohibited behaviors.

These measures include providing instructions and documentation that can be understood by the Experts and seeking to obtain that person's signature acknowledging receipt

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of such instructions and/or documentation, as appropriate.

The Consultant shall also ensure that the Code of Conduct is visibly displayed in multiple locations on the Site, as well as in areas outside the Site accessible to the local community and project affected people. The posted Code of Conduct shall be provided in languages comprehensible to Experts, Contractor's Personnel, Client's Personnel and the local community.

#### 31. Forced Labor

31.1. The Consultant, including its Subconsultants, shall not employ or engage forced labor. Forced labor consists of any work or service, not voluntarily performed, that is exacted from an individual under threat of force or penalty, and includes any kind of involuntary or compulsory labor, such as indentured labor, bonded labor or similar labor-contracting arrangements.

No persons shall be employed or engaged who have been subject to trafficking. Trafficking in persons is defined as the recruitment, transportation, transfer, harboring or receipt of persons by means of the threat or use of force or other forms of coercion, abduction, fraud, deception, abuse of power, or of a position of vulnerability, or of the giving or receiving of payments or benefits to achieve the consent of a person having control over another person, for the purposes of exploitation.

#### 32. Child Labor

32.1. The Consultant, including its Subconsultants, shall not employ or engage a child under the age of 14 unless the national law specifies a higher age (the minimum age).

The Consultant, including its Subconsultants, shall not employ or engage a child between the minimum age and the age of 18 in a manner that is likely to be hazardous, or to interfere with, the child's education, or to be harmful to the child's health or physical, mental, spiritual, moral, or social development.

The Consultant, including its Subconsultants, shall only employ or engage children between the minimum age and the age of 18 after an appropriate risk assessment has been conducted by the Client. The Consultant shall be subject to regular monitoring by the Client that includes monitoring of health, working conditions and hours of work.

Work considered hazardous for children is work that, by its nature or the circumstances in which it is carried out, is likely to jeopardize the health, safety, or morals of children. Such work activities prohibited for children include work:

(a) with exposure to physical, psychological or sexual abuse;

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- (b) underground underwater, working at heights or in confined spaces;
- (c) with dangerous machinery, equipment or tools, or involving handling or transport of heavy loads;
- (d) in unhealthy environments exposing children to hazardous substances, agents, or processes, or to temperatures, noise or vibration damaging to health; or
- (e) under difficult conditions such as work for long hours, during the night or in confinement on the premises of the employer.

# 33. Workers' Organizations

33.1. In countries where the relevant labor laws recognise workers' rights to form and to join workers' organizations of their choosing and to bargain collectively without interference, the Consultant shall comply with such laws. In such circumstances, the role of legally established workers' organizations and legitimate workers' representatives will be respected, and they will be provided with information needed for meaningful negotiation in a timely manner. Where the relevant labor laws substantially restrict workers' organizations, the Consultant shall enable alternative means for the Experts to express their grievances and protect their rights regarding working conditions and terms of employment. The Consultant shall not seek to influence or control these alternative means. The Consultant shall not discriminate or retaliate against Experts who participate, or seek to participate, in such organizations and collective bargaining or alternative mechanisms. Workers' organizations are expected to fairly represent the workers in the workforce.

### 34. Non-Discrimination and Equal Opportunity

34.1. The Consultant shall not make decisions relating to the employment or treatment of Experts on the basis of personal characteristics unrelated to inherent job requirements. The Consultant shall base the employment of Experts on the principle of equal opportunity and fair treatment, and shall not discriminate with respect to any aspects of the employment relationship, including recruitment and hiring, compensation (including wages and benefits), working conditions and terms of employment, access to training, job assignment, promotion, termination of employment or retirement, and disciplinary practices.

Special measures of protection or assistance to remedy past discrimination or selection for a particular job based on the inherent requirements of the job shall not be deemed discrimination. The Consultant shall provide protection and

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assistance as necessary to ensure non-discrimination and equal opportunity, including for specific groups such as women, people with disabilities, migrant workers and children (of working age in accordance with Clause GCC 33).

#### 35. Experts Grievance Mechanism

35.1. The Consultant shall have a grievance mechanism for Experts, and where relevant the workers' organizations stated in Clause GCC 33, to raise workplace concerns. The grievance mechanism shall be proportionate to the nature, scale, risks and impacts of the Contract. The mechanism shall address concerns promptly, using an understandable and transparent process that provides timely feedback to those concerned in a language they understand, without any retribution, and shall operate in an independent and objective manner.

The Experts shall be informed of the grievance mechanism at the time of engagement for the Contract, and the measures put in place to protect them against any reprisal for its use. Measures will be put in place to make the grievance mechanism easily accessible to all Experts.

The grievance mechanism shall not impede access to other judicial or administrative remedies that might be available, or substitute for grievance mechanisms provided through collective agreements.

The grievance mechanism may utilize existing grievance mechanisms, provided that they are properly designed and implemented, address concerns promptly, and are readily accessible to such Experts. Existing grievance mechanisms may be supplemented as needed with Contract-specific arrangements.

# 36. Training of Experts

36.1. The Consultant shall provide appropriate training to relevant Experts on ES aspects of the Contract, including appropriate sensitization on prohibition of SEA and SH, and health and safety training referred to in Clause GCC 29.

As required under the Contract, the Consultant shall also allow appropriate opportunities for the relevant Experts to be trained on ES aspects of the Contract by the Client's Personnel.

The Consultant shall provide training on SEA and SH, including its prevention, to any of its Experts who has a role to supervise other Experts.

## D. CONSULTANT'S EXPERTS AND SUB-CONSULTANTS

37. Description of Key 37.1. The title, agreed job description, minimum qualification and

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time-input estimates to carry out the Services of each of the Consultant's Key Experts are described in Appendix B.

- 37.2. If required to comply with the provisions of Clause GCC 20a, adjustments with respect to the estimated time-input of Key Experts set forth in Appendix B may be made by the Consultant by a written notice to the Client, provided (i) that such adjustments shall not alter the original time-input estimates for any individual by more than 10% or one week, whichever is larger; and (ii) that the aggregate of such adjustments shall not cause payments under this Contract to exceed the ceilings set forth in Clause GCC 49.2.
- 37.3. If additional work is required beyond the scope of the Services specified in **Appendix A**, the estimated time-input for the Key Experts may be increased by agreement in writing between the Client and the Consultant. In case where payments under this Contract exceed the ceilings set forth in Clause GCC 49.2, the Parties shall sign a Contract amendment.

#### 38. Replacement of Key Experts

- 38.1. Except as the Client may otherwise agree in writing, no changes shall be made in the Key Experts.
- 38.2. Notwithstanding the above, the substitution of Key Experts during Contract execution may be considered only based on the Consultant's written request and due to circumstances outside the reasonable control of the Consultant, including but not limited to death or medical incapacity. In such case, the Consultant shall forthwith provide as a replacement, a person of equivalent or better qualifications and experience, meet eligibility requirements, and at the same rate of remuneration.

## 39. Approval of Additional Key Experts

39.1. If during execution of the Contract, additional Key Experts are required to carry out the Services, the Consultant shall submit to the Client for review and approval a copy of their Curricula Vitae (CVs). If the Client does not object in writing (stating the reasons for the objection) within twenty two (22) days from the date of receipt of such CVs, such additional Key Experts shall be deemed to have been approved by the Client.

The rate of remuneration payable to such new additional Key Experts shall be based on the rates for other Key Experts position which require similar qualifications and experience.

#### 40. Removal of Experts or Sub-consultants

- **40. Removal of Experts** 40.1. If the Client finds that any of the Experts or Sub-consultant:
  - (a) persists in any misconduct or lack of care;
  - (b) carries out duties incompetently or negligently;
  - (c) fails to comply with any provision of the Contract;

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- (d) persists in any conduct which is prejudicial to safety, health, or the protection of the environment;
- (e) based on reasonable evidence, is determined to have engaged in Fraud and Corruption during the execution of the Services;
- (f) undertakes behaviour which breaches the Code of Conduct for Experts (ES);

the Consultant shall, at the Client's written request, provide a replacement.

- 40.2. In the event that any of Key Experts, Non-Key Experts or Subconsultants is found by the Client to be incompetent or incapable in discharging assigned duties, the Client, specifying the grounds therefore, may request the Consultant to provide a replacement.
- 40.3. Any replacement of the removed Experts or Sub-consultants shall possess better qualifications and experience and shall be acceptable to the Client.
- 40.4. Subject to the requirements in Clause GCC 40.3, and notwithstanding any requirement from the Client to request a replacement, the Consultant shall take immediate action as appropriate in response to any violation of (a) through (f) above. Such immediate action shall include removing (or causing to be removed) from the Site or other places where the Services are being carried out, any Expert who engages in (a) to (f) above.
- 41. Replacement/
  Removal of Experts
   Impact on
  Payments
- 41.1. Except as the Client may otherwise agree, (i) the Consultant shall bear all additional travel and other costs arising out of or incidental to any removal and/or replacement, and (ii) the remuneration to be paid for any of the Experts provided as a replacement shall not exceed the remuneration which would have been payable to the Experts replaced or removed.
- 42. Working Hours, Overtime, Leave, etc.
- 42.1. Working hours and holidays for Experts are set forth in Appendix B. To account for travel time to/from the Client's country, experts carrying out Services inside the Client's country shall be deemed to have commenced or finished work in respect of the Services such number of days before their arrival in, or after their departure from, the Client's country as is specified in Appendix B.
- 42.2. The Experts shall not be entitled to be paid for overtime nor to take paid sick leave or vacation leave except as specified in **Appendix B**, and the Consultant's remuneration shall be

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deemed to cover these items.

42.3. Any taking of leave by Key Experts shall be subject to the prior approval by the Consultant who shall ensure that absence for leave purposes will not delay the progress and or impact adequate supervision of the Services.

#### E. OBLIGATIONS OF THE CLIENT

# 43. Assistance and Exemptions

- 43.1. Unless otherwise specified in the SCC, the Client shall use its best efforts to:
  - (a) Assist the Consultant with obtaining work permits and such other documents as shall be necessary to enable the Consultant to perform the Services.
  - (b) Assist the Consultant with promptly obtaining, for the Experts and, if appropriate, their eligible dependents, all necessary entry and exit visas, residence permits, exchange permits and any other documents required for their stay in the Client's country while carrying out the Services under the Contract.
  - (c) Facilitate prompt clearance through customs of any property required for the Services and of the personal effects of the Experts and their eligible dependents.
  - (d) Issue to officials, agents and representatives of the Government all such instructions and information as may be necessary or appropriate for the prompt and effective implementation of the Services.
  - (e) Assist the Consultant and the Experts and any Subconsultants employed by the Consultant for the Services with obtaining exemption from any requirement to register or obtain any permit to practice their profession or to establish themselves either individually or as a corporate entity in the Client's country according to the applicable law in the Client's country.
  - (f) Assist the Consultant, any Sub-consultants and the Experts of either of them with obtaining the privilege, pursuant to the applicable law in the Client's country, of bringing into the Client's country reasonable amounts of foreign currency for the purposes of the Services or for the personal use of the Experts and of withdrawing any such amounts as may be earned therein by the Experts in the execution of the Services.
  - (g) Provide to the Consultant any such other assistance as

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#### may be specified in the SCC.

- 44. Access to Project Site
- 44.1. The Client warrants that the Consultant shall have, free of charge, unimpeded access to the project site in respect of which access is required for the performance of the Services. The Client will be responsible for any damage to the project site or any property thereon resulting from such access and will indemnify the Consultant and each of the experts in respect of liability for any such damage, unless such damage is caused by the wilful default or negligence of the Consultant or any Subconsultants or the Experts of either of them.
- 45.
  Change in the
  Applicable Law
  Related to Taxes
  and Duties
- 45.1. If, after the date of this Contract, there is any change in the applicable law in the Client's country with respect to taxes and duties which increases or decreases the cost incurred by the Consultant in performing the Services, then the remuneration and reimbursable expenses otherwise payable to the Consultant under this Contract shall be increased or decreased accordingly by agreement between the Parties hereto, and corresponding adjustments shall be made to the ceiling amounts specified in Clause GCC 49.2.
- 46. Services, Facilities and Property of the Client
- 46.1. The Client shall make available to the Consultant and the Experts, for the purposes of the Services and free of any charge, the services, facilities and property described in the Terms of Reference (Appendix A) at the times and in the manner specified in said Appendix A.
- 46.2. In case that such services, facilities and property shall not be made available to the Consultant as and when specified in **Appendix A**, the Parties shall agree on (i) any time extension that it may be appropriate to grant to the Consultant for the performance of the Services, (ii) the manner in which the Consultant shall procure any such services, facilities and property from other sources, and (iii) the additional payments, if any, to be made to the Consultant as a result thereof pursuant to Clause GCC 49.3.
- 47. Counterpart Personnel
- 47.1. The Client shall make available to the Consultant free of charge such professional and support counterpart personnel, to be nominated by the Client with the Consultant's advice, if specified in **Appendix A**.
- 47.2. If counterpart personnel are not provided by the Client to the Consultant as and when specified in **Appendix A**, the Client and the Consultant shall agree on (i) how the affected part of the Services shall be carried out, and (ii) the additional payments, if any, to be made by the Client to the Consultant as

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a result thereof pursuant to Clause GCC 49.3.

- 47.3. Professional and support counterpart personnel, excluding Client's liaison personnel, shall work under the exclusive direction of the Consultant. If any member of the counterpart personnel fails to perform adequately any work assigned to such member by the Consultant that is consistent with the position occupied by such member, the Consultant may request the replacement of such member, and the Client shall not unreasonably refuse to act upon such request.
- 48. Payment Obligation
- 48.1. In consideration of the Services performed by the Consultant under this Contract, the Client shall make such payments to the Consultant and in such manner as is provided by GCC F below.

#### F. PAYMENTS TO THE CONSULTANT

- 49. Ceiling Amount
- 49.1. An estimate of the cost of the Services is set forth in **Appendix C** (Remuneration) and **Appendix D**(Reimbursable expenses).
- 49.2. Payments under this Contract shall not exceed the ceilings in foreign currency and in local currency specified in the SCC.
- 49.3. For any payments in excess of the ceilings specified in GCC49.2, an amendment to the Contract shall be signed by the Parties referring to the provision of this Contract that evokes such amendment.
- 50. Remuneration and Reimbursable Expenses
- 50.1. The Client shall pay to the Consultant (i) remuneration that shall be determined on the basis of time actually spent by each Expert in the performance of the Services after the date of commencing of Services or such other date as the Parties shall agree in writing; and (ii) reimbursable expenses that are actually and reasonably incurred by the Consultant in the performance of the Services.
- 50.2. All payments shall be at the rates set forth in **Appendix C** and **Appendix D**.
- 50.3. Unless the SCC provides for the price adjustment of the remuneration rates, said remuneration shall be fixed for the duration of the Contract.
- 50.4. The remuneration rates shall cover: (i) such salaries and allowances as the Consultant shall have agreed to pay to the Experts as well as factors for social charges and overheads (bonuses or other means of profit-sharing shall not be allowed as an element of overheads), (ii) the cost of backstopping by home office staff not included in the Experts' list in **Appendix**

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- **B**, (iii) the Consultant's profit, and (iv) any other items as specified in the SCC.
- 50.5. Any rates specified for Experts not yet appointed shall be provisional and shall be subject to revision, with the written approval of the Client, once the applicable remuneration rates and allowances are known.
- 51. Taxes and Duties
- 51.1. The Consultant, Sub-consultants and Experts are responsible for meeting any and all tax liabilities arising out of the Contract unless it is stated otherwise in the SCC.
- 51.2. As an exception to the above and as stated in the SCC, all local identifiable indirect taxes (itemized and finalized at Contract negotiations) are reimbursed to the Consultant or are paid by the Client on behalf of the Consultant.
- 52. Currency of Payment
- 52.1. Any payment under this Contract shall be made in the currency(ies) specified in the SCC.
- 53. Mode of Billing and Payment
- 53.1. Billings and payments in respect of the Services shall be made as follows:
  - Advance payment. Within the number of days after the (a) Effective Date, the Client shall pay to the Consultant an advance payment as specified in the SCC. Unless otherwise indicated in the SCC, an advance payment shall be made against an advance payment bank guarantee acceptable to the Client in an amount (or amounts) and in a currency (or currencies) specified in the SCC. Such guarantee (i) is to remain effective until the advance payment has been fully set off, and (ii) is to be in the form set forth in Appendix E, or in such other form as the Client shall have approved in writing. The advance payments will be set off by the Client in equal installments against the statements for the number of months of the Services specified in the SCC until said advance payments have been fully set off.
  - (b) The Itemized Invoices. As soon as practicable and not later than fifteen (15) days after the end of each calendar month during the period of the Services, or after the end of each time interval otherwise indicated in the SCC, the Consultant shall submit to the Client, in duplicate, itemized invoices, accompanied by the receipts or other appropriate supporting documents, of the amounts payable pursuant to Clauses GCC 52and GCC 53for such interval, or any other period indicated in the SCC. Separate invoices shall be submitted for expenses

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- The Client shall pay the Consultant's invoices within sixty (60) days after the receipt by the Client of such itemized invoices with supporting documents. Only such portion of an invoice that is not satisfactorily supported may be withheld from payment. Should any discrepancy be found to exist between actual payment and costs authorized to be incurred by the Consultant, the Client may add or subtract the difference from any subsequent payments.
- The Final Payment .The final payment under this Clause shall be made only after the final report and a final invoice, identified as such, shall have been submitted by the Consultant and approved as satisfactory by the Client. The Services shall be deemed completed and finally accepted by the Client and the final report and final invoice shall be deemed approved by the Client as satisfactory ninety (90) calendar days after receipt of the final report and final invoice by the Client unless the Client, within such ninety (90) calendar day period, gives written notice to the Consultant specifying in detail deficiencies in the Services, the final report or final invoice. The Consultant shall thereupon promptly make any necessary corrections, and thereafter the foregoing process shall be repeated. Any amount that the Client has paid or has caused to be paid in accordance with this Clause in excess of the amounts payable in accordance with the provisions of this Contract shall be reimbursed by the Consultant to the Client within thirty (30) days after receipt by the Consultant of notice thereof. Any such claim by the Client for reimbursement must be made within twelve (12) calendar months after receipt by the Client of a final report and a final invoice approved by the Client in accordance with the above.
- (e) All payments under this Contract shall be made to the accounts of the Consultant specified in the SCC.
- With the exception of the final payment under (d) above, payments do not constitute acceptance of the Services nor relieve the Consultant of any obligations hereunder.
- **Payments**
- **54.** Interest on Delayed 54.1. If the Client had delayed payments beyond fifteen (15) days after the due date stated in Clause GCC 53.1 (c), interest shall be paid to the Consultant on any amount due by, not paid on, such due date for each day of delay at the annual rate stated in

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the SCC.

#### G. FAIRNESS AND GOOD FAITH

55. Good Faith

55.1. The Parties undertake to act in good faith with respect to each other's rights under this Contract and to adopt all reasonable measures to ensure the realization of the objectives of this Contract.

#### H. SETTLEMENT OF DISPUTES

56. Amicable Settlement

- 56.1. The Parties shall seek to resolve any dispute amicably by mutual consultation.
- 56.2. If either Party objects to any action or inaction of the other Party, the objecting Party may file a written Notice of Dispute to the other Party providing in detail the basis of the dispute. The Party receiving the Notice of Dispute will consider it and respond in writing within fourteen (14) days after receipt. If that Party fails to respond within fourteen (14) days, or the dispute cannot be amicably settled within fourteen (14) days following the response of that Party, Clause GCC 57.1 shall apply.

57. Dispute Resolution

57.1. Any dispute between the Parties arising under or related to this Contract that cannot be settled amicably may be referred to by either Party to the adjudication/arbitration in accordance with the provisions specified in the SCC.

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## II. General Conditions Attachment 1

## **Fraud and Corruption**

(Text in this Attachment shall not be modified)

#### 1. Purpose

1.1 The Bank's Anti-Corruption Guidelines and this annex apply with respect to procurement under Bank Investment Project Financing operations.

#### 2. Requirements

2.1 The Bank requires that Borrowers (including beneficiaries of Bank financing); bidders (applicants/proposers), consultants, contractors and suppliers; any sub-contractors, sub-consultants, service providers or suppliers; any agents (whether declared or not); and any of their personnel, observe the highest standard of ethics during the procurement process, selection and contract execution of Bank-financed contracts, and refrain from Fraud and Corruption.

#### 2.2 To this end, the Bank:

- a. Defines, for the purposes of this provision, the terms set forth below as follows:
  - i. "corrupt practice" is the offering, giving, receiving, or soliciting, directly or indirectly, of anything of value to influence improperly the actions of another party;
  - ii. "fraudulent practice" is any act or omission, including misrepresentation, that knowingly or recklessly misleads, or attempts to mislead, a party to obtain financial or other benefit or to avoid an obligation;
  - iii. "collusive practice" is an arrangement between two or more parties designed to achieve an improper purpose, including to influence improperly the actions of another party;
  - iv. "coercive practice" is impairing or harming, or threatening to impair or harm, directly or indirectly, any party or the property of the party to influence improperly the actions of a party;

#### v. "obstructive practice" is:

(a) deliberately destroying, falsifying, altering, or concealing of evidence material to the investigation or making false statements to investigators in order to materially impede a Bank investigation into allegations of a corrupt, fraudulent, coercive, or collusive practice; and/or threatening, harassing, or intimidating any party to prevent it from disclosing its knowledge of matters relevant to the investigation or from pursuing the investigation; or

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- (b) acts intended to materially impede the exercise of the Bank's inspection and audit rights provided for under paragraph 2.2 e. below.
- b. Rejects a proposal for award if the Bank determines that the firm or individual recommended for award, any of its personnel, or its agents, or its sub-consultants, sub-contractors, service providers, suppliers and/ or their employees, has, directly or indirectly, engaged in corrupt, fraudulent, collusive, coercive, or obstructive practices in competing for the contract in question;
- c. In addition to the legal remedies set out in the relevant Legal Agreement, may take other appropriate actions, including declaring misprocurement, if the Bank determines at any time that representatives of the Borrower or of a recipient of any part of the proceeds of the loan engaged in corrupt, fraudulent, collusive, coercive, or obstructive practices during the procurement process, selection and/or execution of the contract in question, without the Borrower having taken timely and appropriate action satisfactory to the Bank to address such practices when they occur, including by failing to inform the Bank in a timely manner at the time they knew of the practices;
- d. Pursuant to the Bank's Anti- Corruption Guidelines and in accordance with the Bank's prevailing sanctions policies and procedures, may sanction a firm or individual, either indefinitely or for a stated period of time, including by publicly declaring such firm or individual ineligible (i) to be awarded or otherwise benefit from a Bank-financed contract, financially or in any other manner; (ii) to be a nominated sub-contractor, consultant, manufacturer or supplier, or service provider of an otherwise eligible firm being awarded a Bank-financed contract; and (iii) to receive the proceeds of any loan made by the Bank or otherwise to participate further in the preparation or implementation of any Bank-financed project;
- e. Requires that a clause be included in bidding/request for proposals documents and in contracts financed by a Bank loan, requiring (i) bidders (applicants/proposers), consultants, contractors, and suppliers, and their sub-contractors, sub-consultants, service providers, suppliers, agents personnel, permit the Bank to inspect<sup>11</sup> all accounts, records and other documents relating to the procurement process, selection

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For the avoidance of doubt, a sanctioned party's ineligibility to be awarded a contract shall include, without limitation, (i) applying for pre-qualification, expressing interest in a consultancy, and bidding, either directly or as a nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider, in respect of contract, and (ii) entering into an addendum or amendment introducing a material modification to any existing

A nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider (different names are used depending on the particular bidding document) is one which has been: (i) included by the bidder in its pre-qualification application or bid because it brings specific and critical experience and know-how that allow the bidder to meet the qualification requirements for the particular bid; or (ii) appointed by the Borrower.

Inspections in this context usually are investigative (i.e., forensic) in nature. They involve fact-finding activities undertaken by the Bank or persons appointed by the Bank to address specific matters related to investigations/audits, such as evaluating the veracity of an allegation of possible Fraud and Corruption, through the appropriate mechanisms. Such activity includes but is not limited to: accessing and examining a firm's or individual's financial records and information, and making copies thereof as relevant; accessing and examining any other documents, data and information (whether in hard copy or electronic format) deemed relevant for the investigation/audit, and making copies and obtaining third party verification of information.

and/or contract execution,, and to have them audited by auditors appointed by the Bank.

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# **III.Special Conditions of Contract**

[Notes in brackets are for guidance purposes only and should be deleted in the final text of the signed contract]

Number of GC Clause	Amendments of, and Supplements to, Clauses in the General Conditions of Contract
1.1(a)	The Contract shall be construed in accordance with the law of Nepal
4.1	The language is English.
6.1 and 6.2	The addresses are:  Client: TansenMunicipality, Office of Municipal Executive, Lumbini Province, Palpa Attention: Chief Administrative Officer Telephone:+977-075-520909, 520109, 520893 E-mail (where permitted):infra.tansenmun@gmail.com Consultant:  Attention: Facsimile: E-mail (where permitted):
8.1	The Lead Member on behalf of the JV is [insert name of the member]
9.1	The Authorized Representatives are:  For the Client: The Chief Administrative Officer  For the Consultant: [name, title]
11.1	The effectiveness conditions are the following: N/A
2.1	Termination of Contract for Failure to Become Effective: The time period shall be one monthfrom the date of contract signing.
3.1	Commencement of Services: The number of days shall be15 Days. Confirmation of Key Experts' availability to start the Assignment shall

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	be submitted to the Client in writing as a written statement signed by each Key Expert.
14.1	Expiration of Contract:
	The time period shall be 2.5 years.
23.1	"Limitation of the Consultant's Liability towards the Client:
	(a) Except in the case of gross negligence or willful misconduct on the part of the Consultant or on the part of any person or a firm acting on behalf of the Consultant in carrying out the Services, the Consultant, with respect to damage caused by the Consultant to the Client's property, shall not be liable to the Client:
	(i) for any indirect or consequential loss or damage; and
	(ii) for any direct loss or damage that exceeds [insert a multiplier, e.g.: one, two, three] times the total value of the Contract;
	(b) This limitation of liability shall not
	(i) affect the Consultant's liability, if any, for damage to Third Parties caused by the Consultant or any person or firm acting on behalf of the Consultant in carrying out the Services;
	(ii) be construed as providing the Consultant with any limitation or exclusion from liability which is prohibited by the Applicable Law
24.1	The insurance coverage against the risks shall be as follows:
	(a) Professional liability insurance, with a minimum coverage of 1.5 times of the accepted contract amount.
	(b) Third Party motor vehicle liability insurance in respect of motor vehicles operated in the Client's country by the Consultant or its Experts or Sub-consultants, with a minimum coverage of in accordance with the applicable law in the Client's country";
	(c) Third Party liability insurance, with a minimum coverage of "in accordance with the applicable law in the Client's country";
	(d) employer's liability and workers' compensation insurance in

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respect of the experts and Sub-consultants in accordance with the relevant provisions of the applicable law in the Client's country, as well as, with respect to such Experts, any such life, health, accident, travel or other insurance as may be appropriate; and

- (e) insurance against loss of or damage to (i) equipment purchased in whole or in part with funds provided under this Contract, (ii) the Consultant's property used in the performance of the Services, and (iii) any documents prepared by the Consultant in the performance of the Services.
- 27.1 N/A
- 27.2 The Consultant shall not use these *documents and software* for purposes unrelated to this Contract without the prior written approval of the Client.
- 49.2 The ceiling in foreign currency or currencies is:

The ceiling in local currency is:

Any indirect local taxes chargeable in respect of this Contract for the Services provided by the Consultant shall be paid *or* reimbursed by the Client for or to the Consultant.

The amount of such taxes is

50.3 Price adjustment on the remuneration "applies"

Payments for remuneration made in [foreign and/or local] currency shall be adjusted as follows:

(1) Remuneration paid in foreign currency on the basis of the rates set forth in **Appendix C** shall be adjusted every 12 months (and, the first time, with effect for the remuneration earned in the 13th calendar month after the date of the Contract Effectiveness date) by applying the following formula:

$$R_f = R_{fo} \times \frac{I_f}{I_{fo}}$$
  $R_f = R_{fo} \times [0.1 + 0.9 \frac{I_f}{I_{fo}}]_{}$ 

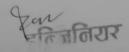
where

 $R_{\ell}$  is the adjusted remuneration;

 $R_{i0}$  is the remuneration payable on the basis of the remuneration rates (**Appendix** C) in foreign currency;

 $I_f$  is the official index for salaries in the country of the foreign currency for the first month for which the adjustment is

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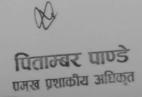


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supposed to have effect; and $I_{fo}$ is the official index for salaries in the country of the foreign currency for the month of the date of the Contract.  The Consultant shall state here the name, source institution, and any necessary identifying characteristics of the official index for salaries corresponding to $I_f$ and $I_{fo}$ in the adjustment formula for remuneration paid in foreign currency: [Insert the name, source institution, and necessary identifying characteristics of the index for foreign currency, e.g. "Consumer Price Index for all Urban Consumers (CPI-U), not seasonally adjusted; U.S. Department of Labor, Bureau of Labor Statistics"]
(2) Remuneration paid in local currency pursuant to the rates set forth in <b>Appendix D</b> shall be adjusted every 12 months (and, for the first time, with effect for the remuneration earned in the 13the calendar month after the date of the Contract) by applying the following formula:
where  R <sub>I</sub> is the adjusted remuneration;  R <sub>Io</sub> is the remuneration payable on the basis of the remuneration rates ( <b>Appendix D</b> ) in local currency;  I <sub>I</sub> is the official index for salaries in the Client's country for the first month for which the adjustment is to have effect; and  I <sub>Io</sub> is the official index for salaries in the Client's country for the month of the date of the Contract.  The Client shall state here the name, source institution, and any necessary identifying characteristics of the official index for salaries corresponding to I <sub>I</sub> and I <sub>Io</sub> in the adjustment formula for remuneration paid in local currency Salary Index, Private  Institution, Officers, Nepal Rastra Bank  (3) Any part of the remuneration that is paid in a currency different from the currency of the official index for
from the currency of the official index for salaries used in the adjustment formula, shall be adjusted by a correction factor $X_0/X$ . $X_0$ is the number of units of currency of the country of the official index, equivalent to one unit of the currency of payment on the date of the official index, equivalent to one unit of the currency of the country of the official index, equivalent to one unit of the currency of payment on the first day of the first month for which the adjustment is supposed to have effect.

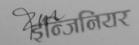
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Section 8. Condition	ns of Contract and Contract Forms (Time Based)
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51.1 and 51.2	The Client warrants that [choose one applicable option consistent with the ITC 16.3 and the outcome of the Contract's negotiation (Form FIN-2, part B "Indirect Local Tax – Estimates"):
	The Client shall pay on behalf of the Consultant, the Sub-consultant and the Experts," OR "the Client shall reimburse the Consultant the Sub-consultants and the Expertsany indirect taxes, duties fees, levies and other impositions imposed, under the applicable law in the Client's country, on the Consultant, the Sub-consultants and the Experts in respect of:(a) any payments whatsoever made to the Consultant, Sub-consultants and the Experts (other than nationals or permanent residents of the Client's country), in connection with the carrying out of the Services;(b) any equipment, materials and supplies brought into the Client's country by the Consultant or Sub-consultants for the purpose of carrying out the Services and which, after having been brought into such territories, will be subsequently withdrawn by them;(c) any equipment imported for the purpose of carrying out the Services and paid for out of funds provided by the Client and which is treated as property of the Client;
	(d) any property brought into the Client's country by the Consultant, any Sub-consultants or the Experts (other than nationals or permanent residents of the Client's country), or the eligible dependents of such experts for their personal use and which will subsequently be withdrawn by them upon their respective departure from the Client's country, provided that:
	(i) the Consultant, Sub-consultants and experts shall follow the usual customs procedures of the Client's country in importing property into the Client's country; and
	(ii) if the Consultant, Sub-consultants or Experts do not withdraw but dispose of any property in the Client's country upon which customs duties and taxes have been exempted, the Consultant, Sub-consultants or Experts, as the case may be, (a) shall bear such customs duties and taxes in conformity with the regulations of the Client's country, or (b) shall reimburse them to the Client if they were paid by the Client at the time the property in question was brought into the Client's country.
52.1	The currency [currencies] of payment shall be the following: To be listed in the draft contract as per the financial proposals.
53.1(a)	[The advance payment could be in either the foreign currency, or the



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	local currency, or both. The advance bank payment guarantee should be in the same currency.
	The following provisions shall apply to the advance payment and the advance bank payment guarantee:
	(1) An advance payment that is equal to 15% of the contract amount excluding VAT and Provisional Sum (if any) in corresponding currencies, shall be made within 30 days after the Effective Date. The advance payment will be set off by the Client in equal installments against the statements for the first 15months of the Services until the advance payment has been fully set off.
	(2) The advance bank payment guarantee shall be in the amount and in the currency of the currency(ies) of the advance payment.
53.1(b)	The Consultant shall submit to the Client itemized statements at time intervals of every month
53.1(e)	The accounts are:
	for foreign currency: To be filled in the draft contract as per the financial proposals.  for local currency: To be filled in the draft contract as per the financial proposals.
54.1	The interest rate is: 3% for foreign currency and 8% for local currency.
57.	Disputes shall be settled by arbitration in accordance with the following provisions:
	1. <u>Selection of Arbitrators</u> . Each dispute submitted by a Party to arbitration shall be heard by a sole arbitrator or an arbitration panel composed of three (3) arbitrators, in accordance with the following provisions:
	(a) Where the Parties agree that the dispute concerns a technical matter, they may agree to appoint a sole arbitrator or, failing agreement on the identity of such sole arbitrator within thirty (30) days after receipt by the other Party of the proposal of a name for such an appointment by the Party who initiated the proceedings, either Party may apply to the Federation Internationale des Ingenieurs-Conseil (FIDIC) of Lausanne, Switzerland for a list of not fewer than five (5) nominees and, on receipt of such list, the Parties shall alternately strike names therefrom, and the last





remaining nominee on the list shall be the sole arbitrator for the matter in dispute. If the last remaining nominee has not been determined in this manner within sixty (60) days of the date of the list, the Federation Internationale des Ingenieurs-Conseil (FIDIC) of Lausanne, Switzerland shall appoint, upon the request of either Party and from such list or otherwise, a sole arbitrator for the matter in dispute.

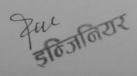
- (b) Where the Parties do not agree that the dispute concerns a technical matter, the Client and the Consultant shall each appoint one (1) arbitrator, and these two arbitrators shall jointly appoint a third arbitrator, who shall chair the arbitration panel. If the arbitrators named by the Parties do not succeed in appointing a third arbitrator within thirty (30) days after the latter of the two (2) arbitrators named by the Parties has been appointed, the third arbitrator shall, at the request of either Party, be appointed by the International Chamber of Commerce, Paris.
- (c) If, in a dispute subject to paragraph (b) above, one Party fails to appoint its arbitrator within thirty (30) days after the other Party has appointed its arbitrator, the Party which has named an arbitrator may apply to the *International Chamber of Commerce, Paris* to appoint a sole arbitrator for the matter in dispute, and the arbitrator appointed pursuant to such application shall be the sole arbitrator for that dispute.
- Rules of Procedure. Except as otherwise stated herein, arbitration
  proceedings shall be conducted in accordance with the rules of
  procedure for arbitration of the United Nations Commission on
  International Trade Law (UNCITRAL) as in force on the date of
  this Contract.
- 3. <u>Substitute Arbitrators</u>. If for any reason an arbitrator is unable to perform his/her function, a substitute shall be appointed in the same manner as the original arbitrator.
- 4. Nationality and Qualifications of Arbitrators. The sole arbitrator or the third arbitrator appointed pursuant to paragraphs 1(a) through 1(c) above shall be an internationally recognized legal or technical expert with extensive experience in relation to the matter in dispute and shall not be a national of the Consultant's home country [Note: If the Consultant consists of more than one entity, add: or of the home country of any of their members or Parties]or of the Government's country. For the purposes of this Clause, "home country" means any of:

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(a)	the country of incorporation of the Consultant [Note: If the Consultant consists of more than one entity, add: or of any of their members or Parties]; or
(b)	the country in which the Consultant's [or any of their members' or Parties'] principal place of business is located; or
(c)	the country of nationality of a majority of the Consultant's [or of any members' or Parties'] shareholders; or
(d)	the country of nationality of the Sub-consultants concerned, where the dispute involves a subcontract.
5. <u>Mis</u>	cellaneous. In any arbitration proceeding hereunder:
(a)	proceedings shall, unless otherwise agreed by the Parties, be held in [select a country which is neither the Client's country nor the Consultant's country];
(b)	the <i>English</i> language shall be the official language for all purposes; and
	(c) the decision of the sole arbitrator or of a majority of the arbitrators (or of the third arbitrator if there is no such majority) shall be final and binding and shall be enforceable in any court of competent jurisdiction, and the Parties hereby waive any objections to or claims of immunity in respect of such enforcement.





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## APPENDIX A - TERMS OF REFERENCE

[This Appendix shall include the final Terms of Reference (TORs) worked out by the Client and the Consultant during the negotiations; dates for completion of various tasks; location of performance for different tasks; detailed reporting requirements; Client's input, including counterpart personnel assigned by the Client to work on the Consultant's team; specific tasks that require prior approval by the Client.

Insert the text based on the Section 7 (Terms of Reference) of the ITC in the RFP and modified based on the Forms TECH-1 through TECH-5 in the Consultant's Proposal. Highlight the changes to Section 7 of the RFP]

#### APPENDIX B - KEY EXPERTS

[Insert a table based on Form TECH-6 of the Consultant's Technical Proposal and finalized at the Contract's negotiations. Attach the CVs (updated and signed by the respective Key Experts) demonstrating the qualifications of Key Experts.]

[Specify Hours of Work for Key Experts: List here the hours of work for Key Experts; travel time to/ from the Client's country; entitlement, if any, to leave pay; public holidays in the Client's country that may affect Consultant's work; etc. Make sure there is consistency with Form TECH-6. In particular: one month equals twenty two (22) working (billable) days. One working (billable) day shall be not less than eight (8) working (billable) hours. ]

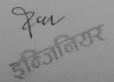
# APPENDIX C - REMUNERATION COST ESTIMATES

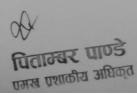
1. Monthly rates for the Experts:

[Insert the table with the remuneration rates. The table shall be based on [Form FIN-3] of the Consultant's Proposal and reflect any changes agreed at the Contract negotiations, if any. The footnote shall list such changes made to [Form FIN-3] at the negotiations or state that none has been made.]

2. [When the Consultant has been selected under Quality-Based Selection method, or the Client has requested the Consultant to clarify the breakdown of very high remuneration rates at the Contract's negotiations also add the following:

"The agreed remuneration rates shall be stated in the attached Model Form I. This form shall be prepared on the basis of Appendix A to Form FIN-3 of the RFP





"Consultants' Representations regarding Costs and Charges" submitted by the Consultant to the Client prior to the Contract's negotiations.

Should these representations be found by the Client (either through inspections or audits pursuant to Clause GCC 25.2 or through other means) to be materially incomplete or inaccurate, the Client shall be entitled to introduce appropriate modifications in the remuneration rates affected by such materially incomplete or inaccurate representations. Any such modification shall have retroactive effect and, in case remuneration has already been paid by the Client before any such modification, (i) the Client shall be entitled to offset any excess payment against the next monthly payment to the Consultants, or (ii) if there are no further payments to be made by the Client to the Consultants, the Consultants shall reimburse to the Client any excess payment within thirty (30) days of receipt of a written claim of the Client. Any such claim by the Client for reimbursement must be made within twelve (12) calendar months after receipt by the Client of a final report and a final statement approved by the Client in accordance with Clause GCC 53.1(d) of this Contract."

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Section 8. Conditions of Contract and Contract Forms (Time Based)

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# Model Form I Breakdown of Agreed Fixed Rates in Consultant's Contract

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We hereby confirm that we have agreed to pay to the Experts listed, who will be involved in performing the Services, the basic fees and away from the home office allowances (if applicable) indicated below:

(Expressed in [insert name of currency])\*

						ì			
Experts	1	2	3	4	5	9	7	∞	
Name Position	Basic Remuneration rate per Working Month/Day/Year	Social Charges <sup>1</sup>	Overhead¹	Overhead¹ Subtotal Profit²	Profit	Away from Home Office Allowance	Agreed Fixed Rate per Working Month/Day/Hour	Agreed Fixed Rate per Working Month/Day/Hour	
Home Office									
Work in the Client's Country									

1 Expressed as percentage of 1 2 Expressed as percentage of 4

If more than one currency, add a table

Date Name and Title: Signature

College Reality Ship

#### APPENDIX D - REIMBURSABLE EXPENSES COST ESTIMATES

- 1. [Insert the table with the reimbursable expenses rates. The table shall be based on [Form FIN-4] of the Consultant's Proposal and reflect any changes agreed at the Contract negotiations, if any. The footnote shall list such changes made to [Form FIN-4] at the negotiations or state that none has been made.
- 2. All reimbursable expensesshall be reimbursed at actual cost, unless otherwise explicitly provided in this Appendix, and in no event shall reimbursement be made in excess of the Contract amount.]

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डिजिंगिरार

पिताम्बर पाण्डे पम्ख प्रशाकीय अधिकृत भित्रमान पार्वी

## APPENDIX E - FORM OF ADVANCE PAYMENTS GUARANTEE

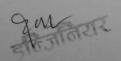
[See Clause GCC 53.1(a) and SCC 53.1(a)]

{Guarantor letterhead or SWIFT identifier code}

## **Bank Guarantee for Advance Payment**

Issuing Branch or Office]	[insert commer	rcial Bank's Name, and Address	of
Beneficiary:	[insert Name and A	Address of Client]	
Date:finsert date	7		
ADVANCE PAYMENT GUARA	ANTEE No.:	[insert number]	
Venture, same as appears on the	signed Contract] (he [referenthe Beneficiary, for the state of the sta		has
	[insert amount in	onditions of the Contract, an advar efigures] () [amount in words] is to	
Beneficiary any sum or sums not figures] () [amount in words] <sup>121</sup> upsupported by the Beneficiary's a	exceeding in total ar pon receipt by us of written statement, v mpanying or identif	ereby irrevocably undertake to pay an amount of [amount of the Beneficiary's complying demand whether in the demand itself or in fying the demand, stating that tract because the Consultant:	t in and a
specifying the amount which	h the Consultant has	cordance with the Contract condition failed to repay; ner than toward providing the Service.	
payment referred to above must ha	payment under this give been received by[name and address	guarantee to be made that the advarthe Consultant on its account numbers of bank].	nce ber

<sup>&</sup>lt;sup>1</sup> The Guarantor shall insert an amount representing the amount of the advance payment and denominated either in the currency(ies) of the advance payment as specified in the Contract, or in a freely convertible currency acceptable to the Client.





The maximum amount of this guarantee shall be progressively reduced by the amount of the advance payment repaid by the Consultant as indicated in certified statements or invoices marked as "paid" by the Client which shall be presented to us. This guarantee shall expire, at the latest, upon our receipt of the payment certificate or paid invoice indicating that the Consultant has made full repayment of the amount of the advance payment, or on the \_\_day of \_\_month]\_\_\_\_\_\_, [year]\_\_, " whichever is earlier. Consequently, any demand for payment under this guarantee must be received by us at this office on or before that date.

This guarantee is subject to the Uniform Rules for Demand Guarantees (URDG) 2010-revision, ICC Publication No. 758.

[signature(s)]

[Note: All italicized text is for indicative purposes only to assist in preparing this form and shall be deleted from the final product.]

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पिताम्बर पाणडे एशाकीय अधिकृत

Insert the expected expiration date. In the event of an extension of the time for completion of the Contract, the Client would need to request an extension of this guarantee from the Guarantor. Such request must be in writing and must be made prior to the expiration date established in the guarantee. In preparing this guarantee, the Client might consider adding the following text to the form, at the end of the penultimate paragraph: "The Guarantor agrees to a one-time extension of this guarantee for a period not to exceed [six months][one year], in response to the Client's written request for such extension, such request to be presented to the Guarantor before the expiry of the guarantee."

APPENDIX F - CODE OF CONDUCT

Signification Signification

पितारचर पाएडे पमस्य प्रशाकीय अधिकृत

# APPENDIX G- SEXUAL EXPLOITATION AND ABUSE (SEA) AND/OR SEXUAL HARASSMENT (SH) PERFORMANCE DECLARATION FOR SUBCONSULTANTS

[The following table shall be filled in for the Consultant, each member of a Joint Venture and each Sub-consultant proposed by the Consultant]

Consultant's Name: [insert full name]
Date: [insert day, month, year]
Joint Venture Member's or Sub-consultant's Name: [insertfull name]
RFP No. and title: [insert RFP number and title]
Page [insert page number] of [insert total number] pages

SEA and/or SH Declaration		
We:  □ (a) have not been subject to disqualification by the Bank for non-compliance with SEA/ SH obligations □ (b) are subject to disqualification by the Bank for non-compliance with SEA/ SH obligations □ (c) had been subject to disqualification by the Bank for non-compliance with SEA/ SH obligations An arbitral award on the disqualification case has been made in our favor.		
[If (c) above is applicable, attach evidence of an arbitral award reversing the findings on the issues underlying the disqualification.]		

दुर्ग जीतियर

प्रताम्बर पाण्डे पमस प्रशाकीय अधिकृत LUMP SON FORM OF CONTRACT

## PART III

Section 9. Notification of Intention to Award and Beneficial Ownership Forms

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पितान्बर पाण्डे पमस प्रशाकीय अधिकृत

#### Notification of Intention to Award

[This Notification of Intention to Award shall be sent to each Consultant whose Financial Proposal was opened. Send this Notification to the authorized representative of the Consultant].

For the attention of Consultant's authorized representative

Name: [insert authorized representative's name]

Address: [insert authorized representative's address]

Telephone/Fax numbers: [insert authorized representative's telephone/fax numbers]

Email Address: [insert authorized representative's email address]

[IMPORTANT: insert the date that this Notification is transmitted to all Consultants. The Notification must be sent to all Consultants simultaneously. This means on the same date and as close to the same time as possible.]

**DATE OF TRANSMISSION**: This Notification is sent by: [email/fax] on [date] (local time)

## **Notification of Intention to Award**

Client: [insert the name of the Client]

Contract title: [insert the name of the contract] **Country:** [insert country where RFP is issued]

Loan No. /Credit No. /Grant No.: [insert reference number for loan/credit/grant]

RFP No: [insert RFP reference number from Procurement Plan]

This Notification of Intention to Award (Notification) notifies you of our decision to award the above contract. The transmission of this Notification begins the Standstill Period. During the Standstill Period you may:

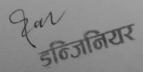
- a) request a debriefing in relation to the evaluation of your Proposal, and/or
- b) submit a Procurement-related Complaint in relation to the decision to award the contract.

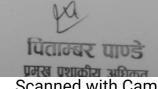
#### 1. The successful Consultant

Name: [insert name of successful Consultant]

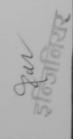
Address: [insert address of the successful Consultant]

Contract price: [insert contract price of the successful Consultant]





ultants , and as chnical	d Combined  il score and  rice ranking (if		Combined Score: [combined score] Ranking:
te which Const ant as read out, Select Full Te.	Evaluated Financial Proposal price	[evaluated] price]	[evaluated price]
ltants and indica by each Consult nd sub-criterion.	Financial Proposal price (if applicable)	[Proposal price]	[Proposal price]
nes of all short listed Consures it, state the price offered sssigned for each criterion a in the last column below.]	Overall technical scores	Criterion (i): [insert score] Criterion (ii): [insert score] Sub-criterion a: [insert score] Sub-criterion b: [insert score] Sub-criterion c: [insert score] Total score: [insert score]	Criterion (i): [insert score] Criterion (ii): [insert score] Sub-criterion a: [insert score] Score] Sub-criterion b: [insert
2. Short listed Consultants [INSTRUCTIONS: insert names of all short listed Consultants and indicate which Consultants submitted Proposals. Where the selection method requires it state the price offered by each Consultant as read out, and as evaluated. Include overall technical scores and scores assigned for each criterion and sub-criterion. Select Full Technical Proposal (FTP) or Simplified Technical Proposal (STP) in The last column below.]	f Submitted [use for FTP] Overall technical scores scores	Criterion (i): [insert score] Criterion (ii): [insert score] Criterion (iii): [insert score] Sub-criterion a: 1: [insert score] 3: [insert score] 2: [insert score] 3: [insert score] 3: [insert score] 3: [insert score] 5: [insert score] 6: [insert score] 7: [insert score] 8: [insert score] 9: [insert score] Criterion (iv): [insert score] Criterion (iv): [insert score] Criterion (voi: [insert score]	Criterion (i): [insert score] Criterion (ii): [insert score] Criterion (ii): [insert score] Sub-criterion a:
ort listed Consultants mitted Proposals. Why luated. Include overal, posal (FTP) or Simpli	Submitted Proposal	[ves/no]	[yes/no]
2. She sub sub eva eva Pro	Name of Consultant	[insert name]	[insert name]



E THE		insert
लेखनात न काराया	Sales Sales	terion c:
तान लुम्बि	[score]	Sub-cri

score] Artical

Total score: [insert score]

[ves/no] [insert name]

Criterion (i): [insert score]

Total score: [insert score]

Criterion (iv): [insert score]

3: [insert score]

2: [insert score]

1: [insert score]

3: [insert score] Sub-criterion c:

Sub-criterion b: 1: [insert score] 2: [insert score]

2: [insert score] 3: [insert score] Criterion (v): [insert score]

Criterion (iii): [insert score] Criterion (ii): [insert score] Sub-criterion a:

[combined score]

Ranking: [ranking]

Combined

[evaluated price]

[Proposal price]

Criterion (ii): [insert score] Criterion (i): [insert score]

Sub-criterion a: [insert

score

Sub-criterion b: [insert

score

Score:

1: [insert score]

2: [insert score]

3: [insert score] Sub-criterion b:

1: [insert score] 2: [insert score]

Total score: [insert score]

Sub-criterion c: [insert

score

Sub-criterion c: 3: [insert score]

2: [insert score]

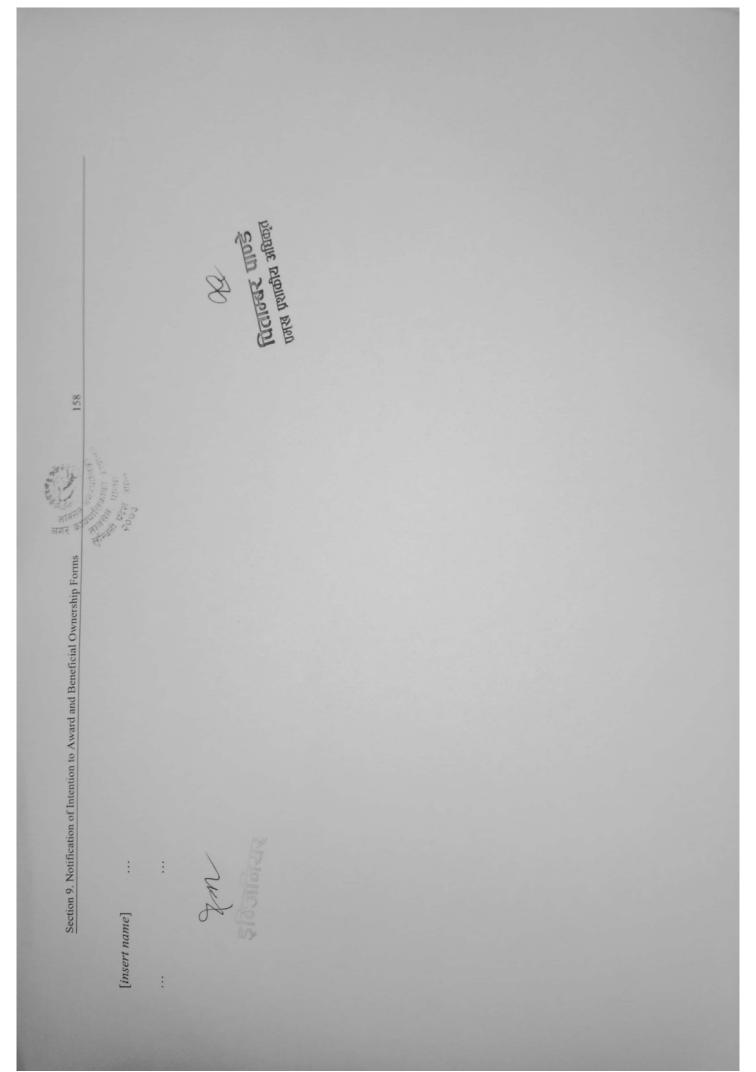
1: [insert score]

Criterion (iv): [insert score] Criterion (v): [insert score] 3: [insert score]

Total score: [insert score]

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Section 9. Notification of Intention to Award and Beneficial Ownership Forms



3. Reason/s why your Proposal was unsuccessful [Delete if the combined score already

[INSTRUCTIONS; State the reason/s why this Consultant's Proposal was unsuccessful. Do NOT include: (a) a point by point comparison with another Consultant's Proposal or (b) information that is marked confidential by the Consultant in its Proposal.]

4. How to request a debriefing [This applies only if your proposal was unsuccessful as stated under point (3) above]

DEADLINE: The deadline to request a debriefing expires at midnight on [insert date] (local time).

You may request a debriefing in relation to the results of the evaluation of your Proposal. If you decide to request a debriefing your written request must be made within three (3) Business Days of receipt of this Notification of Intention to Award.

Provide the contract name, reference number, name of the Consultant, contact details; and address the request for debriefing as follows:

Attention: [insert full name of person, if applicable]

Title/position: [insert title/position]

Agency: [insert name of Client]

Email address: [insert email address]

Fax number: [insert fax number] delete if not used

If your request for a debriefing is received within the 3 Business Days deadline, we will provide the debriefing within five (5) Business Days of receipt of your request. If we are unable to provide the debriefing within this period, the Standstill Period shall be extended by five (5) Business Days after the date that the debriefing is provided. If this happens, we will notify you and confirm the date that the extended Standstill Period will end.

The debriefing may be in writing, by phone, video conference call or in person. We shall promptly advise you in writing how the debriefing will take place and confirm the date and time.

If the deadline to request a debriefing has expired, you may still request a debriefing. In this case, we will provide the debriefing as soon as practicable, and normally no later than fifteen (15) Business Days from the date of publication of the Contract Award Notice.

## 5. How to make a complaint

DEADLINE: The deadline for submitting a Procurement-related Complaint challenging the decision to award the contract expires on midnight, [insert date] (local time).

Provide the contract name, reference number, name of the Consultant, contact details; and address the Procurement-related Complaint as follows:



Attention: [insert full name of person, if applicable]

Title/position: [insert title/position]

Agency: [insert name of Client]

Email address: [insert email address]

Fax number: [insert fax number] delete if not used

[At this point in the procurement process] [Upon receipt of this notification] you may submit a Procurement-related Complaint challenging the decision to award the contract. You do not need to have requested, or received, a debriefing before making this complaint. Your complaint must be submitted within the Standstill Period and received by us before the Standstill Period ends.

#### Further information:

For more information see the "<u>Procurement Regulations for IPF Borrowers (Procurement Regulations)</u> (Annex III)." You should read these provisions before preparing and submitting your complaint. In addition, the World Bank's Guidance "<u>How to make a Procurement-related Complaint</u>" provides a useful explanation of the process, as well as a sample letter of complaint.

In summary, there are four essential requirements:

- You must be an 'interested party'. In this case, that means a Consultant who has submitted a Proposal in this selection process, and is the recipient of a Notification of Intention to Award.
- 2. The complaint can only challenge the decision to award the contract.
- 3. You must submit the complaint within the deadline stated above.
- 4. You must include, in your complaint, all of the information required by the Procurement Regulations (as described in Annex III).

## 6. Standstill Period

**DEADLINE:** The Standstill Period is due to end at midnight on [insert date] (local time).

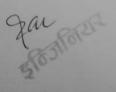
The Standstill Period lasts ten (10) Business Days after the date of transmission of this Notification of Intention to Award.

The Standstill Period may be extended. This may happen where we are unable to provide a debriefing within the five (5) Business Day deadline. If this happens we will notify you of the extension.

If you have any questions regarding this Notification, please do not hesitate to contact us.

On behalf of [insert the name of the Client]:

Signature:	



# **Beneficial Ownership Disclosure Form**

INSTRUCTIONS TO CONSULTANTS: DELETE THIS BOX ONCE YOU HAVE COMPLETED THE FORM

This Beneficial Ownership Disclosure Form ("Form") is to be completed by the successful Consultant. In case of joint venture, the Consultant must submit a separate Form for each member. The beneficial ownership information to be submitted in this Form shall be current as of the date of its submission.

For the purposes of this Form, a Beneficial Owner of a Consultant is any natural person who ultimately owns or controls the Consultant by meeting one or more of the following conditions:

- directly or indirectly holding 25% or more of the shares
- directly or indirectly holding 25% or more of the voting rights
- directly or indirectly having the right to appoint a majority of the board of directors or equivalent governing body of the Consultant

Request for Proposal reference No.: [insert identification no] Name of the Assignment: [insert name of the assignment]

To: [insert complete name of Client]

In response to your notification of award dated [insert date of notification of award] to furnish additional information on beneficial ownership: [select one option as applicable and delete the options that are not applicable]

(i) we hereby provide the following beneficial ownership information.

Details of beneficial ownership

Identity of Beneficial Owner	Directly or indirectly holding 25% or more of the shares (Yes / No)	Directly or indirectly holding 25 % or more of the Voting Rights (Yes / No)	Directly or indirectly having the right to appoint a majority of the board of the directors or an equivalent governing body of the Consultant  (Yes / No)
[include full name			

	Thornas I	
(last, middle, first), nationality, country of residence]	विश्वासान, पाटवे १००३ १००३	

#### OR

- (ii) We declare that there is no Beneficial Owner meeting one or more of the following conditions:
  - directly or indirectly holding 25% or more of the shares
  - directly or indirectly holding 25% or more of the voting rights
  - directly or indirectly having the right to appoint a majority of the board of directors or equivalent governing body of the Consultant

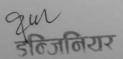
#### OR

(iii) We declare that we are unable to identify any Beneficial Owner meeting one or more of the following conditions. [If this option is selected, the Consultant shall provide explanation on why it is unable to identify any Beneficial Owner]

- directly or indirectly holding 25% or more of the shares
- directly or indirectly holding 25% or more of the voting rights
- directly or indirectly having the right to appoint a majority of the board of directors or equivalent governing body of the Consultant]"

Name of the Consultant: *[insert complete name of the Consultant]
Name of the person duly authorized to sign the Proposal on behalf of the Consultant:  **[insert complete name of person duly authorized to sign the Proposal]
Title of the person signing the Proposal: [insert complete title of the person signing the Proposal]
Signature of the person named above: [insert signature of person whose name and capacitate shown above]
Date signed[insert date of signing]day of[insert month], [insert year]

<sup>\*</sup>In the case of the Proposal submitted by a Joint Venture specify the name of the Joint Venture as Consultant. In the event that the Consultant is a joint venture, each reference to "Consultant" in the Beneficial Ownership Disclosure Form (including this Introduction thereto) shall be read to refer to the joint venture member. "Person signing the Proposal shall have the power of attorney given by the Consultant. The power of attorney shall be attached with the Proposal Schedules.



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